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1 **Cereal-legume mixtures increase net CO₂ uptake in a**
2 **forage system of the Eastern Pyrenees**

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29 **Abstract**

30 Forage systems are the major land use, and provide essential resources for animal feeding. Assessing the
31 influence of forage species on net ecosystem CO₂ exchange (NEE) is key to develop management
32 strategies that can help to mitigate climate change, while optimizing productivity of these systems.
33 However, little is known about the effect of forage species on CO₂ exchange fluxes and net biome
34 production (NBP), considering: species ecophysiological responses; growth and fallow periods
35 separately; and the management associated with the particular sown species. Our study assesses the
36 influence of cereal monocultures vs. cereal-legume mixtures on (1) ecosystem-scale CO₂ fluxes, for the
37 whole crop season and separately for the two periods of growth and fallow; (2) potential sensitivities of
38 CO₂ exchange related to short-term variations in light, temperature and soil water content; and (3) NBP
39 during the growth period; this being the first long term (seven years) ecosystem-scale CO₂ fluxes dataset
40 of an intensively managed forage system in the Pyrenees region. Our results provide strong evidence that
41 cereal-legume mixtures lead to higher net CO₂ uptake than cereal monocultures, as a result of higher gross
42 CO₂ uptake, while respiratory fluxes did not significantly increase. Also, management associated with
43 cereal-legume mixtures favoured vegetation voluntary regrowth during the fallow period, which was
44 decisive for the cumulative net CO₂ uptake of the entire crop season. All cereal-legume mixtures and
45 some cereal monocultures had a negative NBP (net gain of C) during the growth period, indicating C
46 input to the system, besides the yield. Overall, cereal-legume mixtures enhanced net CO₂ sink capacity of
47 the forage system, while ensuring productivity and forage quality.

48

49 **Key words:** ecosystem respiration (R_{eco}), gross primary production (GPP), light response, management,
50 monocultures, net ecosystem CO₂ exchange (NEE).



51 **1. Introduction**

52 Forage systems, including feed crops together with intensively and extensively managed pasturelands, are
53 the major land use, covering about 30% of the world's terrestrial surface and 80% of agricultural land
54 (Steinfeld and Wassenaar, 2007). Thus, assessing the role of forage species on the carbon (C) balance of
55 these systems is essential to develop management strategies that can mitigate climate change, while
56 optimizing productivity. To this regard, forage mixtures have been generally associated with higher
57 productivity than monocultures (Brophy et al., 2017; Finn et al., 2013; Kirwan et al., 2007; Ribas et al.,
58 2015), resulting from higher resource use efficiency, including light (Hofer et al., 2017; Milcu et al.,
59 2014), water (Chapagain and Riseman, 2015; Liu et al., 2016), and nitrogen (Sturludóttir et al., 2013;
60 Suter et al., 2015). Mixtures have also been described to present lower rates of weed invasion (Connolly
61 et al., 2018; Frankow-Lindberg et al., 2009; Kirwan et al., 2007). However, the role of forage species in
62 the net ecosystem CO₂ exchange (NEE), as well as on NEE components — gross primary production
63 (GPP) and ecosystem respiration (R_{eco}) — is less understood.

64 In addition, the interaction between local conditions and management practices result in high CO₂
65 exchange variability (Moors et al., 2010; Oertel et al., 2016). And, while information on the CO₂ budget of
66 grasslands (Berninger et al., 2015; Imer et al., 2013; Schauffler et al., 2010) and forage crops (Ceschia et
67 al., 2010; Kutsch et al., 2010; Vuichard et al., 2016) of central and northern Europe is rather abundant,
68 such information is very scarce in the Mediterranean basin, even though it is a highly vulnerable region to
69 climate change (FAO, 2010). Indeed, forage productivity in Mediterranean areas is among the lowest in
70 Europe (Smit et al., 2008), due to important water constraints (Porqueddu et al., 2016), and more
71 information is needed to establish management practices that may enhance C sequestration while ensuring
72 productivity.

73 In addition, it is also crucial to understand the role of forage species in net biome production (NBP),
74 accounting for all C inputs and exports ($NBP = NEE - C_{input} + C_{export}$), to assess the final C budget,
75 beyond the NEE. In fact, many grasslands and forage crops may be acting as net CO₂ sinks when only
76 assessing NEE, but they become net CO₂ sources when accounting for the oxidation (via digestion by
77 animals) of total exported biomass (Ceschia et al., 2010; Kutsch et al., 2010; Moors et al., 2010).

78 Our study presents in this regard the first long-term (seven years) dataset of ecosystem-scale CO₂ fluxes
79 of an intensively managed forage system in the Pyrenees, which combines a crop rotation of cereal
80 species grown in monocultures and cereal-legume mixtures, with direct grazing after the harvest (fallow
81 period). Such practices have been traditionally conducted in Mediterranean mountain regions (Sebastià et
82 al., 2011) to increase productivity and preserve soil fertility (Sánchez et al., 2013).

83 Thus, our objective is to assess differences between cereals grown in monoculture and cereal-legume
84 mixtures in (1) ecosystem-scale CO₂ fluxes, for the whole crop season and separately for the two periods
85 of growth and fallow; (2) potential sensitivities of CO₂ exchange related to short-term variations in light,
86 temperature and soil water content; and (3) NBP during the growth period. Also, we hypothesize that
87 cereal-legume mixtures in comparison to cereal monocultures: (1) will show more net CO₂ uptake (more
88 negative NEE); (2) this increase in the net uptake will be due to increased GPP in combination with
89 unchanged R_{eco}; and (3) will show more negative NBP.



90 **2. Material and methods**

91 **2.1 Study site and experimental design**

92 The study site is a forage system located in the montane elevation belt of the Eastern Pyrenees, in Pla de
93 Riart (42° 03' 48" N, 1° 30' 48" E), at 1003 m a. s. l. Climate is sub-Mediterranean (Peel et al., 2007),
94 typical in mountain areas with Mediterranean influences, with a mean annual precipitation of 750 mm and
95 mean annual temperature of 11 °C (Ninyerola et al., 2000), including the summer drought period. The soil
96 is a petrocalcic calcixercept (Badía-Villas and del Moral, 2016).

97 All management events, including fertilizing, sowing and harvesting (Table 1) were reported by the
98 manager of the site and validated by in situ visits. The site was managed by a rotation of cereals grown in
99 monoculture and cereal-legume mixtures. Every year the yield was harvested, and during the fallow (from
100 harvest to next sowing), the voluntary regrowth of the vegetation was extensively grazed by around
101 30 cattle (≈ 0.91 livestock units (LSU) ha^{-1}) from late August to late October (Fig. 1).

102 Yield was estimated (Table 1) considering the productivity reported by the manager and in situ samplings
103 after oven drying plant material at 60 °C until constant weight. Plant material was analysed to determine C
104 content and forage quality indicators (Table S1). Analyses were performed by the Department of Animal
105 and Food Science, Autonomous University of Barcelona according to standard methods (Table S1).
106 Afterwards, C exported through yield (Table 1) was estimated, considering the yield, species proportions
107 (Fig. 1), and species C content (Table S1). C exported through yield was used to account for the NBP
108 (Sect. 2.5).

109 **2.2 Eddy covariance measurements**

110 The site is equipped with an eddy covariance flux station, running since August 2010, and our study
111 period included data from sowing of the first studied season (barley, sown 01/11/2010) until the end of
112 the fallow period of the last studied season (oat and vetch mixture, 01/11/2017, Fig. 1). The eddy
113 covariance flux station continuously measured the concentration of CO_2 (mmol m^{-3}) and H_2O (mmol m^{-3})
114 using an open path CO_2 and H_2O gas analyser (LI-7500, LI-COR Inc., Lincoln, NE, USA), and turbulent
115 flux components, including wind direction and speed using a 3D sonic anemometer (CSAT-3, Campbell
116 Scientific Inc, Logan, UT, USA) to calculate CO_2 , H_2O , and energy exchange at the ecosystem level.

117 In addition, the station recorded ancillary meteorological variables, including incoming and outgoing
118 shortwave and longwave radiation (NR01, Hukseflux, Delft, the Netherlands); air temperature (T_a , CS215,
119 Campbell Scientific Inc, Logan, UT, USA); average soil temperature 1-20 cm (T_s , TCAV, Campbell
120 Scientific Inc, Logan, UT, USA); volumetric soil water content (SWC, CS616, Campbell Scientific Inc,
121 Logan, UT, USA); photosynthetically active radiation (PAR, SKP215, Skye Instruments Ltd, Powys, UK);
122 and normalized difference vegetation index, calculated as $\text{NDVI} = (\text{NIR} - \text{Red}) / (\text{NIR} + \text{Red})$, where
123 “Red” and “NIR” are the spectral reflectance measurements acquired in the red and near infrared regions,
124 respectively.

125 Raw data provided by the sensors were processed and CO_2 fluxes were calculated at 30-minute averages
126 using the EddyPro software (LI-COR Inc, Lincoln, NE, USA). Negative values refer to the flux from the



127 atmosphere to the biosphere and positive values correspond to the flux from the biosphere to the
128 atmosphere (micrometeorological sign convention).

129 We applied frequency response corrections (Moncrieff et al., 2004, 1997), density fluctuation corrections
130 (Webb et al., 1980), and determination of data quality using the Foken et al., (2004) approach. The
131 Foken et al. (2004) approach suggests a quality scale ranging from 1 (highest data quality) to 9 (poorest
132 data quality), and records with quality 7 or higher were excluded (Papale, 2012). Also, CO₂ fluxes outside
133 a physically realistic range ($\pm 50 \mu\text{mol m}^{-2} \text{s}^{-1}$) were rejected.

134 We inspected night-time ($\text{PAR} < 5 \mu\text{mol photons m}^{-2} \text{s}^{-1}$) CO₂ fluxes, as they tend to be underestimated
135 under low turbulence (Aubinet et al., 2012), conditions that can be frequent at night. We carefully
136 examined the possibility of a low turbulence effect assessing the existence of an u_* threshold at all
137 recorded T_s classes (Reichstein et al., 2005), ranging from -3 to 34 °C in 1 °C intervals. Relevant u_*
138 thresholds were not detected. In addition, we inspected night-time CO₂ fluxes in order to detect possible
139 outliers and calculated the 0.025, 0.25, 0.5, 0.75 and 0.975 quantiles for each T_s class. Data below the
140 lowest (0.025) or the highest (0.975) quantile were excluded from further analysis.

141 Data were filtered according to the footprint, based on the Kljun model (Kljun et al., 2004), including all
142 the fluxes in which more than 80% of the contribution came from the study field (Göckede et al., 2008).

143 After all data cleaning and filtering, retained data for further analysis were a 65% of all the available data,
144 ranging between 81% and 53% depending on year (Table S2).

145 Afterwards, we gap-filled NEE data using the sMDSGapFill function (Reichstein et al., 2005) of the
146 REdDyProc package (Wutzler et al., 2018) for R software (R core Team, 2017). The goodness of the
147 gap-filling was also inspected comparing observed NEE data with their theoretically predicted data by
148 gap-filling (see an example in Fig. S1). Gap-filled NEE data were also partitioned into GPP and R_{eco} ,
149 using the night-time based partitioning approximation, SMRFLuxPartition equation, also of the
150 REdDyProc package.

151 In line with our first objective, we described NEE, GPP and R_{eco} dynamics, and performed budgets
152 (expressed in g C m^{-2}) for each: (a) crop season — from sowing to sowing —, (b) growth period — from
153 sowing to harvesting —, and (c) fallow period — from harvesting to sowing. Note that in 2014 systematic
154 data gaps occurred due to energy supply problems, for which NEE, GPP and R_{eco} budgets could not be
155 calculated. However, 2014 gap-filled data were used to describe CO₂ exchange dynamics, and 2014 real
156 recorded data were included in all the modelling.

157 **2.3 Net ecosystem CO₂ exchange modelling: diversity-interaction model**

158 Species can drive ecosystem functions via species identity effects, but also via species interactions and
159 complementarity effects (Kirwan et al., 2007; Orwin et al., 2014; Wolfgang et al., 2017). Thus, also in
160 line with our first objective we disaggregated the influence of cereal monocultures from cereal-legume
161 mixtures on NEE using a diversity-interaction approach (Kirwan et al., 2007, 2009). The approach
162 compares a null model, in which a change in the diversity has no effect on the response variable, with
163 models that address the diversity influence at different levels.

164 In our study we compared the null model Eq. (1), in which NEE ($\mu\text{mol CO}_2 \text{m}^{-2} \text{s}^{-1}$) depended only on
165 environmental variables, including T_a (°C), net radiation (R_{net} , W m^{-2}), SWC (fraction), vapour pressure



166 deficit (VPD, hPa), and time — considering time as crop season — with a diversity-interaction model,
167 which included species identity and species interaction effects Eq. (2).

168

$$NEE = \beta_{T_a} T_a + \beta_{R_{net}} R_{net} + \beta_{SWC} SWC + \beta_{VPD} VPD + \beta_{time} time + \varepsilon$$

169

(Equation 1. Null model)

170

$$NEE = \text{Null model} + \beta_B P_B + \beta_T P_T + \beta_W P_W + \beta_{OV} P_{OV} + \beta_{TOV} P_{TOV} + \varepsilon$$

171

(Equation 2. Diversity-interaction model)

172

173 Here P indicates species proportions and the sub-index B indicates barley, T triticale, W wheat, O oat and
174 V vetch respectively. The models were run without intercept in order to test the effect of all the species
175 proportions at the same time.

176 A preliminary modelling showed that SWC and time could be excluded from the null model Eq. (1), since
177 the inclusion of these variables did not provide a better fitting. Then, the null model Eq. (1) and the
178 diversity-interaction model Eq. (2) were compared by an analysis of variance (ANOVA) to account for
179 the most parsimonious and explanatory model. The diversity-interaction model was significantly different
180 from the null model ($F = 7.65$, $p < 0.001$); therefore, the final model was the diversity-interaction model,
181 which included the proportion of each forage species and its interactions, in addition to environmental
182 variables (T_a , R_{net} , VPD).

183 The approach was run on all observed data (30-minute average); on daily-averaged data; and on
184 weekly-averaged data. The model performed the best fitting (best adjusted R^2) when using
185 weekly-averaged data, probably due to a considerable day-to-day variability of the environmental
186 variables and CO_2 fluxes. Also, considering that the main goal of this analysis was to assess the influence
187 of forage species on NEE, whose influence is probably more noticeable at a seasonal scale, we present the
188 model run on the weekly-averaged data, as it was able to reduce noise and extract the influence of forage
189 species with greater reliability.

190 2.4 CO_2 exchange response to light, temperature and soil water content

191 In line with our second objective, we explored differences between cereal monocultures and
192 cereal-legume mixtures from a mechanistic perspective, modelling separately light response of observed
193 CO_2 fluxes during daytime (termed as NEE_{day} in what follows), and T_s and SWC response of night time
194 fluxes (termed as $R_{eco,night}$ in what follows) as explained below.

195



196 2.4.1 NEE_{day} light response

197 NEE_{day} (PAR > 5 μmol photons m⁻² s⁻¹) light response was modelled using a logistic sigmoid response
198 function (Moffat, 2012), which models NEE_{day} (μmol CO₂ m⁻² s⁻¹) as function of PAR Eq. (3).
199

$$NEE_{day} = -2 \cdot GPP_{sat} \cdot \left(-0.5 + \frac{1}{1 + e^{\frac{-2 \cdot \alpha \cdot PAR}{GPP_{sat}}}} \right) + R_{eco,day}$$

200 (Equation 3)

201

202 Here GPP_{sat} (μmol CO₂ m⁻² s⁻¹) is the asymptotic gross primary production, α (dimensionless) is the
203 apparent initial quantum yield, defined as the initial slope of the light-response curve, and R_{eco,day}
204 (μmol CO₂ m⁻² s⁻¹) the average daytime ecosystem respiration. Light response parameters (GPP_{sat}, α and
205 R_{eco,day}) were calculated for each day and crop season, using the nlsList function of the nlme package
206 (Pinheiro et al., 2015). Parameters whose estimates were not significantly different from zero (p ≥ 0.05)
207 were discarded from further analysis.

208 Afterwards, we described light response dynamics and assessed differences on the light response parameters
209 between cereal monocultures and cereal-legume mixtures for each period (growth and fallow). For that purpose
210 we ran an ANOVAs and tukey post-hoc tests, using the HSD.test function of the agricolae package
211 (Mendiburu, 2017), with the given parameter (GPP_{sat}, α and R_{eco,day}) as a function of forage type (cereal
212 monoculture and cereal-legume mixture) in interaction with period (growth and fallow).

213 2.4.2 R_{eco,night} response to temperature and soil water content

214 A preliminary overview of R_{eco,night} (PAR < 5 μmol photons m⁻² s⁻¹) suggested that R_{eco,night} increased with
215 T_s at T_s < 20°C, but decreased above this threshold. Therefore, we modelled R_{eco,night} (μmol CO₂ m⁻² s⁻¹)
216 as a function of T_s (°C) and SWC (fraction) using the equations proposed by Reichstein et al. (2002),
217 which consider changes in the temperature sensitivity depending on soil moisture Eq. (4-6).

218

$$R_{eco,night} = R_{eco,ref} \cdot f(T_s, SWC) \cdot g(SWC)$$

219 (Equation 4)

220

$$f(T_s, SWC) = e^{E_0(SWC) \cdot \left(\frac{1}{T_{ref} - T_0} - \frac{1}{T_s - T_0} \right)}$$

221 (Equation 5)

222

$$g(SWC) = \frac{SWC - SWC_0}{(SWC_{1/2} - SWC_0) + (SWC - SWC_0)}$$

223 (Equation 6)

224

225 Here the activation energy, E₀ (°C⁻¹), is a linear function of SWC (E₀ = a+b·SWC); T_{ref} is the reference
226 temperature, set as the mean temperature of a given period, here set as the mean T_s of the entire



227 measuring period ($T_{ref} = 12.12$ °C); T_0 the lower limit for $R_{eco,night}$, here set at -46.02 °C, as in the original
228 model by Lloyd and Taylor (1994); SWC_0 (fraction) the soil water content below which $R_{eco,night}$ ceases;
229 $SWC_{1/2}$ (fraction) the soil water content at which maximal $R_{eco,night}$ halves; and $R_{eco,ref}$ ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)
230 the reference ecosystem respiration at standard conditions (T_{ref}) and non-limiting SWC (Reichstein et al.,
231 2002). $R_{eco,night}$ response parameters ($R_{eco,ref}$, E_0 , SWC_0 , $SWC_{1/2}$) were calculated considering all seasons
232 together (2011-2017) and for each crop season, using the nlsList function.

233 Similarly as in the diversity-interaction model (Sect. 2.3), we performed the $R_{eco,night}$ modelling on all
234 observed data (30-minute average), on daily-averaged data and on weekly-averaged data. Afterwards, we
235 calculated R^2 as the linear relationship between modelled and measured observations. The model
236 performed best (highest R^2) when using weekly-averaged data, probably due to the high day-to-day
237 variability of $R_{eco,night}$ and T_s .

238 2.5 Net biome production (NBP)

239 Finally, in line with our third objective, we estimated the NBP during the growth period. NBP can be
240 estimated knowing the NEE; C exports, including harvest/grazing and other gas emissions such as
241 methane or volatile organic compounds; and C imports, including organic C fertilizers and sowing. In our
242 study, C exports through methane were expected not to be very significant, because methane effluxes
243 require water saturated soils, typically with standing water (Oertel et al., 2016), which was never the case;
244 and volatile organic compounds were expected to be negligible (Soussana et al., 2010). C inputs through
245 sowing and fertilizers (mostly inorganic nitrogen fertilizers, Table 1) could also be neglected as they only
246 represent a very small C amount. Thus, we estimated the NBP during the growth period as the sum of the
247 NEE budget of that period and C exported through the yield Eq. (7).

248

$$NBP = NEE + Yield$$

249 (Equation 7)

250

251 3. Results

252 3.1 Forage species influence on CO₂ exchange dynamics and budgets

253 Seasonal CO₂ flux dynamics evolved according to environmental conditions, forage growth and
254 management events (Fig. 2). Maximum net CO₂ uptake was achieved during spring, when temperatures
255 were mild, SWC increased, and the forage development reached its peak biomass (Fig. 2). CO₂ exchange
256 capacity of the system decreased with harvesting (Fig. 2.a), also showed by the drastic decrease of the
257 NDVI (Fig. 2.d).

258 The field acted as a net CO₂ sink throughout all the studied crop seasons (negative NEE, Fig. 3.a). NEE of
259 cereal-legume mixtures was more negative and less variable (-363 g C m^{-2} , year 2013, and
260 -383 g C m^{-2} year 2017, Fig. 3.a) than that of cereal monocultures (ranging from -70 to -226 g C m^{-2} ,
261 Fig. 3.a).



262 During the growth period, cereal-legume mixtures showed the highest net CO₂ uptake, with a NEE of -359
263 and -429 g C m⁻² in 2013 and 2017 respectively (Fig. 3.b). On the other hand, cereal monocultures had a
264 NEE that ranged from -128 to -348 g C m⁻² (Fig. 3.b), with triticale being the cereal monoculture with the
265 highest net uptake (-348 g C m⁻², Fig. 3.b).

266 During the fallow period R_{eco} was the dominant flux in all cases (Fig. 3.c), although there were some
267 differences in the CO₂ exchange dynamics between cereal monocultures and cereal-legume mixtures
268 (Fig. 2.a), which were decisive for the cumulative net CO₂ uptake of the whole crop season. During the
269 fallow of grass-legume mixtures there was a more marked voluntary regrowth of the vegetation (Fig. 2.d)
270 that promoted a period of net CO₂ uptake after the harvest, especially strong in the triticale, oat and vetch
271 mixture (year 2013), and the oat and vetch mixture (year 2014, Fig. 2.a). Note that although gap-filled
272 2014 data were not used to account for CO₂ exchange budgets (Fig. 3) due to systematic gaps; 2014
273 gap-filled data could be used to describe CO₂ exchange dynamics and allowed us to identify this rebound
274 in the net CO₂ uptake during the fallow period of that year.

275 On the contrary, cereal monocultures generally did not show this voluntary regrowth during the fallow
276 period (Fig. 2.d), and gross and net CO₂ uptake capacity of the system decreased drastically (Fig. 2.a). The
277 exception was the wheat monoculture in 2015, when there was vegetation voluntary regrowth after the
278 harvest that resulted in net CO₂ uptake during the fallow period.

279 The diversity-interaction model (Table 2) confirmed the influence of forage species on NEE. The model
280 estimates indicated less net CO₂ uptake in cereal monocultures than in cereal-legume mixtures (Table 2,
281 negative sign in the estimate means uptake), again with a high variability within cereal monocultures.
282 Barley was the cereal monoculture with the lowest net uptake ($-1.0 \pm 0.3 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, $t = -3.39$,
283 $p < 0.001$, Table 2) and triticale was the cereal monoculture with the highest net uptake among the
284 monocultures ($-1.6 \pm 0.4 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, $t = -4.40$, $p < 0.001$, Table 2). Cereal-legume mixtures,
285 however, showed higher net CO₂ uptake rates (oat x vetch $-2.0 \pm 0.3 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, $t = -7.44$,
286 $p < 0.001$, Table 2) than all cereal species in monoculture. The addition of triticale in the mixture did not
287 have a significant effect on NEE (Table 2).

288 3.2 Cereal monocultures vs. cereal-legume mixtures: NEE_{day} light response

289 All three light response parameters exhibited pronounced seasonality, as result of phenological changes
290 and management events (Fig. 4). During the growth period, cereal-legume mixtures exhibited on average
291 slightly higher values of GPP_{sat} than cereal monocultures, while R_{eco,day} did not increase (Fig. 5).
292 During the fallow period, cereal-legume mixtures presented on average significantly higher GPP_{sat} and
293 α values than cereal monocultures (Fig. 5), due to the voluntary regrowth of the vegetation (Fig. 2.d),
294 which also caused a rebound on GPP_{sat} and α (Fig. 5).

295 3.3 Cereal monocultures vs. cereal-legume mixtures: R_{eco,night} response to temperature and soil 296 water content

297 R_{eco,night} models, based on the equations proposed by Reichstein et al. (2002, our Eq. 4- 6), presented a
298 satisfactory fitting, with R² ranging from 0.19 to 0.75 across seasons (Table 3). When assessing all seasons
299 together, T_s and SWC drove R_{eco,night} (Fig. 6); with an activation energy (E₀) significantly dependent on



300 SWC ($E_0 \sim a + b \cdot \text{SWC}$, $a = 76 \pm 40$ and $b = 483 \pm 259 \text{ }^\circ\text{C}^{-1}$, Table 3), indicating that temperature sensitivity
301 was dependent on SWC Eq. (5). Also, soil water content at which maximal $R_{\text{eco,night}}$ halves ($\text{SWC}_{1/2}$) was
302 significant (0.06 ± 0.01 , Table 3), indicating that $R_{\text{eco,night}}$ decreased to half-maximum or lower at
303 $\text{SWC} \leq 6 \pm 1\%$.

304 However, some estimates of the $R_{\text{eco,night}}$ response parameters were not significantly different from zero
305 ($p \geq 0.05$, see significant estimates in bold, Table 3); and when assessing differences between forage
306 types, non-significant estimates were not considered for comparison. Yet, E_0 of barley, in year 2011
307 ($b = 3668 \pm 1645 \text{ }^\circ\text{C}^{-1}$, Table 3), and of wheat, in year 2015 ($b = 850 \pm 627 \text{ }^\circ\text{C}^{-1}$, Table 3), were
308 significantly dependent on SWC, both values being much higher than the average of all crop seasons
309 ($b = 483 \pm 259 \text{ }^\circ\text{C}^{-1}$, Table 3). Also, the reference ecosystem respiration ($R_{\text{eco,ref}}$) of triticale in year 2012,
310 was significantly different from zero ($4 \pm 2 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, Table 3), exceeding $R_{\text{eco,ref}}$ of all seasons
311 together ($2.8 \pm 0.3 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, Table 3). Finally, soil water content below which $R_{\text{eco,night}}$ ceases
312 (SWC_0) and $\text{SWC}_{1/2}$ had a significant influence on $R_{\text{eco,night}}$ in the triticale, oat and vetch mixture
313 (year 2013), the oat and vetch mixture (year 2014), and in the wheat monoculture (year 2015, Table 3).
314 Both cereal-legume mixtures (year 2013 and 2014), had a $\text{SWC}_{1/2}$ that was very close to SWC_0 , indicating
315 that SWC could reach very low values before $R_{\text{eco,ref}}$ halved, although this SWC value was already very
316 close to the limit at which $R_{\text{eco,ref}}$ ceases (SWC_0). On the contrary, during the wheat monoculture of 2015,
317 $\text{SWC}_{1/2}$ (0.08 ± 0.03 , Table 3) doubled SWC_0 (0.04 ± 0.03 , Table 3).

318 **3.4 Cereal monocultures vs. cereal-legume mixtures: Net biome production (NBP)**

319 Finally, NBP during the growth period indicated net C input into the system (negative NBP), except
320 during the cereal monocultures of triticale (year 2012), and barley (year 2011, Fig. 7). The most negative
321 NBP was detected in the wheat monoculture in 2015 ($\text{NBP} \approx -108 \text{ g C m}^{-2}$, Fig. 7), followed by the oat
322 and vetch mixture in 2017 ($\text{NBP} \approx -67 \text{ g C m}^{-2}$, Fig. 7).

323

324 **4. Discussion**

325 Forage species drove CO_2 exchange responses consistently throughout the assessed years and different
326 environmental conditions in the studied forage system of the Eastern Pyrenees. Cereal-legume mixtures
327 had more negative NEE, during the whole crop season (Fig. 3.a) and during the growth period (Fig. 3.b)
328 than cereal monocultures. Also, cereal-legume mixtures had lower NEE inter-annual variability
329 (-363 g C m^{-2} , year 2013, and -383 g C m^{-2} year 2017, Sect. 3.1) than cereal monocultures (ranging
330 from -70 to -226 g C m^{-2} , Sect. 3.1), suggesting a consistent diversity effect on NEE along different
331 forage mixtures and proportions of species in the mixtures.

332 Moreover, the diversity-interaction model (Table 2) confirmed the capacity of cereal-legume mixtures to
333 take up more CO_2 , oat and vetch being the mixture with the highest net CO_2 uptake (Table 2). The
334 inclusion of legumes was key for promoting this diversity effect, since the oat and vetch mixture had a
335 significant effect on NEE, while the triticale addition in the mixture did not significantly increase the net
336 CO_2 uptake (Table 2).



337 These results agree with our first hypothesis: cereal-legume mixtures enhance the net CO₂ uptake in
338 comparison to cereal monocultures (barley, wheat and triticale). Those differences in CO₂ fluxes between
339 cereal-legume mixtures and cereal monocultures could be explained by plant species complementarity,
340 together with mechanisms related to ecophysiological responses, including CO₂ uptake and respiration
341 (Sect. 4.1), as well as management (Sect. 4.2).

342 **4.1 Forage species influence on gross CO₂ uptake and respiration**

343 From a mechanistic perspective, cereal-legume mixtures had higher light use efficiency than cereal
344 monocultures, as indicated by the slightly higher values of GPP_{sat} achieved during the growth period, and
345 the marked α and GPP_{sat} rebound during the fallow period (Figs. 4-5). Accordingly, cereal legume
346 mixtures have been reported to increase gross CO₂ uptake, not only via the increased photosynthesis of
347 legumes (Reich et al., 1997, 2003), but also increasing photosynthesis of the overall community via
348 nitrogen transfer from the legume to the cereal in the mixture. Interestingly, our results showed that this
349 increase in the gross CO₂ uptake and the photosynthetic activity was not accompanied by a significant
350 increase of daytime respiration rates (R_{eco,day}, Figs. 4-5).

351 On the other hand, R_{eco,night} was clearly driven by T_s and SWC (Albergel et al., 2010; Davidson and
352 Janssens, 2006; Yvon-Durocher et al., 2012), although it was limited at the highest T_s and lowest SWC
353 (Fig. 6). In agreement, some authors have identified a temperature threshold at which temperature
354 sensitivity changes, decreasing respiration (Carey et al., 2016; Hernandez and Picon-Cochard, 2016;
355 Reichstein et al., 2002). This change in respiration-temperature sensitivity has been explained by
356 (a) changes in microbial activity (Balsler and Wixon, 2009), decreasing the heterotrophic component of
357 R_{eco}; and (b) an indirect effect through limitations on GPP, resulting in limitations on the autotrophic
358 component of R_{eco}, particularly affected by the combination of high temperatures with low SWC (Niu et
359 al., 2012; Reichstein et al., 2002). In our study, we did not partition R_{eco} into autotrophic and
360 heterotrophic respiration, but this shift in respiration-temperature at the highest temperatures and the
361 lowest SWC mostly happened after harvest (Fig. 2), which irretrievably decreased GPP and
362 photosynthesis, and most likely lowered the autotrophic component of R_{eco} (Larsen et al., 2007).

363 Moreover, R_{eco,night} responded similarly to T_s and SWC in both cereal monocultures and cereal-legumes
364 mixtures, since differences in CO₂ respiration response to T_s and/or SWC were not detected (inconsistent
365 differences between response parameters: R_{eco,ref}, SWC₀, SWC_{1/2} and E₀; see Table 3). This may well be
366 because although generally legumes have higher autotrophic respiration rates, with both higher leaf (Li et
367 al., 2016) and root respiration rates (Warembourg et al., 2003) than cereals, and there is a strong nitrogen
368 content – respiration relationship (Reich et al., 2008), this increase in respiration is largely driven by
369 higher GPP and photosynthetic activity (Larsen et al., 2007). Thus, although there had been differences in
370 the autotrophic respiration resulting from differences in photosynthetic rates, this does not necessarily
371 mean that night-time fluxes (R_{eco,night}) of cereal-legume mixtures had higher temperature and/or SWC
372 sensitivity than cereal monocultures. In addition, even if there had been differences between legume and
373 cereal species in their R_{eco,night} sensitivity to T_s and SWC, these differences were not noticeable at the
374 community scale (Table 3).



375 Interestingly, this is in line with the previously discussed NEE light response results, since the increase in
376 the CO₂ input, favoured by the presence of legumes in the community, overcompensated CO₂ respiration
377 losses, both during day (R_{eco,day}) and night (R_{eco,night}) time. This is in agreement with our second
378 hypothesis, cereal-legume mixtures having more negative NEE (Table 2) due to higher photosynthetic
379 rates, but not higher respiration rates. Chen et al. (2017) found a similar result, with legumes increasing
380 gross CO₂ uptake (higher GPP), but not enhancing CO₂ release, resulting in more negative NEE. Most
381 likely, increased total nitrogen availability, mediated by legumes, increased photosynthetic activity of the
382 overall community at a higher rate than respiration losses (Chen et al., 2017).

383 4.2 Management associated with forage types: influence on NEE and NBP

384 Management associated to each forage type had inherent particularities. Cereal monocultures were
385 harvested once the yield was sufficiently dry and grains were mature; while cereal-legume mixtures were
386 harvested when the vegetation was still fresh (before boot stage) for silage; the latter being a conventional
387 practice to improve forage nutritional value, and favour the voluntary regrowth after the harvest
388 (Canevari, 2000).

389 In our study, these differences in harvesting time resulted in clear differences in vegetation regrowth
390 dynamics (Fig. 2.d), which were decisive for the cumulative net CO₂ uptake of the whole crop season.
391 Thus, cereal-legume mixtures markedly regrew after the harvest, in May or early June, because the
392 vegetation was still in an earlier stage of phenological development, and environmental conditions were
393 also favourable during that time of the season. On the contrary, cereal monocultures had completed their
394 development cycle, and this usually left no room for voluntary regrowth after harvest (Fig. 2.d), and
395 hence no net CO₂ uptake during the fallow period (Fig. 2.a). Also, seeds that remained in the field after
396 the harvest did not encounter the environmental conditions required to germinate, since temperatures
397 were too high and SWC was too low at that time of the season, July-August.

398 On the other hand, all cereal-legume mixtures had a NBP that was negative during the growth period
399 (Fig. 7), indicating that there was C input into the system beyond the yield. In this sense, it is worth
400 estimating the optimum amount of biomass that can be harvested and left in the field, in order to achieve
401 the maximum NBP of the system, without compromising the yield. Yet, our third hypothesis had to be
402 rejected: cereal-legume mixtures did not clearly increase NBP as compared with cereal monocultures
403 during the growth period, since some cereal monocultures (wheat, year 2015, and barley, year 2016) had
404 a similar NBP during the growth period (Fig. 7).

405 However, we do still believe that cereal-legume mixtures could have shown an increase in NBP
406 magnitude (more negative NBP) compared with cereal monocultures, had we assessed the entire crop
407 season (growth and fallow). The particularly pronounced voluntary regrowth of the vegetation during the
408 fallow period of cereal-legume mixtures (Fig. 2.d), provided a profitable resource for livestock, besides
409 providing an important litter input into the system. This, combined with the moderate grazing intensity
410 (≈ 0.91 LSU ha⁻¹), left an important part of the vegetation in the field, thereby increasing NBP, and partly
411 offsetting C losses due to harvesting. Thus, for future studies, we recommend to estimate C exports
412 through grazing during the fallow period (in addition to determine soil C content), to more accurately



413 estimate C inputs and exports, and consequently NBP during the whole crop season in the studied forage
414 system.

415 Finally, legumes present in cereal-legume mixtures had higher crude protein, lower neutral detergent
416 fibre, and higher nitrogen content than all cereals (Table S1), and vegetation remaining in the field could
417 also be increasing soil nitrogen. Soil nitrogen determination would also be recommendable in further
418 studies to fully assess the effect of forage species on soil fertility.

419

420 **Conclusions**

421 Based on the findings of seven years of continuous NEE measurements in an intensively managed forage
422 system in the Pyrenees, we found strong evidence that cereal-legume mixtures increased net CO₂ uptake
423 compared with cereal monocultures. Cereal-legume mixtures enhanced photosynthetic activity and gross
424 CO₂ uptake compared with cereal monocultures, without significantly increasing respiration, therefore
425 increasing net CO₂ uptake. Also, management practices associated with cereal-legume mixtures,
426 particularly an earlier harvesting time, allowed higher voluntary regrowth of the vegetation during the
427 fallow period. This provided additional feed for the livestock, and enhanced net CO₂ uptake during that
428 period, which was decisive for the net CO₂ budget of the whole crop season. Cereal-legume mixtures
429 enhance net CO₂ uptake capacity of forage systems compared with cereal monocultures, while ensuring
430 productivity and forage quality.

431

432 **Data availability**

433 Data are not public as are currently being used for other research projects. Please contact the
434 corresponding author by e-mail for queries concerning the data used in this study.

435

436 **Author contribution**

437 MI performed research, analysed data and wrote the paper; NA conceived and designed the study,
438 performed research and revised the paper; AR conceived and designed the study and revised the paper;
439 WE analysed data and revised the paper; MTS conceived and designed the study and revised the paper.

440 **Competing interests**

441 The authors declare that they have no conflict of interest.



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- 687



688 **Tables**

689 **Table 1. Sward management: Forage type, species, fertilizer type (NPK 9-23-30: nitrogen 9%, phosphorus**
 690 **23%, potassium 30%; urea; and NAC 27: calcium ammonium nitrate 27% nitrogen) and rate, sowing date**
 691 **and rate, harvesting date, yield and C exported through yield.**

Forage type	Species	Fertilizer (kg ha ⁻¹)	Sowing date	Sowing rate (kg ha ⁻¹)	Harvesting date	Yield (dry weight) (kg ha ⁻¹) (g C m ⁻²)	
Cereal monoculture	Barley	NPK 9-23-30, 250	01/11/2010	221	07/07/2011	3000	138
Cereal monoculture	Triticale	Urea, 140	01/11/2011	221	01/07/2012	13133	607
Cereal-legume mixture	Triticale, oat, vetch	Not applied	01/11/2012	225	19/06/2013	7500	339
Cereal-legume mixture	Oat, vetch	Urea, 130	01/11/2013	239	01/07/2014	6720	304
Cereal monoculture	Wheat	NPK 9-23-30, 250	01/11/2014	212	01/08/2015	2580	118
Cereal monoculture	Barley	Urea, 120 NAC 27, 100	01/11/2015	221	01/09/2016	4500	208
Cereal-legume mixture	Oat, vetch	Not applied	01/11/2016	235	01/06/2017	7200	326

692

693



694 **Table 2. Diversity-interaction model results. Net ecosystem exchange (NEE) as function of air temperature**
695 **(T_a), net radiation (R_{net}), vapour pressure deficit (VPD), and species proportions: barley, triticale, wheat, oat**
696 **and vetch (see forage species proportions in Fig. 1). Model performed on weekly-averaged values of all the**
697 **variables. Estimates (Est.) of the explanatory variables, standard error (SE), t and p-value.**

698

	NEE ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)			
	Est.	SE	t	p
T_a ($^{\circ}\text{C}$)	0.19	0.04	5.06	< 0.001
R_{net} (W m^{-2})	-0.030	0.002	-12.61	< 0.001
VPD (hPa)	0.17	0.05	3.56	< 0.001
Barley (fraction)	-1.0	0.3	-3.39	< 0.001
Triticale (fraction)	-1.6	0.4	-4.40	< 0.001
Wheat (fraction)	-1.5	0.3	-4.42	< 0.001
Oat x vetch (fraction)	-2.0	0.3	-7.44	< 0.001
Triticale x oat x vetch (fraction)	1	2	0.58	0.6
R^2_{Adj}	0.45			< 0.001

699

700



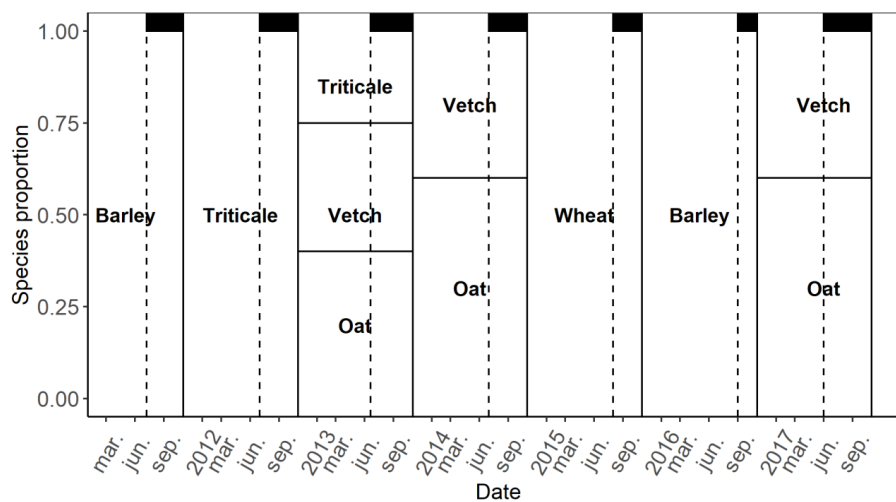
701 **Table 3.** $R_{\text{eco,night}}$ soil temperature and soil water content response parameters based on the equations proposed
 702 by Reichstein et al. (2002, Eq. 4-6): reference ecosystem respiration ($R_{\text{eco,ref}}$); soil water content below which
 703 Reco ceases (SWC_0); soil water content at which maximal $R_{\text{eco,night}}$ halves ($\text{SWC}_{1/2}$); and a and b parameters of
 704 the activation energy linear function ($E_0 = a + b \cdot \text{SWC}$). Model performed on weekly averaged values of all
 705 the variables. Estimates (Est.) and standard error (SE) of the parameters. Estimates in bold are significantly
 706 different from zero ($p < 0.05$).

707

Parameters	2011 Barley		2012 Triticale		2013 Triticale, oat, vetch		2014 Oat, vetch		2015 Wheat		2016 Barley		2017 Oat, vetch		All seasons	
	Est.	SE	Est.	SE	Est.	SE	Est.	SE	Est.	SE	Est.	SE	Est.	SE	Est.	SE
$R_{\text{eco,ref}}$ ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)	1	2	4	2	2.9	0.3	2.3	0.2	2.7	0.6	9	15	3	2	2.8	0.3
SWC_0 (fraction)	0.3	0.6	0.01	0.02	0.048	0.005	0.05	0.002	0.04	0.03	0.03	0.06	0	0.2	0.01	0.01
$\text{SWC}_{1/2}$ (fraction)	0.4	0.9	0.1	0.1	0.054	0.003	0.052	0.002	0.08	0.03	0	1	0.1	0.07	0.06	0.01
a ($^{\circ}\text{C}^{-1}$)	-263	221	136	135	215	94	162	138	64	118	83	140	18	126	76	40
b ($^{\circ}\text{C}^{-1}$)	3688	1645	596	1251	-603	744	547	987	850	627	-37	833	451	694	483	259
R^2	0.59		0.61		0.49		0.69		0.75		0.36		0.19		0.35	

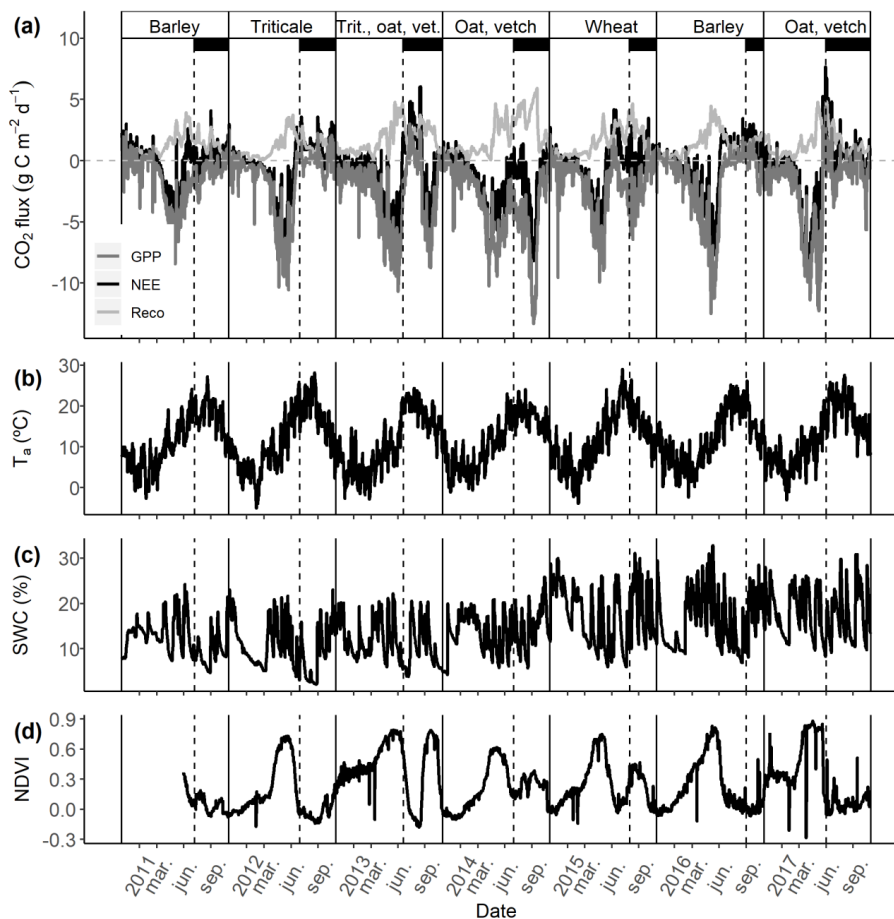


708 **Figures**

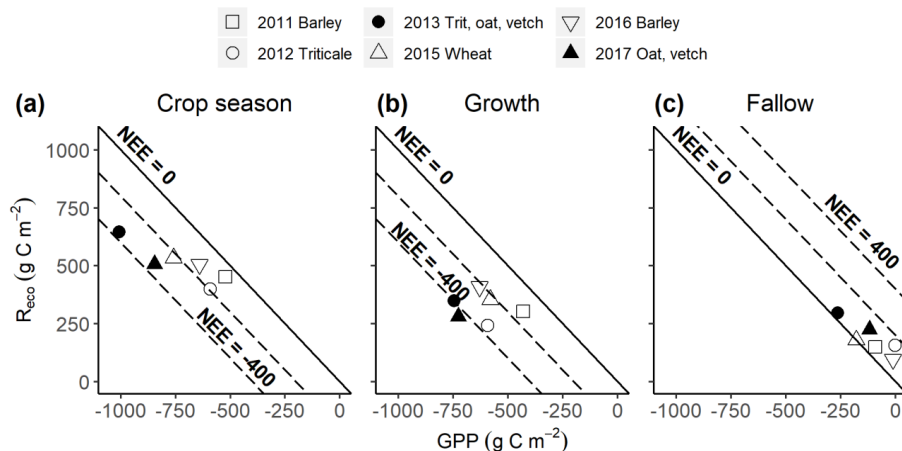


709 **Figure 1. Crop rotation timeline, species proportions and management events: black dashed lines indicate**
710 **harvesting and solid black lines indicate sowing. Top black bands indicate fallow periods in which there was**
711 **grazing.**

712

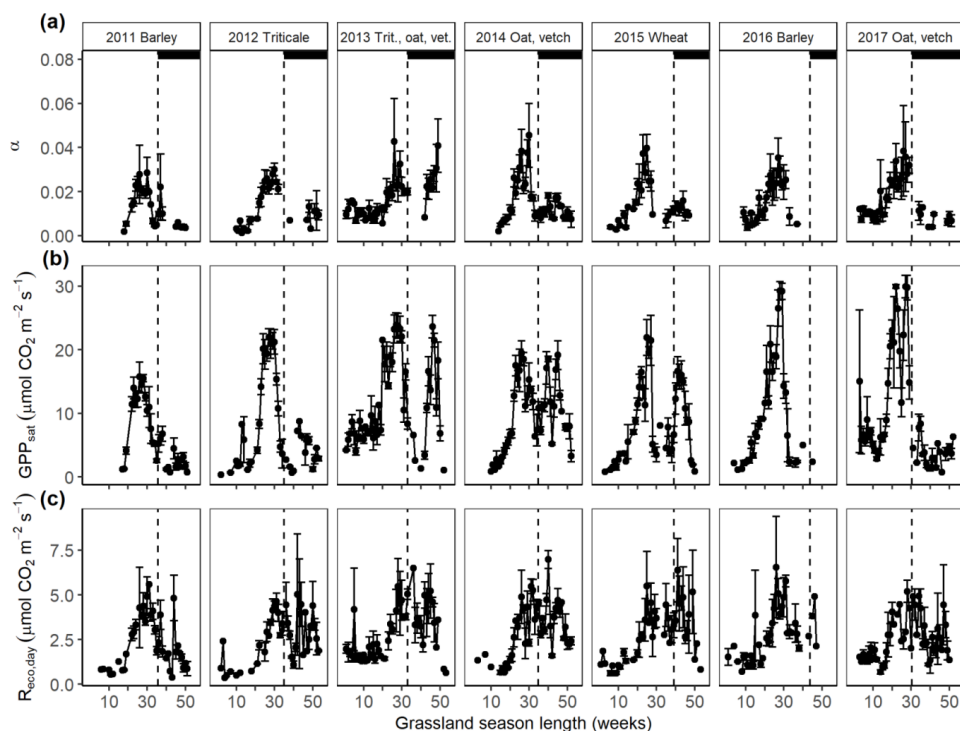


713 **Figure 2.** Daily averaged (a) CO₂ fluxes: net ecosystem exchange (NEE), gross primary production (GPP) and
714 ecosystem respiration (R_{eco}); (b) air temperature (T_a); (c) volumetric soil water content (SWC); and
715 (d) normalized difference vegetation index (NDVI). Titles in the top panel indicate forage species. Black
716 dashed lines indicate harvest events and solid black lines indicate sowing events. Top black bands indicate
717 fallow periods in which there was grazing.



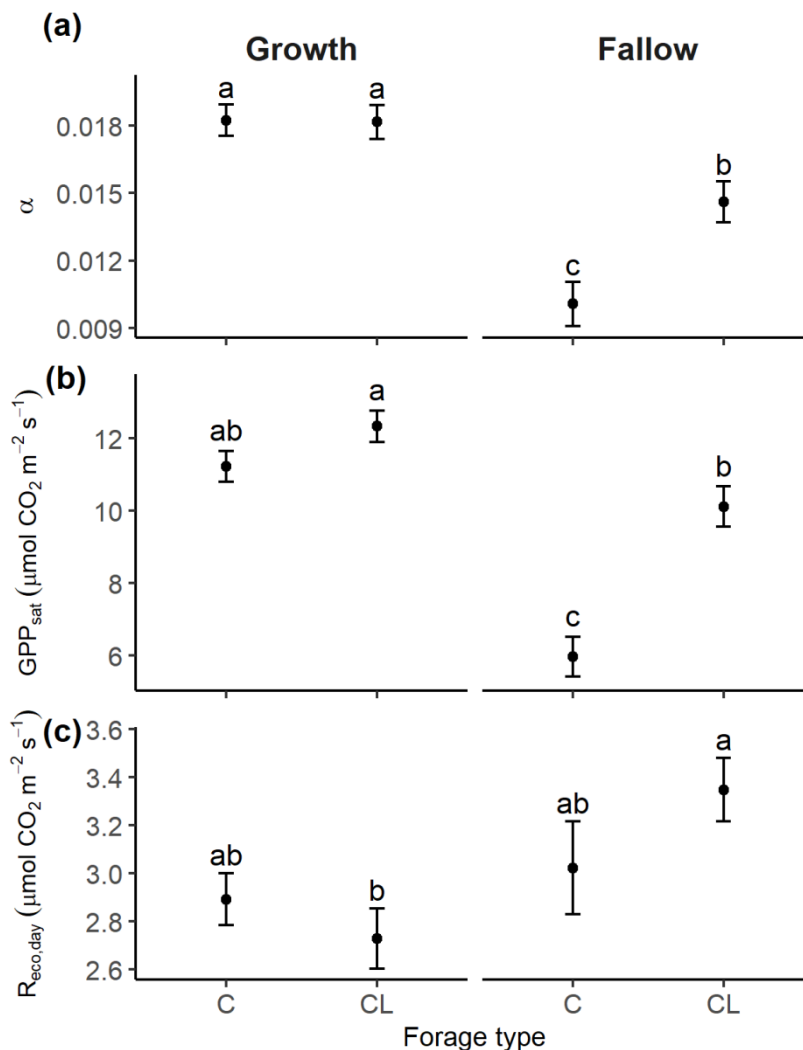
718 **Figure 3.** Net ecosystem exchange (NEE), gross primary production (GPP) and ecosystem respiration (R_{eco})
 719 budgets after gap-filling per: (a) Crop season, defined as the time from sowing to next sowing; (b) growth
 720 period, defined as the time from sowing to harvest; and (c) fallow period, defined as the time from harvest to
 721 next sowing. Solid diagonal line indicates $NEE = 0 \text{ g C m}^{-2}$, dashed diagonal lines indicate $\pm 200 \text{ g C m}^{-2}$ NEE
 722 intervals. Open symbols indicate cereal monocultures and solid symbols cereal-legume mixtures.

723

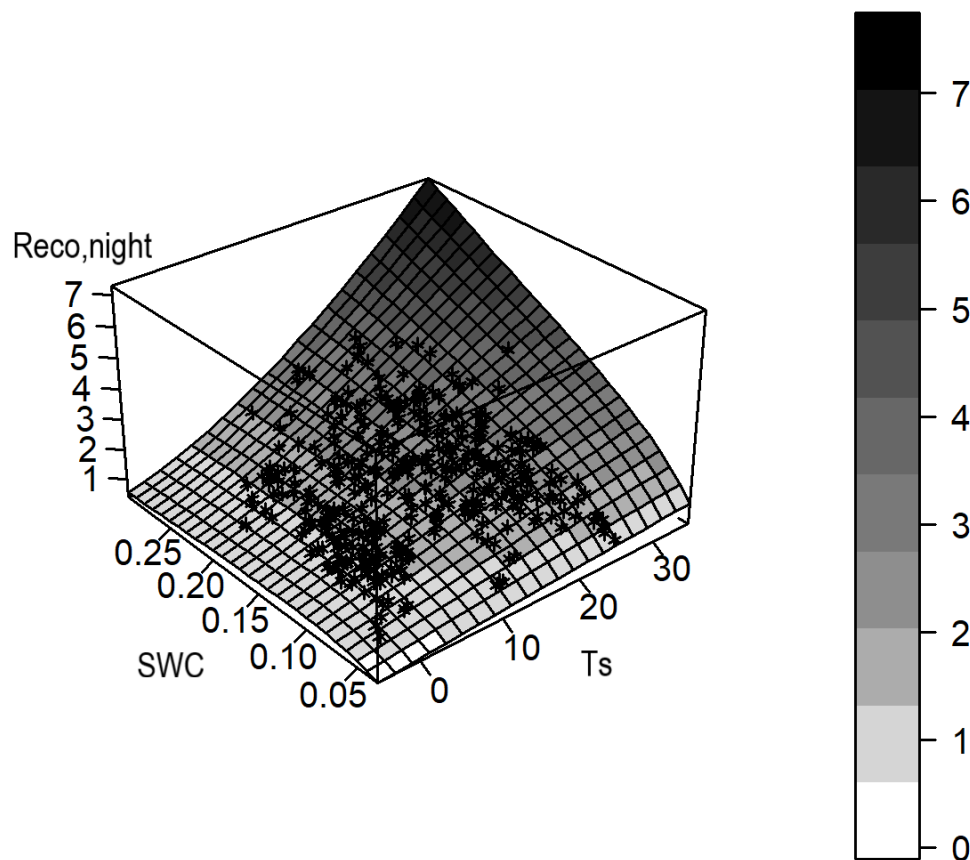


724 Figure 4. Seasonal dynamics of NEE_{day} light response parameters Eq. (3): (a) apparent initial quantum yield
725 (α); (b) asymptotic gross primary production (GPP_{sat}); and (c) daytime ecosystem respiration ($R_{eco,day}$).
726 Weekly averaged values and corresponding standard error bars. Titles in the top panels indicate forage
727 species. Black dashed lines indicate harvesting events. Top black bands indicate fallow periods in which there
728 was grazing. Gaps are due to missing data or not significant estimates ($p \geq 0.05$), which have been discarded.

729

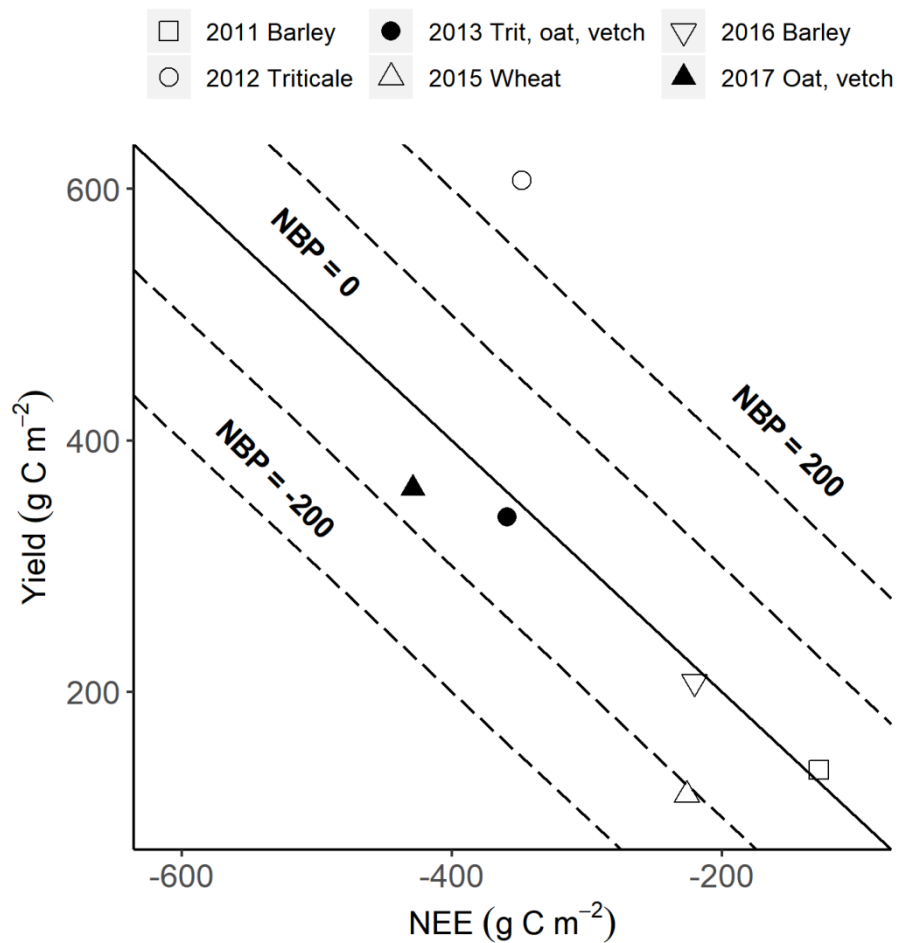


730 **Figure 5.** Light response parameters Eq. (3): (a) apparent initial quantum yield (α); (b) asymptotic gross
 731 **primary production** (GPP_{sat}); and (c) average daytime ecosystem respiration ($R_{\text{eco,day}}$) mean \pm standard error,
 732 **and Tukey post-hoc test per forage type** (C: cereal monoculture, CL: cereal-legume mixture) and period
 733 (growth and fallow). Letters indicate significant differences among groups ($p < 0.05$). See ANOVAs results in
 734 **Table S3.**



735 **Figure 6.** $R_{\text{eco,night}}$ trend surface as a function of soil temperature (T_s) and soil water content (SWC), by the
736 equations proposed by Reichstein et al. (2002, Eq. 4-6). Model performed on weekly averaged data of all the
737 variables. The grid shows the trend surface and dots are observed data.

738



739 Figure 7. Net biome production (NBP), net ecosystem exchange (NEE) and yield during the growth period,
740 defined as the time from sowing to harvest. Solid diagonal line indicates $NBP = 0 \text{ g C m}^{-2}$, dashed diagonal
741 lines indicate $\pm 100 \text{ g C m}^{-2}$ NBP intervals. Open symbols indicate cereal monocultures and solid symbols
742 cereal-legume mixtures.