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## Journal Article

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# Search for pair-produced resonances decaying to quark pairs in proton-proton collisions at $\sqrt{s} = 13$ TeV

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A general search for the pair production of resonances, each decaying to two quarks, is reported. The search is conducted separately for heavier resonances (masses above 400 GeV), where each of the four final-state quarks generates a hadronic jet resulting in a four-jet signature, and for lighter resonances (masses between 80 and 400 GeV), where the pair of quarks from each resonance is collimated and reconstructed as a single jet resulting in a two-jet signature. In addition, a  $b$ -tagged selection is applied to target resonances with a bottom quark in the final state. The analysis uses data collected with the CMS detector at the CERN LHC, corresponding to an integrated luminosity of  $35.9 \text{ fb}^{-1}$ , from proton-proton collisions at a center-of-mass energy of 13 TeV. The mass spectra are analyzed for the presence of new resonances, and are found to be consistent with standard model expectations. The results are interpreted in the framework of  $R$ -parity-violating supersymmetry assuming the pair production of scalar top quarks decaying via the hadronic coupling  $\lambda''_{312}$  or  $\lambda''_{323}$  and upper limits on the cross section as a function of the top squark mass are set. These results probe a wider range of masses than previously explored at the LHC, and extend the top squark mass limits in the  $\tilde{t} \rightarrow qq'$  scenario.

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## I. INTRODUCTION

New particles that decay into quarks and gluons and produce fully hadronic signatures are predicted in many models of physics beyond the standard model (SM) [1–3]. For instance, the violation of baryon number in certain supersymmetric (SUSY) models leads to colored superpartners producing fully hadronic final states [4]. In this paper, we report on a generic search for pair-produced resonances decaying to two light quarks ( $qq'$ ) or one light quark and one bottom quark ( $bq'$ ).

Minimal SUSY models introduce  $R$ -parity, associated with a  $Z_2$  symmetry group called  $R$  symmetry, to forbid terms in the SUSY potential that naturally lead to the violation of baryon or lepton numbers [5]. After SUSY breaking,  $R$ -parity violating Yukawa interactions of the form

$$\lambda_{ijk} L_i L_j E_k^c, \quad \lambda'_{ijk} L_i Q_j D_k^c, \quad \lambda''_{ijk} U_i^c D_j^c D_k^c, \quad (1)$$

can appear in the Lagrangian, where  $\lambda$ ,  $\lambda'$ ,  $\lambda''$  are coupling constants, and  $i, j, k$  are quark and lepton generation indices following the summation convention, while  $c$  denotes

charge conjugation. The  $SU(2)$  doublet superfields of the lepton and quark are denoted by  $L_i$  and  $Q_i$ , respectively, while the  $E_i$ ,  $U_i$  and,  $D_j$  represent the  $SU(2)$  singlet superfields of the lepton, up- and down-type quarks, respectively. The first and third terms in Eq. (1) are antisymmetric in  $\{i, j\}$  and  $\{j, k\}$ , respectively. The trilinear couplings  $\lambda''_{ijk}$  permit vertices of sfermions interacting with two fermions, and in baryonic  $R$ -parity-violating (RPV) models, the only nonzero couplings in Eq. (1) are  $\lambda''_{ijk}$ , which produce interactions of squarks with two quarks.

We consider pair production of top squarks ( $\tilde{t}$ ) as a benchmark model, assuming the  $\tilde{t}$  is the lightest of the colored SUSY partners and is allowed to decay via the baryonic RPV coupling to quarks. In this case  $\lambda''_{ijk} = \lambda''_{3DD}$  and each index reflects the squark or quark generation of the process, two of which are down-type quarks. Two possible choices of hadronic RPV coupling scenarios are studied:  $\tilde{t} \rightarrow qq'$  through the coupling  $\lambda''_{312}$ , and  $\tilde{t} \rightarrow bq'$  through the coupling  $\lambda''_{323}$ . The couplings considered are assumed to be large enough such that the resulting decays are prompt. These two models are schematically depicted in Fig. 1.

Searches for  $\tilde{t} \rightarrow qq'$  via RPV decays have been performed at CERN by the ALEPH experiment at LEP [6], which excluded  $m_{\tilde{t}} < 80$  GeV at 95% confidence level (CL), and subsequently by the CDF experiment [7] at the Fermilab Tevatron, which extended the limit to  $m_{\tilde{t}} < 100$  GeV. Similar searches have been performed at the CERN LHC by both the CMS and ATLAS experiments at center-of-mass

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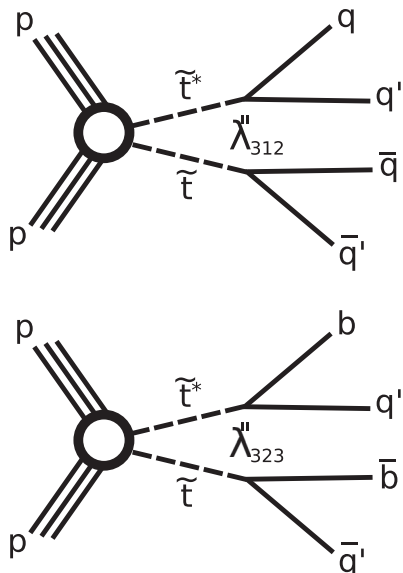


FIG. 1. Diagrams for the benchmark models used in this analysis: pair production of top squarks decaying into  $qq'$  via the RPV coupling  $\lambda''_{312}$  (top), and  $bq'$  via the RPV coupling  $\lambda''_{323}$  (bottom).

energies  $\sqrt{s} = 7, 8,$  and  $13$  TeV; CMS [8] excluded  $200 < m_{\tilde{t}} < 350$  GeV at  $\sqrt{s} = 8$  TeV, while the ATLAS exclusion [9] is  $100 < m_{\tilde{t}} < 410$  GeV at  $\sqrt{s} = 13$  TeV. For the  $\tilde{t} \rightarrow bq'$  scenario, mass exclusion limits at  $\sqrt{s} = 8$  TeV have been reported by CMS [8] of  $200 < m_{\tilde{t}} < 385$  GeV, and by ATLAS [10] of  $100 < m_{\tilde{t}} < 310$  GeV, and at  $\sqrt{s} = 13$  TeV ATLAS [9] excluded  $100 < m_{\tilde{t}} < 470$  GeV and  $480 < m_{\tilde{t}} < 610$  GeV.

The analysis reported in this paper uses  $pp$  collision data at  $\sqrt{s} = 13$  TeV collected with the CMS detector [11] at the LHC in 2016, corresponding to an integrated luminosity of  $35.9 \text{ fb}^{-1}$  [12]. The search is conducted in two mass ranges. The mass spectrum between 60 and 450 GeV is used to search for lighter resonances between 80 and 400 GeV, where the decay products of each of the resonances are sufficiently collimated to be reconstructed as a single jet (boosted search). The mass spectrum above 350 GeV is explored for the presence of heavier resonances above 400 GeV, where four jets are reconstructed in the final state (resolved search). Together they target resonance masses between 80 and 1500 GeV. When  $b$  tagging requirements are applied to either of the searches, we refer to the selection as  $b$  tagged, and interpret the results in the  $\tilde{t} \rightarrow bq'$  scenario. When no  $b$  tagging is applied, we refer to the selection as inclusive, and interpret the results in the  $\tilde{t} \rightarrow qq'$  scenario. In both searches, the selection criteria and analysis strategies are general, such that any pair produced diquark resonance with a narrow width and sufficient cross section would appear as a local enhancement in the mass spectra.

The low-mass boosted search exploits the internal structure of the jets to differentiate between signal jets

(two-prong structure) and jets coming from quantum chromodynamics (QCD) multijet processes (predominantly with no internal structure). In this search, we use the average mass of the two jets with the highest transverse momentum ( $p_T$ ), after removing soft and wide-angle QCD multijet radiation, to look for evidence of a signal consistent with localized deviations from the estimated SM backgrounds. The primary SM background component—QCD multijet events—is estimated from data control samples. Subdominant SM processes, such as the single and double production of  $W$  and  $Z$  bosons, and top quarks decaying hadronically, are taken into account with simulated samples. These backgrounds create resonances in the mass spectrum, and they are henceforth referred to as resonant backgrounds.

For the resolved search, the high-mass resonances are produced with insufficient boost for the decay products to be merged into single jets, and events with four individual high transverse momentum ( $p_T$ ) jets are selected. The dijet mass spectrum in this search is also dominated by QCD multijet production. The mass spectrum is parametrized as a steeply falling smooth distribution that is explored for signal-like localized excesses.

## II. THE CMS DETECTOR

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and a strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. Forward calorimeters extend the pseudorapidity ( $\eta$ ) coverage provided by the barrel and endcap detectors. Muons are detected in gas-ionization chambers embedded in the steel flux-return yoke outside the solenoid. Energy deposits from hadronic jets are measured using the ECAL and HCAL. Events of interest are selected using a two-tiered trigger system [13]. A detailed description of the CMS detector, together with a definition of the coordinate system used and the relevant kinematic variables, can be found in Ref. [11].

## III. SIMULATED EVENT SAMPLES

Top squark signal events are simulated using a combination of PYTHIA 8.212 [14] and MADGRAPH 5\_amc@NLO 2.2.2 [15]. The calculation of the production of a pair of top squarks with up to two additional initial-state radiation jets is performed at leading order (LO) with MADGRAPH 5\_amc@NLO and MLM merging [16], while PYTHIA is used for the prompt decay of each top squark to either  $\tilde{t} \rightarrow qq'$  or  $\tilde{t} \rightarrow bq'$  through the  $\lambda''_{3DD}$  hadronic RPV couplings. For each of the coupling models considered, all other  $\lambda''_{3DD}$  couplings are set to zero so that the branching fraction to the desired channel is 100%. The

PYTHIA simulation is also used for the parton showering and the fragmentation with the CUETP8M1 [17] underlying event tune. For each coupling, top squarks are generated with masses between 80 and 1500 GeV, in 20 GeV increments up to 300 GeV, in 50 GeV steps up to 1 TeV, and in 100 GeV increments thereafter. All other SUSY particle masses are set to higher values in order not to produce intermediate sparticles in the top squark production and decay. The natural width of the top squark is taken to be much smaller than the detector resolution.

Processes from QCD multijets are simulated at LO via PYTHIA using the CUETP8M1 tune [17]. The production of a hadronically decaying  $W$  or  $Z$  boson accompanied by additional jets from initial- and final-state radiation ( $W \rightarrow q'\bar{q} + \text{jets}$  or  $Z \rightarrow q\bar{q} + \text{jets}$ ) [16], and  $ZZ$  diboson [18] samples are generated with MADGRAPH 5\_amc@NLO, at LO with MLM merging and at next-to-leading order (NLO) with FFX merging [18], respectively.  $WZ$  processes are generated at LO with PYTHIA, and  $t\bar{t} + \text{jets}$  and  $WW$  samples are generated at NLO with POWHEG v2 [19,20]. For  $W \rightarrow q'\bar{q}/Z \rightarrow q\bar{q} + \text{jets}$  events, higher-order  $p_T$ -dependent electroweak NLO corrections are applied to improve the modeling of the kinematic distributions [21–25].

Additional  $pp$  interactions in the same or adjacent bunch crossings are referred to as pileup. A number of minimum bias interactions are added to the hard interaction of all simulated samples, and the events are weighted such that the distribution of the number of pileup interactions is the same as that in the data. PYTHIA is used for the parton showering and hadronization and the simulation of the CMS detector for all samples is handled by GEANT4 [26]. All simulated samples are produced with the parton distribution functions (PDF) NNPDF3.0 [27], with the precision (LO or NLO) set by the generator used.

#### IV. JET RECONSTRUCTION AND SELECTION

The reconstructed vertex with the largest value of summed physics-object  $p_T^2$  is taken to be the primary  $pp$  interaction vertex. Here the physics objects are the jets, clustered using the anti- $k_T$  jet finding algorithm [28,29], with the tracks assigned to the vertex as inputs, and the associated missing transverse momentum, taken as the negative vector  $p_T$  sum of those jets. Particle candidates in CMS are reconstructed using a particle-flow (PF) algorithm [30], which identifies muons, electrons, photons, and neutral and charged hadrons through a combination of information from the various subdetectors. The PF candidates identified as originating from pileup are removed prior to the jet clustering [31,32]. Jets with a clustering distance parameter of 0.4 (AK4 jets) and 0.8 (AK8 jets) are used for the resolved and the boosted searches, respectively. Corrections are applied to jet energies as a function of  $\eta$  and  $p_T$  of the jet to account for the combined response function of the detector to reconstructed objects [32,33].

For the boosted search, jet grooming techniques are used to eliminate soft, and wide-angle QCD radiation at the periphery of the jet. Grooming improves the jet mass resolution and reduces the pileup contributions to the jet mass. Two grooming algorithms are used: trimming [34] at the trigger stage and pruning [35] at the analysis stage. The trimming technique discriminates particles within the constituents of the jet based on a dynamic  $p_T$  threshold. In pruning, the constituents of the original jet are reclustered with the same distant parameter but using a modified Cambridge-Aachen (CA) algorithm [36,37] with relative  $p_T$  and angular requirements. To discriminate between jets originating from SM background processes from those from boosted hadronic resonances,  $N$ -subjettiness variables ( $\tau_N$ ) [38] are used, which quantify the number of  $N$  prongs of energy inside a jet. In particular, ratios of  $N$ -subjettiness variables,  $\tau_{MN} = \tau_M/\tau_N$ , are found to provide better discrimination between signal and background. In this analysis,  $\tau_{21} = \tau_2/\tau_1$  is used to distinguish two-prong signal-like jets and one-prong backgroundlike jets which arise from QCD multijets events at an overwhelming rate, and  $\tau_{32} = \tau_3/\tau_2$  to separate two-prong jets from three-prong jets from hadronically decaying top quarks.

Jets produced by the hadronization of bottom quarks are identified with a combined secondary vertex  $b$ -tagging algorithm [39]. This algorithm uses a multivariate discriminator with inputs from information related to the secondary vertex, and a track-based lifetime measurement to differentiate between jets from bottom quarks and from light-flavor quarks and gluons. The working point of the  $b$ -tagging algorithm used in this analysis is referred to as loose, and gives an  $\approx 81\%$   $b$  tagging efficiency, a  $\approx 10\%$  misidentification rate for light-quark and gluon jets, and a  $\approx 40\%$  misidentification rate for charm quark jets [39].

## V. BOOSTED SEARCH

### A. Event selection

Events are first selected with a trigger based on the total hadronic transverse momentum in the event ( $H_T$ ), defined as the scalar  $p_T$  sum of AK4 jets ( $H_T^{\text{AK4}}$ ) with  $p_T > 30$  GeV and  $|\eta| < 2.5$ . The  $H_T^{\text{AK4}}$  trigger threshold for the early data-taking period was set to 800 GeV, and raised to 900 GeV for the last 8 fb $^{-1}$  of data to enable the trigger to handle the instantaneous luminosity delivered by the LHC. Additionally, we include a logical OR of two triggers based on AK8 jets: one trigger requires an AK8 jet with  $p_T > 360$  GeV and trimmed mass above 30 GeV, the other requires  $H_T^{\text{AK8}} > 750$  GeV defined with AK8 jets with  $p_T > 150$  GeV, and a jet with trimmed mass above 50 GeV. The selection efficiency of the chosen triggers is determined relative to unbiased samples collected with muon-based triggers. This is cross checked with other samples collected with jet based triggers, and are all found to give consistent results. The signal triggers are found to



have an efficiency greater than 98% with respect to the analysis-level selection, for events satisfying  $H_T^{\text{AK8}} > 900$  GeV. In addition to satisfying the trigger conditions, selected events are required to have at least two AK8 jets with  $p_T > 150$  GeV, situated in the central region of the detector with  $|\eta| < 2.5$ , and  $H_T^{\text{AK8}} > 900$  GeV.

The boosted search assumes that the decay products of the resonance would be fully contained in a very energetic AK8 jet, and therefore we select the two most energetic AK8 jets in the event. The pruning algorithm is used to compute the mass of each of these two jets ( $m_{j_1}$  and  $m_{j_2}$ ). The spectrum of the average pruned jet mass of these two jets,  $\bar{m} = (m_{j_1} + m_{j_2})/2$ , is examined for the presence of new physics in the mass range 60–450 GeV.

The following selection criteria are applied to reduce SM background events. These criteria were optimized by maximizing the signal significance using  $S/\sqrt{B}$  as the metric within a mass window centered at the generated  $m_{\tilde{t}}$ , where  $S$  and  $B$  are the number of signal and background events, respectively, from simulation. The number of events with large mass imbalance between the two signal jet candidates is reduced by selecting events with mass asymmetry, defined as  $m_{\text{asym}} = |m_{j_1} - m_{j_2}|/(m_{j_1} + m_{j_2})$ , below 0.1. Both jets are required to satisfy  $\tau_{21} < 0.45$  and  $\tau_{32} > 0.57$ , to reject backgrounds from QCD multijets events and those from hadronically decaying top quarks, respectively. Jets from the signal events would be predominantly produced with similar  $\eta$ , compared to the widely spread QCD multijet production, and thus we require events to have an absolute value of the difference in  $\eta$  between the two jets:  $\Delta\eta = |\eta_{j_1} - \eta_{j_2}| < 1.5$ . For the  $b$ -tagged selection, both jets are required to satisfy the loose  $b$  tagging criteria described in Sec. IV. All the selection criteria are summarized in Table I (second column), and are found to be optimal for the range of masses considered in this search. The discriminating power of each of these kinematic variables is illustrated in Fig. 2 where normalized distributions between data, different simulated background components, and selected simulated signal samples are presented.

### B. Signal efficiency

Figure 3 (left) shows the mass distributions for simulated signals after the inclusive selection. Similar signal mass shapes are found when applying the  $b$ -tagged selection. Additionally, the signal efficiency for the boosted search is reported in Fig. 3 (right) for both the inclusive and  $b$ -tagged selections. The fraction of  $\tilde{t} \rightarrow qq'$  signal events remaining after applying the inclusive selection, relative to the total number of events generated, is 0.003% for  $m_{\tilde{t}} = 80$  GeV, increases to 0.106% for  $m_{\tilde{t}} = 180$  GeV, and drops again to 0.055% for  $m_{\tilde{t}} = 400$  GeV because of the decrease in the production of top squarks with large Lorentz boosts at higher masses. Although the fraction of boosted resonances

TABLE I. Summary of the signal selection criteria for the boosted search (second column) and resolved search (third column). The criteria are shown for the inclusive selection and the  $b$ -tagged selection.

Selection	Boosted search	Resolved search
	$60 < \bar{m} < 450$ GeV ( $80 \leq m_{\tilde{t}} < 400$ GeV)	$\bar{M} > 350$ GeV ( $m_{\tilde{t}} \geq 400$ GeV)
Inclusive	AK8 jets	AK4 jets
and $b$ -tagged	Jet $p_T > 150$ GeV Jet $ \eta  < 2.5$ Number of jets $\geq 2$ $H_T^{\text{AK8}} > 900$ GeV $m_{\text{asym}} < 0.1$ $\tau_{21} < 0.45$ $\tau_{32} > 0.57$ $\Delta\eta < 1.5$	Jet $p_T > 80$ GeV Jet $ \eta  < 2.5$ Number of jets $\geq 4$ $H_T^{\text{AK4}} > 900$ GeV $M_{\text{asym}} < 0.1$ $\Delta\eta_{\text{dijet}} < 1.0$ $\Delta > 200$ GeV
$b$ -tagged	Two loose $b$ -tagged jets	Two loose $b$ -tagged jets

is higher for  $m_{\tilde{t}} \lesssim 170$  GeV, the  $H_T$  and  $p_T$  trigger requirements have a considerable impact on the event selection and are the main source of the signal efficiency loss. The low signal selection efficiencies for boosted resonances are compensated by the large signal cross sections for low-mass top squarks [40,41]. The  $b$ -tagged selection presents a similar pattern, where the fraction of remaining events for  $\tilde{t} \rightarrow bq'$  is 0.0009%, 0.0350%, and 0.0134% for the resonance masses  $m_{\tilde{t}} = 80, 200, \text{ and } 400$  GeV, respectively.

### C. Background estimate

After all the selection criteria are applied, the dominant remaining SM background is QCD multijet production. Subdominant resonant backgrounds are estimated from simulation and they include  $t\bar{t} + \text{jets}$ ,  $W \rightarrow q'\bar{q} + \text{jets}$ ,  $Z \rightarrow q\bar{q} + \text{jets}$ , and diboson ( $WW, ZZ, WZ$ ) production. The normalization of  $t\bar{t} + \text{jets}$ , the largest resonant background, is assessed in a control region enriched in  $t\bar{t}$  events by requiring  $\tau_{32} < 0.57$ . This criterion aims to remove one- or two-prong jets, thus enriching the sample in  $t\bar{t}$ . We then compare the  $\bar{m}$  spectrum between data and simulation and obtain a correction factor from a first-order polynomial fit subtracting all other backgrounds. This correction is found to be flat in  $\bar{m}$  and consistent with unity within 10%, and is used as an estimate of the systematic uncertainty associated with modeling the simulated SM events. In addition, the statistical uncertainty due to the limited number of simulated SM events in each bin is considered as a systematic uncertainty, affecting the shape of the  $\bar{m}$  distribution.

The background originating from QCD multijet events is estimated by extrapolating data in sideband regions to the signal region, using two uncorrelated variables and is referred to as the  $ABCD$  method. The variables  $m_{\text{asym}}$  and  $\Delta\eta$  are found to have a correlation in data and in

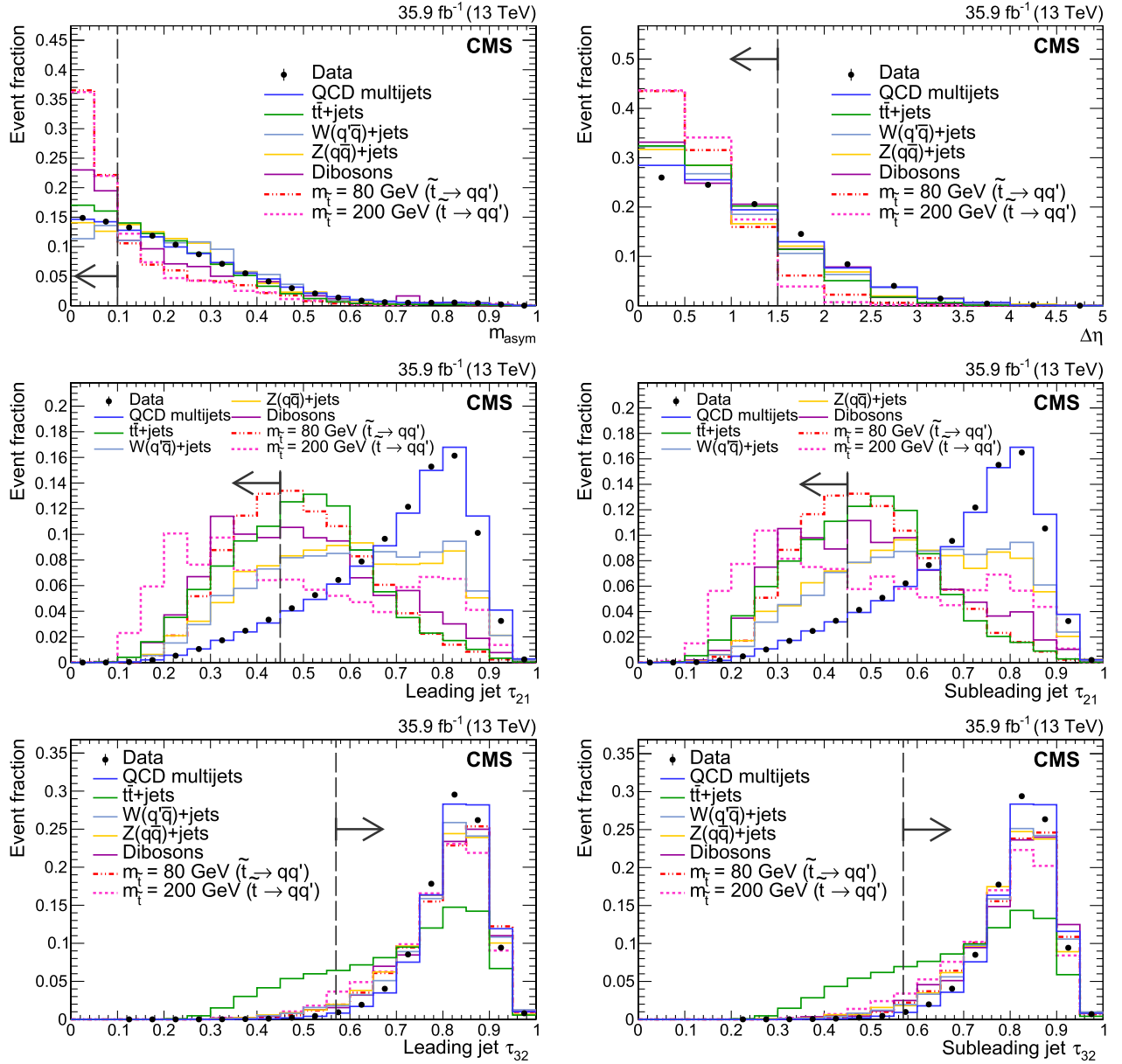


FIG. 2. Boosted search kinematic distributions, normalized to unity, showing the comparison between data (black dots), backgrounds (solid colored lines), and a few selected  $\tilde{t} \rightarrow qq'$  signal simulated samples (dashed colored lines). All inclusive selection criteria are applied, apart from that on the variable being presented. In the case of the  $\tau_{21}$  and  $\tau_{32}$  variables, both  $\tau_{21}$  and  $\tau_{32}$  requirements are removed. The black dashed lines indicate the maximum value imposed by the selection in the upper and middle rows of plots, and the minimum allowed value in the lower plots. Upper left:  $m_{\text{asym}}$ . Upper right:  $\Delta\eta$ . Middle left: leading jet  $\tau_{21}$ . Middle right: subleading jet  $\tau_{21}$ . Lower left: leading jet  $\tau_{32}$ . Lower right: subleading jet  $\tau_{32}$ .

simulation of less than 1%, therefore, these two variables are used to define four regions summarized in Table II. Region A is the signal region defined by the nominal inclusive selection criteria, while the other three regions are background dominated. Regions B and C are sideband regions where the event must pass one of the two selection criteria and fail the other, and region D is defined as the sideband region when both selection criteria fail.

The yield and the shape of the  $\bar{m}$  spectrum for the QCD multijet background in the signal region (A) is determined

using the mass spectra in sideband regions such that  $A = BC/D$ . The transfer factor is defined as the ratio  $B/D$  and it is parametrized empirically as a function of  $\bar{m}$  using a sigmoid function of the form

$$f(\bar{m}) = \frac{1}{p_0 + \exp(p_1 + p_2\bar{m}^2 - p_3\bar{m}^3)}, \quad (2)$$

where the coefficients  $p_0$  to  $p_3$  are free parameters of the function. Resonant background contributions estimated

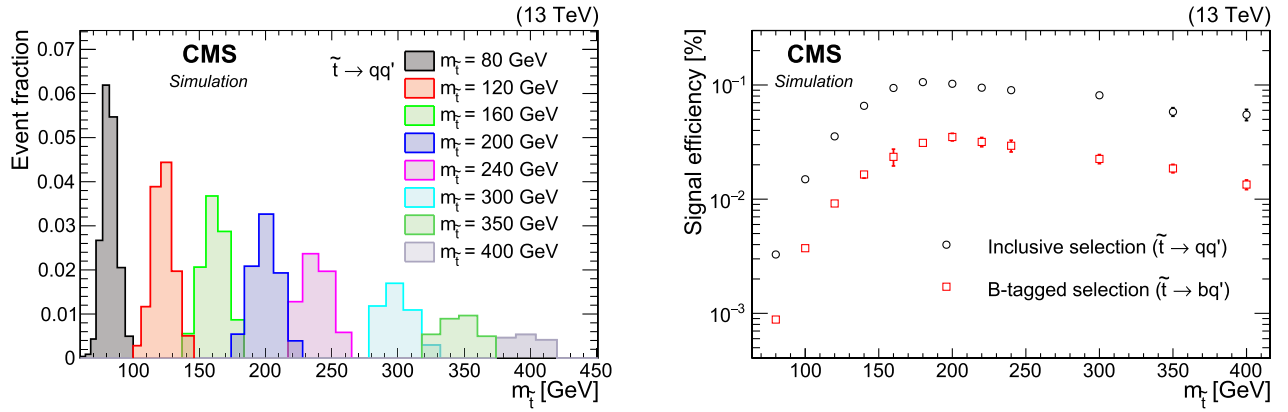


FIG. 3. Boosted search signal distributions. Left: signal mass distributions after applying the inclusive selection, for various simulated  $\tilde{t} \rightarrow qq'$  masses probed in this analysis. Right: signal efficiency as a function of  $m_{\tilde{t}}$  for the inclusive and  $b$ -tagged selections.

from simulation are subtracted from the data prior to the extrapolation. The fit of the transfer factor is found to give consistent results in data and simulation. The resulting fit in the data, shown in Fig. 4, is applied to events in region  $C$  to estimate the final  $\bar{m}$  distribution for QCD multijet events in region  $A$  for the inclusive selection. The uncertainty in the fitted transfer factor and the statistical uncertainty in the  $\bar{m}$  distribution in region  $C$  are treated as systematic uncertainties that affect the shape of the  $\bar{m}$  distribution.

For the  $b$ -tagged selection, an equivalent procedure is performed. Once the  $b$  tagging is applied, the data sample is found to be too small to obtain a transfer factor. Instead, the transfer factor from the inclusive selection is used, and applied to region  $C$  where the  $b$  tagging requirement is added. By comparing the fit parameters of the transfer factors obtained with the inclusive and the  $b$ -tagged selections, an additional uncertainty is applied to cover the differences, as illustrated in the dark red band of Fig. 4.

#### D. Systematic uncertainties

The performance of the  $ABCD$  background estimate is tested on simulated QCD multijet events. In this test, the background prediction is compared to the mass spectrum in the signal region  $A$ . The level of agreement between these two distributions, or closure, is found to be within  $\pm 10\%$  over the entire  $\bar{m}$  spectrum. This is used as an estimate of the contribution from this source to the systematic uncertainty in the QCD multijet background for both the inclusive and  $b$ -tagged selection.

TABLE II. Definition of the regions used in the QCD multijet background estimate for the boosted analysis. Region  $A$  is the signal-dominated region while regions  $B$ ,  $C$ , and  $D$  are background-dominated sideband regions.

	$m_{\text{asym}} < 0.1$	$m_{\text{asym}} > 0.1$
$\Delta\eta > 1.5$	$B$	$D$
$\Delta\eta < 1.5$	$A$	$C$

The systematic uncertainties in the background estimates are summarized in Table III.

Systematic uncertainties affecting the expected signal yield arise from the integrated luminosity measurement (2.5%) [12], the trigger efficiency (3.0%), the modeling of the pileup interactions (1.0%), the effect from the uncertainties in the PDF (1.0%) [42], and the measurement of the jet energy scale (1.2%) and jet resolution (1.8%) [32,33]. For the  $b$ -tagged selection, the uncertainty in the efficiency for identifying bottom quarks (1.0%) contributes to the overall uncertainty in the expected signal yield [39].

Systematic uncertainties due to the jet energy scale and resolution measurements also affect the shape of the  $\bar{m}$  spectrum (independent of the yield). These uncertainties are determined using the reconstructed jet mass in hadronically decaying boosted  $W$  bosons, where differences in scale (2.0%) and resolution (14.0%) between data and simulation

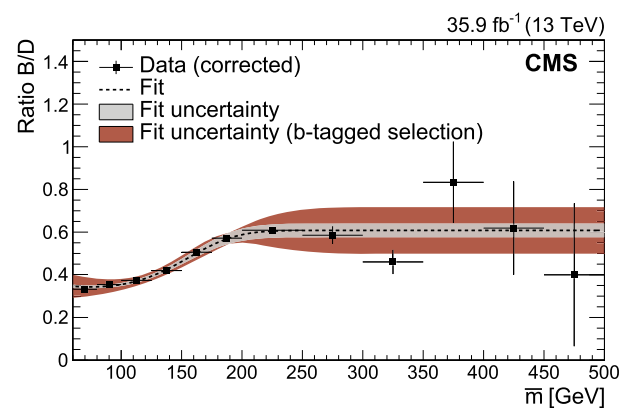


FIG. 4. Boosted search transfer factor  $B/D$  as a function of  $\bar{m}$  for data (black points) with the inclusive selection applied, and corrected for the resonant background component. The fit to the data (black dotted line) with the sigmoid function described in Eq. (2) is also displayed. Light gray and dark red bands represent the uncertainties of the fit for the inclusive and  $b$ -tagged selection, respectively, and are treated as systematic uncertainties.

TABLE III. Summary of the sources of systematic uncertainties in the background predictions. The values of the systematic uncertainties and whether they affect the overall event yield or the shape of the mass spectra are shown. For uncertainties affecting the shape, the range of values quoted represent the minimum and maximum effects over all bins. For the QCD multijets fit uncertainty of the resolved search, the values quoted are the combined effects of the shape and yield uncertainties of this background estimate. The symbols <sup>\*</sup> and <sup>†</sup> denote uncertainties specific to the inclusive and *b*-tagged selections, respectively.

Search	Background	Source of systematic uncertainty	Effect	Value
Boosted	QCD multijets	Closure	Yield	10.0%
		Transfer factor fit	Shape	1.0%–4.0% <sup>*</sup> 3.0%–8.0% <sup>†</sup>
		Event count in region <i>C</i>	Shape	1.0%–23.0% <sup>*</sup> 2.0%–33.0% <sup>†</sup>
	Resonant	Simulation modeling	Yield	10.0%
		Statistical precision of simulation	Shape	1.0%–30.0% <sup>*</sup> 8.0%–57.0% <sup>†</sup>
			Shape and yield	3.0%–28.0% <sup>*</sup> 2.0%–38.0% <sup>†</sup>
Resolved	QCD multijets	Fit parameters	Shape and yield	3.0%–28.0% <sup>*</sup> 2.0%–38.0% <sup>†</sup>

have previously been observed [43]. We take these differences as estimates of the associated systematic errors.

Previous studies [43,44] have shown disagreement in the pruned jet mass spectra between data and simulation when a  $\tau_{21}$  requirement is applied. The method used to quantify this discrepancy is described in Ref. [44], and is based on measuring the efficiency of identifying boosted two-prong *W* bosons in semileptonic  $t\bar{t}$  events. For  $\tau_{21} < 0.45$ , the ratio of the efficiencies in data and simulation, or scale factor, is measured to be  $1.10 \pm 0.13$ . Since this search requires two jets to satisfy the same  $\tau_{21}$  selection, the square of the scale factor is applied to the signal events in simulation, resulting in a total two-prong scale factor of  $1.21 \pm 0.29$ . A similar effect has been reported when applying the  $\tau_{32}$  requirement [45]. In this case, a tag-and-probe procedure is used to measure the efficiency of identifying boosted three-prong hadronic top quarks in

semileptonic  $t\bar{t}$  events. For  $\tau_{32} < 0.54$ , the ratio of the efficiencies in data and simulation is  $1.07 \pm 0.05$ , and the efficiency for selecting misidentified boosted top quarks is 20%. However, in this search, we veto three-prong jets by requiring  $\tau_{32} > 0.54$ , which results in an anti-three-prong scale factor of  $0.99 \pm 0.01$  for one jet, and  $0.96 \pm 0.02$  when two jets satisfy this  $\tau_{32}$  requirement. The uncertainties in the two-prong ( $\tau_{21}$ ) and the anti-three-prong ( $\tau_{32}$ ) scale factors are propagated as systematic uncertainty in the signal yield.

Finally, the uncertainties due to the limited numbers of simulated signal events also contribute to the systematic uncertainty affecting the shape of the  $\bar{m}$  distribution. A summary of the systematic uncertainties affecting the signal yield and shape are summarized in Table IV.

Figure 5 illustrates the average pruned jet mass spectrum for data and the background predictions for the inclusive

TABLE IV. Summary of the sources of systematic uncertainties for the signal samples. The values of the systematic uncertainties and whether they affect the overall event yield or the shape of the mass spectra are shown. For uncertainties affecting the shape, the range of values quoted represent the minimum and maximum effects over all bins. The symbols <sup>\*</sup> and <sup>†</sup> denote uncertainties specific to the inclusive and *b*-tagged selections, respectively.

Search	Source of systematic uncertainty	Effect	Value
Boosted and resolved	Integrated luminosity	Yield	2.5%
		Yield	3.0%
	Pileup	Yield	1.0%
		Yield	1.0%
	Jet energy scale	Yield	1.2%–1.5%
		Shape	2.0%
	Jet energy resolution	Yield	1.8%–6.0%
		Shape	10.0%–14.0%
	Statistical precision of simulation	Shape	3.0%–37.0% <sup>*</sup> 6.0%–55.0% <sup>†</sup>
		Yield	1.0% <sup>†</sup>
Boosted	Two-prong scale factor	Yield	23.0%
	Anti-three-prong scale factor	Yield	2.0%



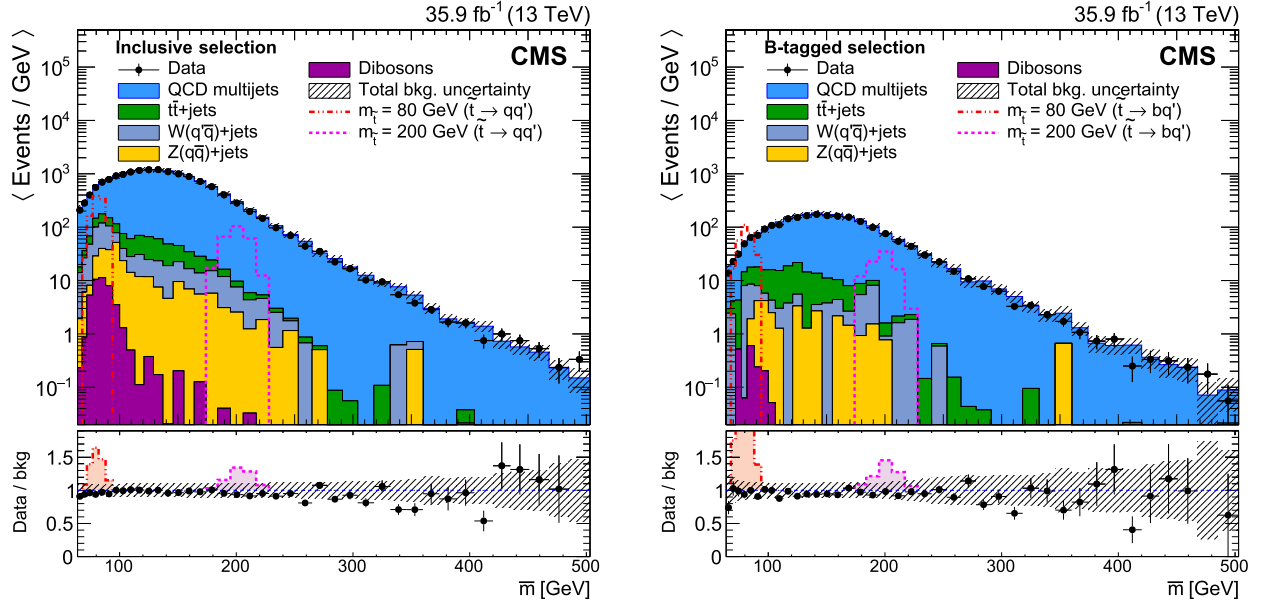


FIG. 5. Boosted search  $\bar{m}$  distribution for data (black points) and for the total background prediction, for the inclusive (left) and the  $b$ -tagged (right) selection. The different background components are presented with different colors, while the gray hashed band displays the total background uncertainty. The expected signals from simulated  $\tilde{t} \rightarrow qq'$  and  $\tilde{t} \rightarrow bq'$  samples at  $m_{\tilde{t}} = 80$  GeV and  $m_{\tilde{t}} = 200$  GeV are also displayed (shaded lines) for the inclusive selection and the  $b$ -tagged selections, respectively. The lower panel shows the ratio between data and the background prediction. The shaded peaks in the lower distributions show the expected effect produced by the presence of a top squark signal, for two different top squark masses.

(left) and the  $b$ -tagged (right) selections. The resonant backgrounds correspond to less than 8% of the total background prediction for the inclusive category, and less than 6% for the  $b$ -tagged one, over the entire mass range. The data are found to agree with SM expectations.

## VI. RESOLVED SEARCH

### A. Event selection

Events are selected using a logical OR of the  $H_T^{\text{AK4}}$  trigger, described in Sec. V, and two additional triggers: one requiring at least four AK4 jets with  $p_T > 50$  GeV,  $|\eta| < 2.5$ , and  $H_T^{\text{AK4}} > 800$  GeV, and another requiring at least four jets with  $p_T > 70$  GeV,  $|\eta| < 2.5$ , and  $H_T^{\text{AK4}} > 750$  GeV. In addition to satisfying the trigger conditions, selected events are required to have at least four AK4 jets with  $p_T > 80$  GeV,  $|\eta| < 2.5$ , and  $H_T^{\text{AK4}} > 900$  GeV. The selection efficiency of the chosen triggers is determined relative to unbiased data samples selected with muon based triggers. The trigger efficiency for events that would satisfy the subsequent selection is measured to be greater than 98%.

In order to select the two best dijet systems compatible with the signal, the four leading jets ordered in  $p_T$  are combined to create three unique combinations of dijet pairs per event. Out of the three combinations, the dijet configuration with the smallest  $\Delta R_{\text{dijet}}$  is chosen. This variable is defined as  $\Delta R_{\text{dijet}} = \sum_{i=1,2} |\Delta R^i - 0.8|$ , where  $\Delta R^i$

represents the separation between two jets in the  $i$ th dijet pair,  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ , and  $\Delta\eta$  and  $\Delta\phi$  are the differences in  $\eta$  and azimuthal angle  $\phi$  (in radians) between the two jets under consideration. This variable exploits the expectation that the decay products of the signal resonance will be closer together compared to particles from uncorrelated jets. An offset of 0.8 has been chosen in the definition of  $\Delta R_{\text{dijet}}$  to avoid overlaps between jets in the dijet systems, and to minimize the selection of dijet systems composed of jets from radiated gluons.

Once a configuration is selected, the average mass of the dijet system,  $\bar{M} = (m_{j_{i1}} + m_{j_{i2}})/2$ , is used to search for new resonances, where  $m_{j_{ii}}$  is the dijet mass of the  $i$ th dijet. To further reject backgrounds from QCD multijet events and incorrect pairings from signal events, two additional requirements are applied. As was described in Sec. V, the dijet systems in signal events are expected to be more centrally produced than those in QCD multijet events; therefore, the pseudorapidity difference between the two dijet systems is required to be  $\Delta\eta_{\text{dijet}} = |\eta_{j_{i1}} - \eta_{j_{i2}}| < 1.0$ . In addition, further discrimination is achieved by requiring the mass asymmetry ( $M_{\text{asym}}$ ) between the dijet pairs to be  $< 0.1$ , where  $M_{\text{asym}} = |m_{j_{i1}} - m_{j_{i2}}| / (m_{j_{i1}} + m_{j_{i2}})$ . Figures 6 and 7 show the discriminating power of these two kinematic variables applied to data, QCD multijet simulation, and a selected simulated signal sample.

An additional variable defined as  $\Delta = (\sum_{i=1,2} |p_T^i|) - \bar{M}$  is calculated for each dijet system, where the  $p_T$  sum is over

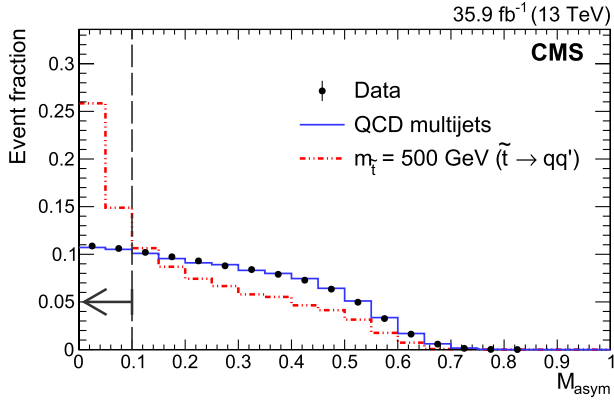


FIG. 6. Resolved search  $M_{\text{asym}}$  distribution normalized to unity for data (black dots), background (solid blue line), and a selected signal  $\tilde{t} \rightarrow qq'$  with  $m_{\tilde{t}} = 500$  GeV (dashed red line). All inclusive selection criteria are applied apart from that on the variable being presented. The region to the left of the black dashed line indicates the optimized region of selected  $M_{\text{asym}}$  values.

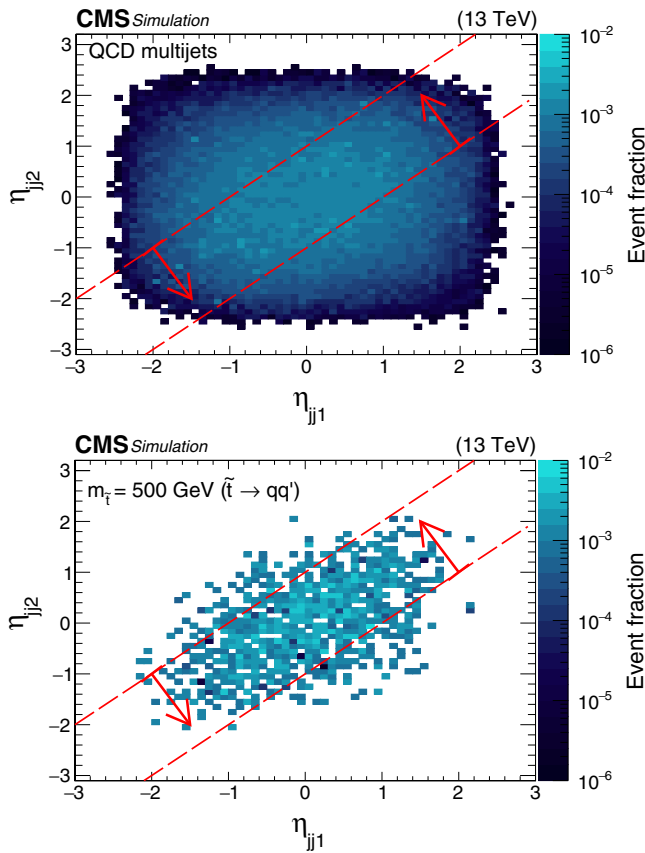


FIG. 7. Resolved search distribution of  $\eta_{jj2}$  of the lower- $p_T$  dijet system in the selected pair as a function of the  $\eta_{jj1}$  of the higher- $p_T$  dijet system. The distribution is shown for simulated QCD multijet events (left) and a representative signal  $\tilde{t} \rightarrow qq'$  with  $m_{\tilde{t}} = 500$  GeV (right). All inclusive selection criteria are applied apart from that on the variable being presented. The region between the two red dashed lines indicates the optimized region of selected  $\Delta\eta_{\text{dijet}}$  values.

the two jets in the dijet configuration. The distributions of the  $\Delta$  variable as a function of  $\bar{M}$  for a selected signal sample and QCD multijet simulation are illustrated in Fig. 8. This variable has been previously used in hadronic resonance searches at both the Tevatron and the LHC [8,46–50]. In addition to rejecting background events, setting a minimum value of  $\Delta$  results in a lowering of the peak position of the  $\bar{M}$  distribution in SM QCD multijet events, and allows the search to be extended to lower resonance masses. Events are selected with  $\Delta > 200$  GeV. Finally, for the  $b$ -tagged selection, a loose  $b$ -tagged jet is required in each dijet pair candidate. The selection requirements for this search are summarized in Table I (third column), and are found to be optimal for the entire range of masses considered here.

## B. Background estimate

Events originating from QCD multijet processes dominate the  $\bar{M}$  spectrum and are modeled with the following function:

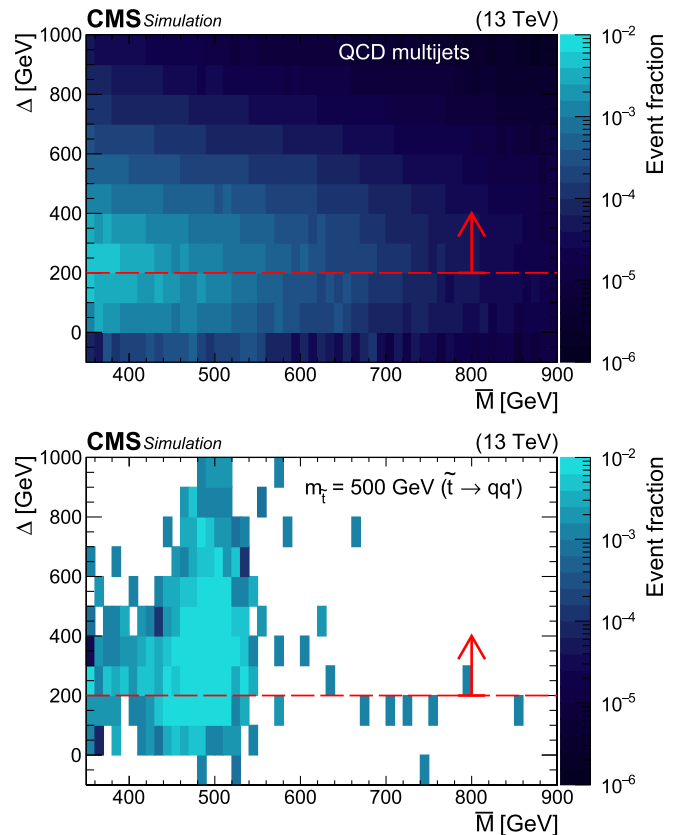


FIG. 8. Resolved search distribution of  $\Delta$  as a function of  $\bar{M}$ , shown for simulated QCD multijet events (left) and a representative signal  $\tilde{t} \rightarrow qq'$  with  $m_{\tilde{t}} = 500$  GeV (right). All inclusive selection criteria are applied apart from that on the variable being presented. The region above the red dashed line indicates the optimized region of selected  $\Delta$  values.

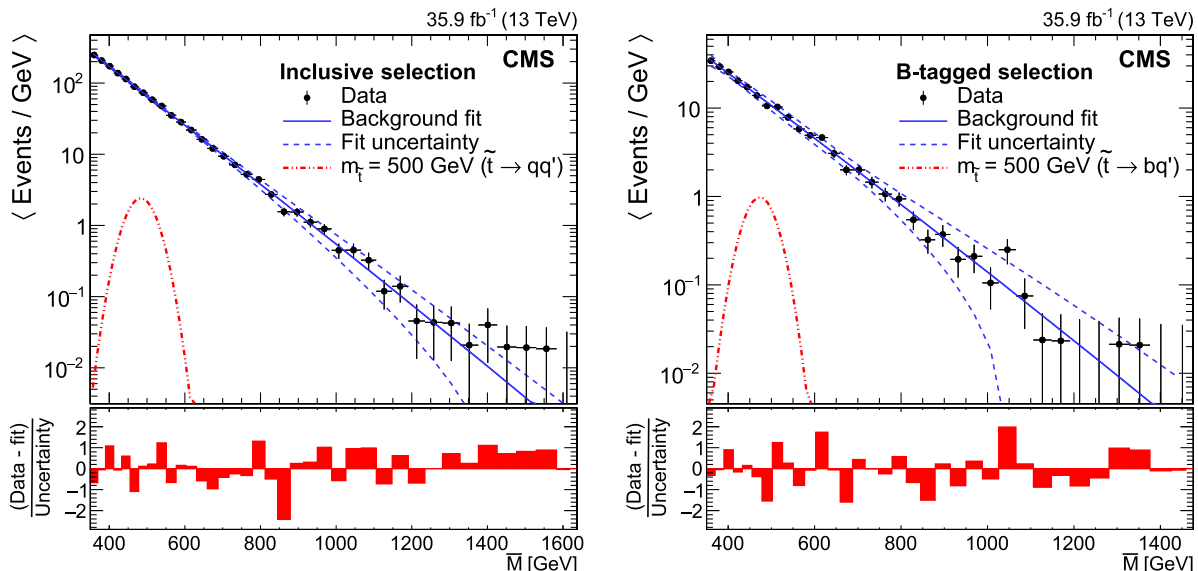


FIG. 9. Resolved search distribution of  $\bar{M}$  for the data (black points), along with the resulting fit to the functional form in Eq. (3) (blue solid line) for the inclusive selection (left) and the  $b$ -tagged (right) selections. The expected signals from simulated  $\tilde{t} \rightarrow qq'$  and  $\tilde{t} \rightarrow bq'$  samples at  $m_{\tilde{t}} = 500$  GeV are also displayed (red dot-dashed lines) for the inclusive selection and the  $b$ -tagged selections, respectively. The lower panel displays the bin-by-bin difference between the data and the fit divided by the statistical uncertainty.

$$\frac{dN}{d\bar{M}} = \frac{p_0(1-x)^{p_1}}{x^{p_2}}, \quad (3)$$

where  $x = \bar{M}/\sqrt{s}$ ,  $\sqrt{s}$  is the center-of-mass energy,  $N$  is the number of considered events, and  $p_0$  through  $p_2$  are parameters of the function. The functional form in Eq. (3) successfully models the steeply falling dijet mass distribution of QCD multijet production, and comparable functions have been extensively used in similar previous dijet resonance searches [8,43,51].

Figure 9 illustrates the fitted  $\bar{M}$  distributions in data using the inclusive (left) and the  $b$ -tagged (right) selections for the resolved analysis. The parametrized fit is performed for  $\bar{M} > 350$  GeV for both selections. In this region the background is well modeled by the parametrization and the trigger has an efficiency greater than 98% as a function of  $\bar{M}$ . Figure 9 (lower panels) shows the bin-by-bin difference between the data and the fit divided by the statistical uncertainty. The data agree with SM expectations.

The potential bias introduced by the choice of the background parametrization was investigated by performing signal injection tests in pseudoexperiments. The pseudoexperiments were generated using the mass spectra from simulated signal events fitted with a Gaussian function, added to that of the QCD multijet simulation fitted with the function of Eq. (3). Each pseudoexperiment was then fitted with alternative parametrizations from different families of functions of varying orders, and the effect on the strength of the injected signal was estimated and found to be negligible.

### C. Signal efficiency and systematic uncertainties

The  $\bar{M}$  distributions of the simulated signal samples are parametrized with Gaussian functions, and are shown for the inclusive selection in Fig. 10 (left). Similar signal mass shapes are found in the  $b$ -tagged analysis. The signal efficiency for the resolved search is illustrated in Fig. 10 (right) for both the inclusive and the  $b$ -tagged selections. The fraction of  $\tilde{t} \rightarrow qq'$  signal events remaining in simulation after applying the inclusive selection, relative to the total number of events generated, is between 0.66 and 1.16% for  $m_{\tilde{t}}$  between 400 and 1500 GeV. In the  $b$ -tagged selection, the fraction of remaining events in the  $\tilde{t} \rightarrow bq'$  simulation is between 0.12 and 0.42% for  $m_{\tilde{t}}$  between 400 and 1400 GeV.

The sources of systematic uncertainties affecting the normalization of the expected signal contribution are the integrated luminosity measurement (2.5%) [12], the trigger efficiency (3.0%), the modeling of the pileup interactions (1.0%), and the choice of PDF set (1.0%) [42]. The uncertainties in the measurement of the jet energy scale (1.5%) and resolution (6.0%) [32,33] introduce both a change in the yield and the shape of the  $\bar{M}$  spectrum. For the  $b$ -tagged selection, the uncertainty in the efficiency for identifying bottom quarks (1.0%) contributes to the overall uncertainty in the expected signal yield [39]. Finally, the statistical uncertainties associated with the simulated samples also contribute to the overall systematic uncertainty. The systematic uncertainties affecting the signal are summarized in Table IV.

The uncertainties in the fitted parameters of Eq. (3) are also taken into account as sources of systematic uncertainty

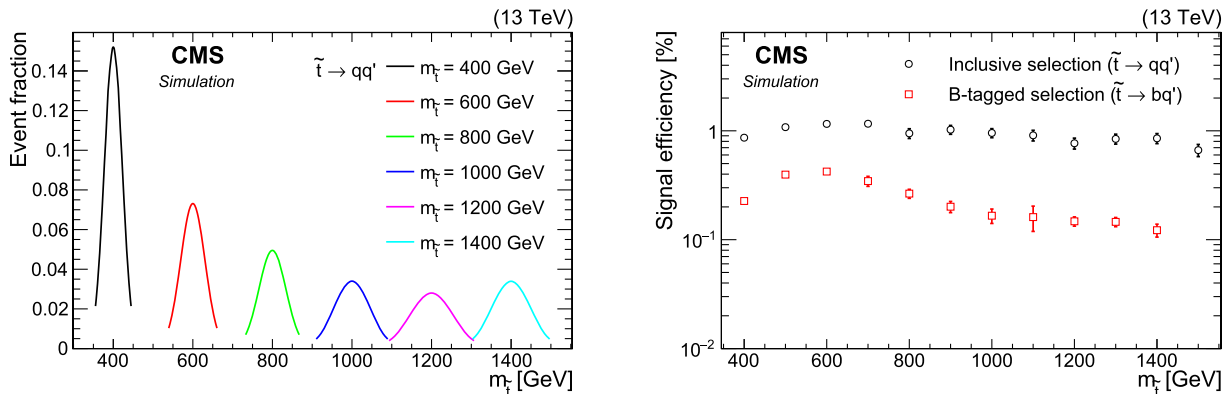


FIG. 10. Simulated signal distributions for the resolved search. Left: Gaussian fits to the mass of the simulated signals for various  $m_{\tilde{t}}$  probed in this search for the inclusive selection. Right: signal efficiency, as a function of  $m_{\tilde{t}}$ , for the inclusive and  $b$ -tagged selections.

affecting both the background yield and shape of the  $\overline{M}$  spectrum, and are summarized in Table III.

## VII. RESULTS

Figures 5 and 9 present the mass spectra for the boosted and resolved analyses, respectively. They are in agreement with SM expectations. The mass spectra are used to set limits on the pair production cross section as a function of mass of resonances decaying into quark pairs, by considering the benchmark model of top squarks decaying via the RPV couplings  $\lambda''_{312}$  and  $\lambda''_{323}$ . The exclusion limits are computed using the modified frequentist approach for C.L., with a binned profile likelihood as the test statistic [52,53], using an asymptotic approximation [54].

Results for the boosted search are obtained from combined signal and background binned likelihood fits to the  $\overline{m}$  distribution in data. For each value of  $m_{\tilde{t}}$  considered, only bins of  $\overline{m}$  within two standard deviations of the mean of a

Gaussian function fitted to the generated top squark mass are included in the likelihood. For each bin used in the likelihood, the individual background components and the signal are allowed to float within uncertainties. Systematic uncertainties affecting the yield and the shape, as summarized in Tables III and IV, are assumed to be correlated among bins. These uncertainties are treated as nuisance parameters, which are profiled and modeled with log-normal priors, except for the uncertainty in the number of events in sideband region C, which is modeled with a  $\Gamma$  function prior.

For the resolved search, the  $\overline{M}$  spectrum in data is compared to the background fit to search for localized deviations consistent with a resonance. For each value of  $m_{\tilde{t}}$ , a likelihood fit is used to compare the data to the shapes for the signal and background, within a mass window of two standard deviations around the true value of  $m_{\tilde{t}}$ . Here, all systematic uncertainties are modeled with log-normal priors.

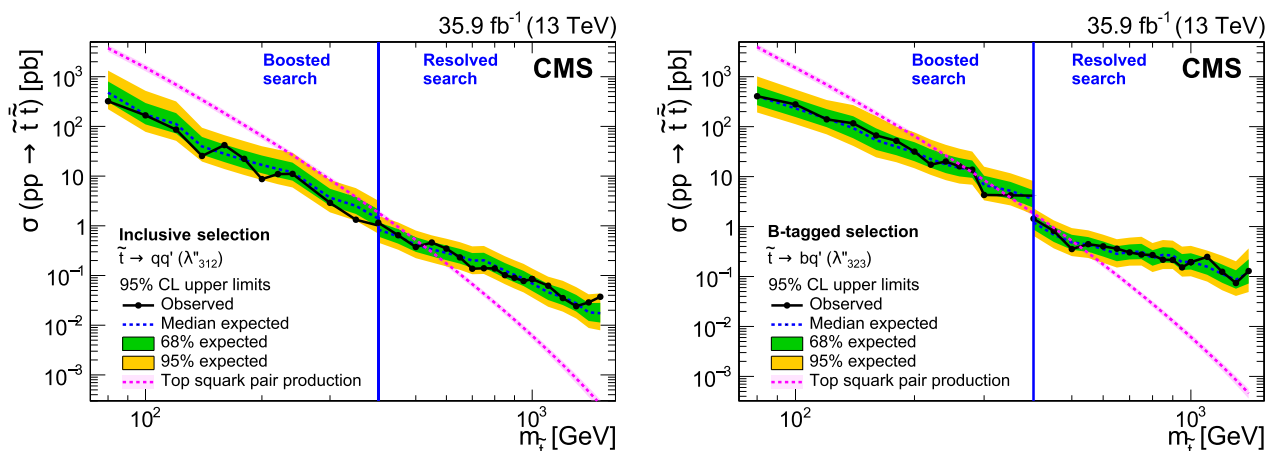


FIG. 11. Observed and expected 95% CL upper limits on the signal cross section as a function of  $m_{\tilde{t}}$ . The branching fraction to quarks is assumed to be 100%. The boosted analysis probes  $80 \leq m_{\tilde{t}} < 400$  GeV, while the resolved analysis searches for  $m_{\tilde{t}} \geq 400$  GeV. Left: limits using the inclusive selection for  $\tilde{t} \rightarrow qq'$  assuming the RPV coupling  $\lambda''_{312}$ . Right: limits using the  $b$ -tagged selection for  $\tilde{t} \rightarrow bq'$  assuming the RPV coupling  $\lambda''_{323}$ . The dashed pink line shows the NLO + NLL theoretical prediction for top squark pair production [40,41].



Figure 11 shows the observed and expected 95% CL upper limits on the top squark pair production of cross section as a function of  $m_{\tilde{t}}$  for the boosted and resolved analyses. The boosted analysis probes the mass range  $80 \leq m_{\tilde{t}} < 400$  GeV, while the resolved analysis covers the range  $m_{\tilde{t}} \geq 400$  GeV. Figure 11 (left) presents the resulting limits using the inclusive selection for the  $\lambda''_{312}$  coupling scenario, while Fig. 11 (right) illustrates the limits using the  $b$ -tagged selection assuming the  $\lambda''_{323}$  coupling. The dashed pink line represents the theoretical prediction for the top squark pair production cross section at  $\sqrt{s} = 13$  TeV evaluated at NLO with next-to-leading logarithmic (NLL) corrections [40,41]. We exclude top squark masses from 80 to 520 GeV assuming the  $\lambda''_{312}$  coupling. For the  $\lambda''_{323}$  coupling, the boosted search excludes masses from 80 to 270 and from 285 to 340 GeV; and the resolved search excludes masses from 400 to 525 GeV. The corresponding expected mass limits obtained are 80 to 520 GeV for top squarks decaying via  $\lambda''_{312}$ , and 80 to 270, 285 to 320, and 400 to 505 GeV for the  $\lambda''_{323}$  coupling.

### VIII. SUMMARY

A search has been performed for the pair production of diquark resonances in two-jet events in a boosted jet topology and in four-jet events in a resolved jet topology. Data from proton-proton collisions at  $\sqrt{s} = 13$  TeV collected in 2016 with the CMS detector, corresponding to an integrated luminosity of  $35.9 \text{ fb}^{-1}$ , have been analysed. In the boosted search, the distribution of the average mass of the selected two jets has been investigated for localized disagreements between data and the background estimate, consistent with the presence of a narrow resonance, while in the resolved analysis the average mass of the selected dijet pairs is utilized. The boosted search explores resonance masses between 80 and 400 GeV, while the resolved one covers masses above 400 GeV. We find agreement between the observation and standard model expectations. These results are interpreted in the framework of  $R$ -parity-violating supersymmetry with the pair production of top squarks decaying promptly to quarks via the  $\lambda''_{312}$  or the  $\lambda''_{323}$  couplings, assuming 100% branching fractions to  $\tilde{t} \rightarrow qq'$  or  $\tilde{t} \rightarrow bq'$ , respectively. Upper limits are set at 95% confidence level on the pair production cross section of top squarks as a function of the top squark mass. We exclude top squark masses with the  $\lambda''_{312}$  coupling from 80 to 520 GeV. For the  $\lambda''_{323}$  coupling, the boosted search excludes masses from 80 to 270 and from 285 to 340 GeV; and the resolved search excludes masses from 400 to 525 GeV. These results probe a wider range of masses than previously explored at the LHC, and extend the top squark mass limits in the  $\tilde{t} \rightarrow qq'$  scenario.

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F. Vazquez Valencia,<sup>91</sup> J. Eysermans,<sup>92</sup> I. Pedraza,<sup>92</sup> H. A. Salazar Ibarguen,<sup>92</sup> C. Uribe Estrada,<sup>92</sup> A. Morelos Pineda,<sup>93</sup>  
D. Krofcheck,<sup>94</sup> S. Bheesette,<sup>95</sup> P. H. Butler,<sup>95</sup> A. Ahmad,<sup>96</sup> M. Ahmad,<sup>96</sup> M. I. Asghar,<sup>96</sup> Q. Hassan,<sup>96</sup> H. R. Hoorani,<sup>96</sup>  
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S. Polikarpov,<sup>105,mm</sup> E. Tarkovskii,<sup>105</sup> V. Andreev,<sup>106</sup> M. Azarkin,<sup>106,jj</sup> I. Dremin,<sup>106,jj</sup> M. Kirakosyan,<sup>106,jj</sup> S. V. Rusakov,<sup>106</sup>  
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P. Mandrik,<sup>109</sup> V. Petrov,<sup>109</sup> R. Ryutin,<sup>109</sup> S. Slabospitskii,<sup>109</sup> A. Sobol,<sup>109</sup> S. Troshin,<sup>109</sup> N. Tyurin,<sup>109</sup> A. Uzunian,<sup>109</sup>  
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