

## ENVIRONMENTAL STUDIES

# Biodegradation of synthetic polymers in soils: Tracking carbon into CO<sub>2</sub> and microbial biomass

Michael Thomas Zumstein<sup>1\*</sup>, Arno Schintlmeister<sup>2,3</sup>, Taylor Frederick Nelson<sup>1</sup>, Rebekka Baumgartner<sup>1</sup>, Dagmar Woebken<sup>2</sup>, Michael Wagner<sup>2,3</sup>, Hans-Peter E. Kohler<sup>4</sup>, Kristopher McNeill<sup>1</sup>, Michael Sander<sup>1†</sup>

Plastic materials are widely used in agricultural applications to achieve food security for the growing world population. The use of biodegradable instead of nonbiodegradable polymers in single-use agricultural applications, including plastic mulching, promises to reduce plastic accumulation in the environment. We present a novel approach that allows tracking of carbon from biodegradable polymers into CO<sub>2</sub> and microbial biomass. The approach is based on <sup>13</sup>C-labeled polymers and on isotope-specific analytical methods, including nanoscale secondary ion mass spectrometry (NanoSIMS). Our results unequivocally demonstrate the biodegradability of poly(butylene adipate-co-terephthalate) (PBAT), an important polyester used in agriculture, in soil. Carbon from each monomer unit of PBAT was used by soil microorganisms, including filamentous fungi, to gain energy and to form biomass. This work advances both our conceptual understanding of polymer biodegradation and the methodological capabilities to assess this process in natural and engineered environments.

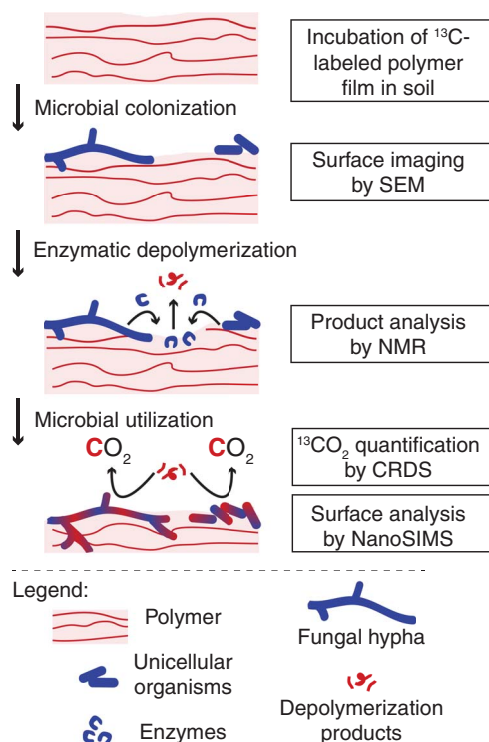
## INTRODUCTION

Modern agriculture heavily relies on the use of plastic materials in various applications, a practice coined plasticulture. Mulching with plastic films is a major application with a global market volume of approximately  $2 \times 10^6$  tons per year (1). Mulch films are placed onto agricultural soils to improve conditions for plant growth while lowering consumption of water, herbicides, and fertilizer and also minimizing soil erosion (1, 2). Because of these benefits, mulching with plastic films helps to secure food for the growing world population. However, mulch films are commonly composed of nonbiodegradable polyethylene and, therefore, accumulate in agricultural soils and surrounding receiving environments if incompletely retrieved after use. These accumulations have negative ecologic and economic impacts, including decreased soil productivity (3–5). A promising strategy to overcome these risks is to use mulch films composed of polymers that biodegrade in soils (1, 6–8).

Biodegradation of polymers requires microorganisms to metabolize all organic components of the polymer. Biodegradation in soil involves several key steps (Fig. 1): (i) colonization of the polymer surface by microorganisms, (ii) secretion of extracellular microbial enzymes that depolymerize the polymer into low-molecular weight compounds, and (iii) microbial uptake and utilization of these compounds, incorporating polymer carbon into biomass or releasing it as CO<sub>2</sub> (9).

Here, we examined each of the above steps for poly(butylene adipate-co-terephthalate) (PBAT), an aliphatic-aromatic statistical copolyester of large importance in the market of biodegradable mulch films (7). While previous studies provided indirect indications for PBAT biodegradation in soils based on determining PBAT mass loss and changes in its physicochemical properties (10–12), we here use a novel workflow

using stable carbon isotope-labeled PBAT to directly and unequivocally demonstrate its biodegradation in soil (table S1). This workflow included incubation of <sup>13</sup>C-labeled polymer films in soil with continuous quantification of polymer-derived <sup>13</sup>CO<sub>2</sub> by isotope-specific cavity ring-down

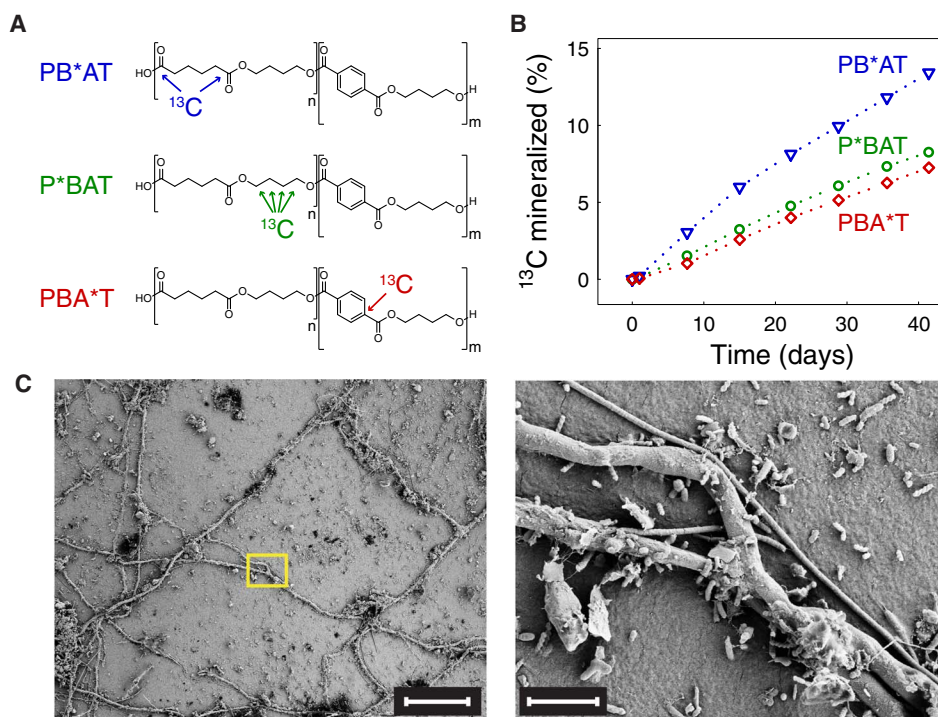


**Fig. 1. Key steps in the biodegradation of polymers in soils.** Microorganisms colonize the polymer surface and secrete extracellular enzymes that depolymerize the polymer. The formed low-molecular weight hydrolysis products are taken up by the microorganisms and used both for energy production, resulting in the formation of CO<sub>2</sub>, and for the synthesis of cellular structures and macromolecules, resulting in incorporation of polymer-derived carbon into the microbial biomass. The boxes on the right depict the analytical methods we used to study these steps. NMR, nuclear magnetic resonance.

<sup>1</sup>Institute of Biogeochemistry and Pollutant Dynamics, ETH Zürich, 8092 Zürich, Switzerland. <sup>2</sup>Division of Microbial Ecology, Department of Microbiology and Ecosystem Science, Research Network "Chemistry Meets Biology", University of Vienna, Vienna 1090, Austria. <sup>3</sup>Large-Instrument Facility for Advanced Isotope Research, University of Vienna, Vienna 1090, Austria. <sup>4</sup>Environmental Biochemistry Group; Environmental Microbiology, Swiss Federal Institute of Aquatic Science and Technology (Eawag), 8600 Dübendorf, Switzerland.

\*Present address: School of Civil and Environmental Engineering, Cornell University, Ithaca, NY 14853, USA.

†Corresponding author. Email: michael.sander@env.ethz.ch



**Fig. 2. Mineralization and surface colonization of films of three PBAT [poly(butylene adipate-co-terephthalate)] variants during a 6-week soil incubation.** (A) Chemical structures of the tested PBAT variants, differing in the monomer unit that was  $^{13}\text{C}$ -labeled. PB\*AT, P\*BAT, and PBA\*T contained 1,6- $^{13}\text{C}_2$ -adipate,  $^{13}\text{C}_4$ -butanediol, and 1- $^{13}\text{C}_1$ -terephthalate, respectively. (B) Formation of  $^{13}\text{C}$  from the three PBAT variants during their incubation in soil, monitored by  $^{13}\text{C}$  isotope-specific  $\text{CO}_2$  CRDS (cavity ring-down spectroscopy). PBAT variants were added to soils at time = 0 days. Results from replicate experiments performed with a slightly different setup are shown in fig. S1. (C) Representative SEM (scanning electron microscopy) images of a PBAT film after the 6-week soil incubation. The yellow rectangle in the left image defines the area shown at higher magnification in the right image. Scale bars, 50  $\mu\text{m}$  (left) and 5  $\mu\text{m}$  (right).

spectroscopy (CRDS) (13). The use of  $^{13}\text{C}$ -labeled polymers allowed us to distinguish polymer-derived  $\text{CO}_2$  from  $\text{CO}_2$  formed by soil organic matter mineralization. After incubation, we imaged the polymer film surfaces using scanning electron microscopy (SEM) and demonstrated the incorporation of polymer-derived  $^{13}\text{C}$  into the biomass of film-colonizing microorganisms using element-specific, isotope-selective nanoscale secondary ion mass spectrometry (NanoSIMS) (14). We studied three PBAT variants that had similar physicochemical properties and comparable total  $^{13}\text{C}$  contents, but varied in the monomer that contained the  $^{13}\text{C}$ -label [that is, butanediol (P\*BAT), adipate (PB\*AT), or terephthalate (PBA\*T)] (Fig. 2A and table S2). The use of these variants allowed us to follow biodegradation of all PBAT building blocks. The presented workflow is a novel approach to study the fundamental steps in polymer biodegradation in complex systems (15–17).

## RESULTS

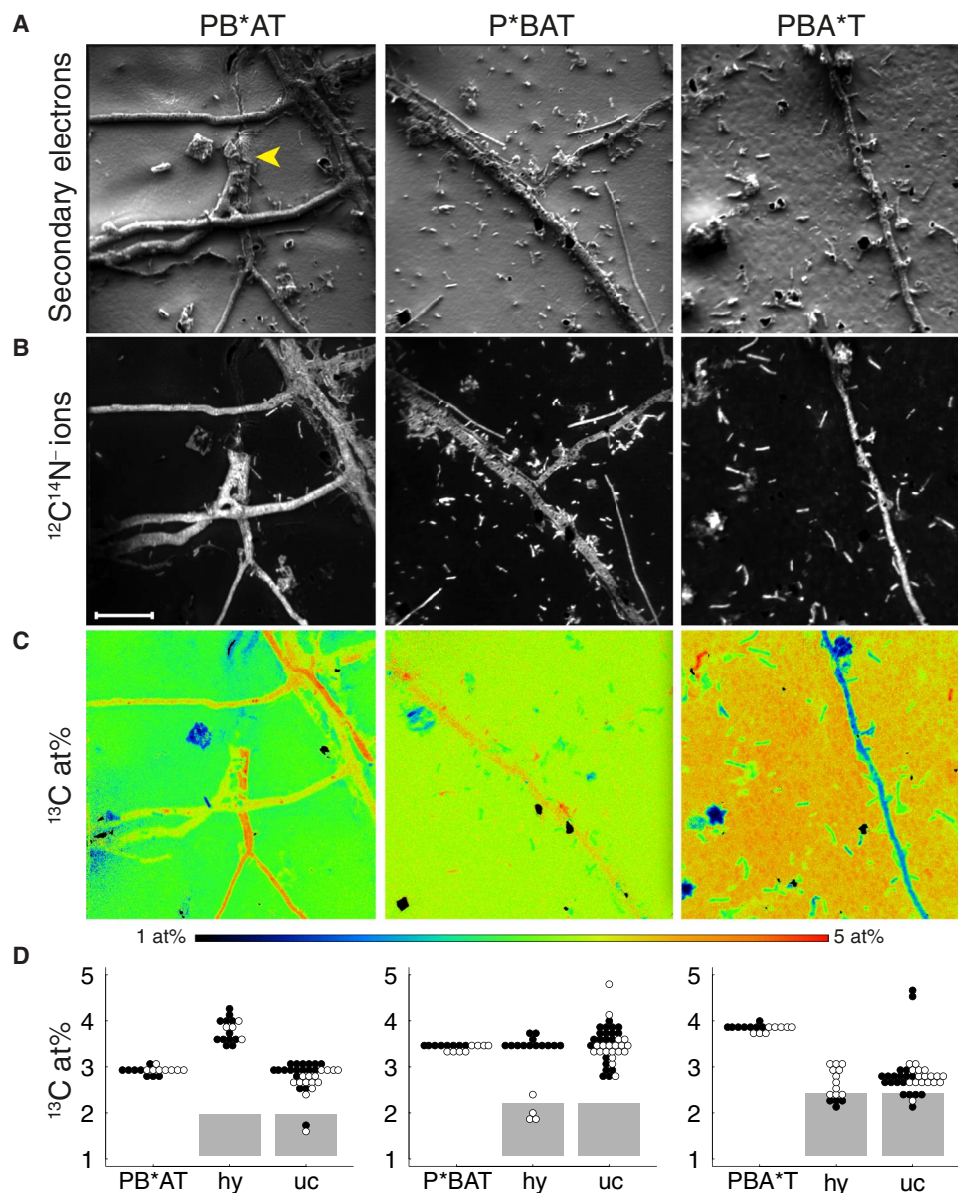
Soil incubation of all PBAT variants resulted in  $^{13}\text{CO}_2$  formation (Fig. 2B), demonstrating that soil microorganisms used carbon from all three monomer units in PBAT to gain energy. The cumulative amounts of  $^{13}\text{CO}_2$  formed over 6 weeks of soil incubation corresponded to approximately 13% of the  $^{13}\text{C}$  in PB\*AT and 8% of the  $^{13}\text{C}$  in both P\*BAT and PBA\*T. We confirmed the higher extent of  $^{13}\text{CO}_2$  formation from PB\*AT than from the other two variants in replicate soil incubations with a slightly modified setup (fig. S1). We have two complementary explanations for the faster and more extensive  $^{13}\text{CO}_2$  formation from PB\*AT than from P\*BAT and PBA\*T. The first explanation builds on microscale nonuniformity in the adipate-to-terephthalate ratio within

the statistical copolyester PBAT, which gives rise to microdomains with adipate-to-terephthalate ratios that deviate from the ratio of the bulk PBAT. Microdomains with higher adipate-to-terephthalate ratios are known to undergo faster enzymatic hydrolysis than those with lower adipate-to-terephthalate ratios (18–20). The preferential release of adipate and its subsequent mineralization by soil microorganisms are expected to result in faster and more extensive  $^{13}\text{CO}_2$  release from the variant in which the  $^{13}\text{C}$ -label is in the adipate (that is, PB\*AT), as experimentally observed. Support for this explanation comes from incubations of unlabeled PBAT films with either *Rhizopus oryzae* lipase or *Fusarium solani* cutinase (FsC)—two fungal carboxylesterases with distinct hydrolysis mechanisms (18, 21). As expected,  $^1\text{H}$  NMR spectroscopy revealed that PBAT films that remained after partial enzymatic hydrolysis were enriched in terephthalate, while the released hydrolysis products were enriched in adipate (figs. S2 to S6). The second explanation for the higher extent of  $^{13}\text{CO}_2$  formation from PB\*AT is that  $\text{CO}_2$  formation was more extensive for the highly oxidized carboxylate carbons in adipate than the more reduced carbons in butanediol and terephthalate. Separate soil incubations with labeled monomers demonstrated higher extents of  $^{13}\text{CO}_2$  formation from 1,6- $^{13}\text{C}_2$ -adipate (~66% of added  $^{13}\text{C}$ ) than from  $^{13}\text{C}_4$ -butanediol and 1- $^{13}\text{C}_1$ -terephthalate (that is, ~40% and ~55% of added  $^{13}\text{C}$ , respectively) (fig. S7). Furthermore, it is evident from comparing Fig. 2B with fig. S7 that the mineralization of the free monomers was much faster than that of the corresponding PBAT variants. While more than 30% of labeled carbon atoms in the monomers were mineralized within 2 days of adding the monomers to the soils, less than 1% of the labeled carbon atoms in the three PBAT specimens had been converted to  $\text{CO}_2$  over the same incubation period.

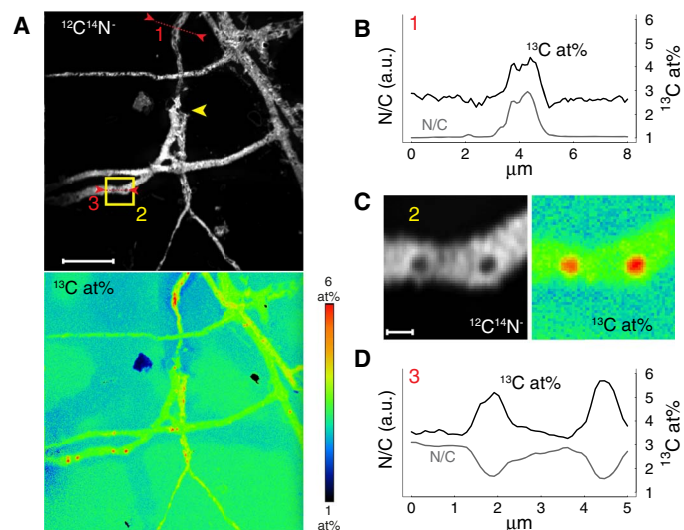
This finding implies that the depolymerization step controlled the rate at which PBAT was mineralized in soils (9).

SEM images of the PBAT films retrieved from soils after the incubations showed extensive surface colonization by both filamentous fungi and unicellular organisms of diverse morphologies (selected images shown in Fig. 2C). We used NanoSIMS to image the element and isotope distribution on selected PBAT surfaces (Fig. 3 and fig. S8; the latter showing images from replicate PBAT films). Film-colonizing microorganisms were visualized on the basis of both secondary electron images (Fig. 3A) and images showing  $^{12}\text{C}^{14}\text{N}^-$  ion signal intensity distributions (Fig. 3B). In the latter, biomass appears in gray-white colors, while the underlying PBAT surface appears black due to the absence of nitrogen in PBAT.

NanoSIMS-based analyses of the carbon isotope composition revealed that the  $^{13}\text{C}$  content of the noncolonized PBAT surfaces increased from PB\*AT to P\*BAT and PBA\*T (Fig. 3D). This trend differs from that of the  $^{13}\text{C}$  content of the nonincubated bulk materials, which was 3.75 atom% (at%) for PB\*AT, 3.53 at% for PBA\*T, and 3.56 at% for P\*BAT [determined by isotope ratio mass spectrometry (IRMS); table S2]. The difference between these trends suggests that during the



**Fig. 3. NanoSIMS (nanoscale secondary ion mass spectrometry) analysis of PBAT films after a 6-week soil incubation.** See Fig. 2 for details on PBAT variants. Images represent signal intensity distributions of secondary electrons (A),  $^{12}\text{C}^{14}\text{N}^-$  ions (B), and the carbon isotope composition displayed as  $^{13}\text{C}/(^{12}\text{C} + ^{13}\text{C})$  isotope fraction, given in at%. (C) Yellow arrowhead in (A) indicates position of a burrowed hypha. Scale bar in (B) represents 10  $\mu\text{m}$  and applies to all images. (D) Carbon isotope composition within ROI [categorized as background PB\*AT, P\*BAT, or PBA\*T; fungal hyphae (hy); and unicellular organisms (uc) as specified in fig. S10]. Black (solid) dots refer to values obtained from ROI analysis of images shown in (C), and open dots refer to values obtained on replicate films (images shown in fig. S8). Gray rectangles represent the range of apparent  $^{13}\text{C}$  contents of microorganisms with natural  $^{13}\text{C}$  abundance due to carryover of  $^{13}\text{C}$  from the PBAT surface (see text and fig. S9 for details).



**Fig. 4. NanoSIMS images acquired after extended sputter erosion of the PB\*AT film shown also in Fig. 3. (A)** Distribution of  $^{12}\text{C}^{14}\text{N}^-$  ion signal intensity (top) and the  $^{13}\text{C}$  content expressed as  $^{13}\text{C}/(^{12}\text{C} + ^{13}\text{C})$  in at% (bottom). Scale bar, 10  $\mu\text{m}$ . Yellow arrowhead indicates burrowed hypha. **(B and D)** Line scan analyses 1 (B) and 3 (D) of selected hyphae with respect to  $^{13}\text{C}$  content and relative nitrogen content (N/C ratio as inferred from  $\text{C}_2^-$  normalized  $^{14}\text{N}^-$  signal intensities; see Materials and Methods for details). The positions of the line scans are shown in (A). **(C)** Zoom-in of the region indicated by the yellow square (2) in (A). Scale bar, 1  $\mu\text{m}$ . a.u., arbitrary units.

initial biodegradation followed in this work, PB\*AT film surfaces became depleted in  $^{13}\text{C}$ , while PBA\*T surfaces became enriched in  $^{13}\text{C}$ . This finding is fully consistent with enzymatic hydrolysis of adipate-rich domains being faster than that of terephthalate-rich domains on a PBAT surface (figs. S2 to S6), and with the mineralization data showing elevated  $^{13}\text{CO}_2$  formation from PB\*AT (Fig. 2B). A decrease in the adipate-to-terephthalate ratio during soil incubation has previously been reported also for unlabeled PBAT (10).

We demonstrated incorporation of PBAT-derived carbon into the biomass of film-colonizing microorganisms after circumventing a measurement-specific artifact: carryover of  $^{13}\text{C}$  from the PBAT surface onto colonizing microorganisms through atomic mixing and redeposition of sputtered atoms during NanoSIMS measurements, which would change the actual  $^{13}\text{C}$  content of these organisms. We assessed this carryover with a control experiment in which we determined the  $^{13}\text{C}$  contents of *Escherichia coli* cells with natural  $^{13}\text{C}$  abundance on the surface of a nonincubated P\*BAT film (fig. S9). We used *E. coli* cells because we expected a larger carryover for unicellular microorganisms than for larger fungal hyphae. This control revealed a carryover, the extent of which we used to conservatively estimate an upper bound for the apparent  $^{13}\text{C}$  contents of soil microorganisms with natural  $^{13}\text{C}$  abundance on the surface of the incubated PBAT films. These bounds correspond to the upper edge of the gray rectangles in Fig. 3D (see fig. S9 for details on the control experiment). For all three PBAT variants, the majority of the film-colonizing organisms had  $^{13}\text{C}$  contents that were too high to have resulted from carryover, demonstrating that the organisms incorporated PBAT-derived carbon into their biomass [Fig. 3, C and D; see fig. S10 for selected regions of interest (ROIs)]. For organisms with apparent  $^{13}\text{C}$  contents below the threshold, it cannot be ruled out that they had natural  $^{13}\text{C}$  abundance and were growing, for instance, at the expense of soil organic matter. In addition, we demonstrated incor-

poration of PBAT carbon into microbial biomass by showing that microorganisms extracted from P\*BAT films after soil incubation had  $^{13}\text{C}$  contents up to 6 at% when imaged by NanoSIMS on filter supports (fig. S11). NanoSIMS imaging additionally revealed the presence of fungal hyphae on PB\*AT and unicellular organisms on P\*BAT and PBA\*T films with higher  $^{13}\text{C}$  contents than the underlying PBAT films (Fig. 3, C and D). For P\*BAT and PBA\*T, these elevated  $^{13}\text{C}$  contents imply that the microorganism must have preferentially incorporated the labeled carbon atoms from these two PBAT variants over other available nonlabeled carbon. For PB\*AT, the high  $^{13}\text{C}$  contents of fungal hyphae may also have resulted from the preferential enzymatic hydrolysis of microdomains in PBAT with elevated adipate-to-terephthalate ratios. Uptake of the preferentially released  $^{13}\text{C}$ -labeled adipate and incorporation of its carbon into the fungal biomass would explain the elevated  $^{13}\text{C}$  contents of the hyphae on the PB\*AT films.

While most images suggest that PBAT biodegradation primarily occurred on the film surfaces, one of the PB\*AT NanoSIMS images showed a fungal hypha that burrowed into the film (yellow arrowhead in Fig. 3A). We excavated this hypha by extended surface sputtering with the primary ion beam of the NanoSIMS (Fig. 4A). Subsequent NanoSIMS analysis revealed that this burrowed hypha was highly enriched in  $^{13}\text{C}$  (up to 4.5 at%; Fig. 4B) relative to the surrounding PB\*AT. The extended sputtering also opened some of the fungal cells on the PB\*AT surface, revealing subcellular structures that were highly enriched in  $^{13}\text{C}$  (up to 6 at%) and depleted in nitrogen (Fig. 4, C and D, and fig. S12).

## DISCUSSION

This work presents an experimental approach to study polymer biodegradation in soils and to assess the key steps involved in this process: microbial polymer colonization, enzymatic depolymerization on the polymer surface, and microbial uptake and utilization of the released low-molecular weight compounds. Central to the approach is the use of polymer variants that are  $^{13}\text{C}$ -labeled in all monomer units of the polymer, thereby allowing us to assess whether all organic components of the polymer material are used by soil microorganisms. The label further allows tracing of polymer-derived carbon into both  $\text{CO}_2$  and microbial biomass. Using this approach, we demonstrate here the biodegradability of PBAT in soil. Biodegradability renders PBAT a more environmentally friendly alternative to persistent polymer materials for use in plasticulture, including single-use applications such as plastic mulching. Our results further imply that incorporation of polymer-derived carbon into microbial biomass needs to be taken into consideration in regulatory guidelines for determining biodegradability of polymers. Currently, these guidelines are solely based on extents of  $\text{CO}_2$  formation. Furthermore, the finding of subcellular structures within PBAT-colonizing fungi highly enriched in polymer-derived carbon might represent compartments in which carbon is stored (for example, in the form of neutral lipids) when fungi are limited by the availability of nutrients other than carbon (22). These limitations are plausible for microorganisms growing on PBAT and other polymers that do not contain nitrogen and phosphorous. If these limitations occur, increasing the availability of soil nutrients to microorganisms colonizing the polymer surface is expected to enhance polymer biodegradation.

This work demonstrates PBAT biodegradation in a selected agricultural soil over 6 weeks of incubation. Future studies extending on this work will need to assess variations in the rates and extents of PBAT mineralization among different agricultural soils, also over longer-time

incubations. Furthermore, we propose studies that are directed toward identifying soil microorganisms that are actively involved in PBAT biodegradation. While the NanoSIMS-based approach presented here allows us to unambiguously demonstrate incorporation of polyester carbon into soil microbial biomass, it is not a high-throughput technique. Alternative approaches, including the extraction of targeted biomolecules from soils containing  $^{13}\text{C}$ -labeled polymers followed by quantifying the  $^{13}\text{C}$  contents in the extracted molecules, will allow us to analyze larger sample sets and thereby to systematically determine potential variations among soil microorganisms in the extent to which they incorporate polymer-derived carbon into their biomass.

## MATERIALS AND METHODS

### Experimental design

The objective of this study was to develop an experimental approach to demonstrate biodegradation of PBAT in an agricultural soil. As biodegradation includes mineralization of PBAT carbon to  $\text{CO}_2$ , as well as the incorporation of PBAT-derived carbon into the biomass of soil microorganisms, we addressed both of these processes in controlled laboratory experiments. We followed PBAT mineralization during soil incubation using an isotope-specific CRDS for the quantification of formed  $\text{CO}_2$ . For each of the three PBAT variants, we simultaneously incubated seven films in one incubation bottle filled with soil to allow precise quantification of PBAT mineralization to  $\text{CO}_2$ . The soil incubations were terminated after 6 weeks (that is, when approximately 10% of the PBAT carbon had been mineralized) to ensure that PBAT films were still intact for the subsequent imaging analyses. We revealed incorporation of PBAT-derived carbon into biomass using NanoSIMS, which enabled identification of subcellular features and determination of the carbon isotope composition of the PBAT film surface and the colonizing microorganisms at submicrometer spatial resolution. The low throughput of this high-end topochemical analysis technique constrained the number of collected images for soil-incubated films to two images for each of the three PBAT variants including replicate films. We note that we did not exclude any data or outliers from our analysis.

### Polyesters, monomers, soil, and enzymes

Polyesters were provided by BASF SE and synthesized as previously described (23, 24). The physicochemical properties of the polyesters are listed in table S2. To obtain similar  $^{13}\text{C}$  contents for the three PBAT variants (that is, PB\*AT, P\*BAT, and PBA\*T), synthesis of all variants was performed with defined ratios of labeled to unlabeled monomers. The three PBAT variants were free of chemical additives.

The  $^{13}\text{C}$ -labeled monomers 1,6- $^{13}\text{C}_2$ -adipate and  $^{13}\text{C}_4$ -butanediol used for PBAT synthesis and for soil incubation studies were purchased from Sigma, with more than 99% of the indicated positions in the monomer containing  $^{13}\text{C}$ . We obtained 1- $^{13}\text{C}_1$ -terephthalate from dimethyl 1- $^{13}\text{C}$ -terephthalate purchased from Sigma. To obtain the free diacid, we dissolved dimethyl 1- $^{13}\text{C}$ -terephthalate in 2:1 water/tetrahydrofuran (5 mg in 2.4 ml), added 25  $\mu\text{l}$  of a sodium hydroxide solution [37% (w/w)], and stirred the solution at room temperature for 2 hours. The solvent was then carefully removed under reduced pressure to obtain the hydrolysis product 1- $^{13}\text{C}_1$ -terephthalate (confirmed by  $^1\text{H}$  NMR).

For PBAT and monomer incubations in soils under controlled laboratory conditions, we used agricultural soils from the agricultural center Limburgerhof (Rhineland-Palatinate, Germany). Physicochemical properties of the soils are provided in table S1. The soils were air-dried to a humidity of 12% of the maximum water-holding capacity

of the soil, passed through a 2-mm sieve, and stored in the dark at 4°C for 12 months before use in the incubation experiments.

*R. oryzae* lipase was purchased as a powder from Sigma (catalog no. 80612). FsC was obtained as a solution from ChiralVision B.V. (Novozym 51032). Stock solutions of both enzymes in water were stored at  $-20^\circ\text{C}$ .

### Preparation of PBAT films and soils for incubation experiments

We prepared two sets of solvent-cast PBAT films that differed in the way that the PBAT films were attached to the silicon wafer substrates. For the first set, we solvent-cast PBAT films by adding three times 15  $\mu\text{l}$  of a PBAT solution in chloroform [concentration, 5% (w/w)] onto a square-cut antimony-doped silicon wafer platelet (7.1 mm  $\times$  7.1 mm  $\times$  0.75 mm, Active Business Company). In between the additions of the polymer solutions, we allow the chloroform to evaporate. This procedure resulted in a PBAT mass of approximately 3 mg per wafer. Before incubation in soil, the solvent-cast polyester films were stored in the dark at room temperature for 1 week to ensure complete evaporation of the solvent (chloroform). PBAT variants from this first set were used for PBAT mineralization experiments (Fig. 2B), SEM imaging (Fig. 2C), and NanoSIMS imaging (Figs. 3 and 4 and fig. S8).

For the second set of PBAT films, we pretreated the silicon wafer platelets with Vectabond (Vector Laboratories, catalog no. SP-1800) before solvent casting of the polyester films. This second set of PBAT films was included to test whether the adhesion of the PBAT to the Si surface can be improved by this modified protocol. For the pretreatment, we exposed the wafers to a 1:50 diluted solution of Vectabond in acetone, subsequently dipped them into MilliQ water (Barnstead Nanopure Diamond), and dried them in a stream of  $\text{N}_2$ . PBAT variants from this set were used only to determine PBAT mineralization (fig. S1), but not for SEM and NanoSIMS imaging.

We prepared the soil for PBAT incubations by adding MilliQ water to the soil to adjust its water content to 47% of its maximum water-holding capacity. We subsequently transferred 60 g of the soil into each of the incubation vessels (100-ml glass Schott bottles). We prepared a total of nine incubation bottles in three sets of three bottles (see below). The soils were then preincubated at 25°C in the dark for 1 week.

After soil preincubation, we transferred the wafers carrying the solvent-cast polyester films into the soils in the incubation bottles. We added seven wafers to each incubation bottle. The wafers were spaced apart by at least 1 cm. All wafers were positioned upright in the soil. The three bottles of the first set each contained films of one of the three differently labeled PBAT variants obtained by direct solvent casting. The three bottles of the second set were identical to the first set except for the wafers, which were pretreated with Vectabond before solvent casting. The three bottles in the third set served as controls and contained soil but no PBAT films. All bottles were incubated for 6 weeks at 25°C in the dark. We note that our study therefore does not address potential effects of ultraviolet irradiation-induced changes in the structure of PBAT on its biodegradability. Over the course of the incubation, we gravimetrically determined the water content of the soils at defined time intervals. To sustain a constant soil water content, amounts of water that were lost from the soil through evaporation were replenished by adding corresponding amounts of MilliQ water.

### Quantification of polyester mineralization

For isotope-specific quantification of the  $^{13}\text{CO}_2$  formed from  $^{13}\text{C}$ -labeled PBAT during the incubations, we used an experimental setup similar to

the one described by Bai *et al.* (13). In brief, we attached the incubation bottles containing soils with and without the PBAT variants to a flow-through system connected to an isotope-selective CO<sub>2</sub> CRDS (Picarro G2201-i Analyzer) for 3 days per week. The volumetric gas flow through the system was 24 ml/min, which was established by a vacuum pump connected to the system. During the remaining time, the bottles were incubated under the same conditions as specified above with closed lids. Each time that the bottles were reconnected to the CRDS, the headspace of the bottle was allowed to equilibrate for 2 days before the concentrations of <sup>12</sup>CO<sub>2</sub> and <sup>13</sup>CO<sub>2</sub> in the effluent gas of the incubation bottles were measured using the CRDS.

The amount of <sup>13</sup>C from each PBAT variant that was mineralized to <sup>13</sup>CO<sub>2</sub> during the incubation was calculated as follows. First, the fraction of CO<sub>2</sub> that originated from PBAT ( $f_{\text{PBAT}}$ ) was calculated using the following equation (25, 26)

$$f_{\text{PBAT}} = \frac{a_{13\text{C},\text{sample}} - a_{13\text{C},\text{control}}}{a_{13\text{C},\text{PBAT}} - a_{13\text{C},\text{control}}} \quad (1)$$

where  $a_{13\text{C},\text{sample}}$ ,  $a_{13\text{C},\text{control}}$ , and  $a_{13\text{C},\text{PBAT}}$  refer to the isotope fraction [that is,  $^{13}\text{C}/(^{12}\text{C} + ^{13}\text{C})$  in at%] of the CO<sub>2</sub> sampled from the incubation bottles, the CO<sub>2</sub> sampled from the control bottles (both measured by CRDS), and PBAT (measured by IRMS; see table S2 for details), respectively.

Then, the concentration of CO<sub>2</sub> that resulted from PBAT mineralization ( $[\text{CO}_2]_{\text{PBAT}}$ ) was calculated from the total CO<sub>2</sub> concentration measured in the effluent of the incubation bottle ( $[\text{CO}_2]_{\text{sample}}$ )

$$[\text{CO}_2]_{\text{PBAT}} = f_{\text{PBAT}} \cdot [\text{CO}_2]_{\text{sample}} \quad (2)$$

The concentration of PBAT-derived <sup>13</sup>CO<sub>2</sub> ( $[\text{CO}_2]_{\text{PBAT}}$ ) was calculated with the following equation

$$[\text{CO}_2]_{\text{PBAT}} = [\text{CO}_2]_{\text{PBAT}} \cdot a_{13\text{C},\text{PBAT}} \quad (3)$$

The rate of mineralization of PBAT-derived <sup>13</sup>C ( $r(^{13}\text{C})$ ) was calculated from  $[\text{CO}_2]_{\text{PBAT}}$ , the volumetric gas flow rate through the CRDS ( $Q = 24$  ml/min), the molar mass of <sup>13</sup>C ( $M = 13.003$  g/mol), and the molar volume of air ( $V = 24.465$  liters/mol at 25°C)

$$r(^{13}\text{C}) = [\text{CO}_2]_{\text{PBAT}} \cdot \frac{Q \cdot M}{V} \quad (4)$$

Linear interpolation between data points and integration of  $r(^{13}\text{C})$  over time resulted in the cumulative amount of mineralized PBAT <sup>13</sup>C. In Fig. 2 and fig. S1, this quantity was displayed as the fraction of <sup>13</sup>C of the isotopically labeled PBAT that was added to the soils.

### Preparation and SEM imaging of soil-incubated PBAT films

After 6 weeks of incubation in soil, we carefully removed the silicon wafers carrying the PBAT films from the soils. To chemically fix the cells attached to the surfaces of the PBAT films, we directly transferred the films into a freshly prepared fixation solution (pH 7.4) containing glutaraldehyde (2.5%), sodium cacodylate (0.1 M), sodium chloride (0.1 M), potassium chloride (3 mM), and sodium phosphate (0.1 M). The films were exposed to this solution for 20 min at 25°C and subsequently transferred to a solution of OsO<sub>4</sub> in MilliQ water (1%) for

30 min of exposure on ice. Finally, we dehydrated the films in a series of water/ethanol solutions of increasing concentrations (70%, 5 min; 95%, 15 min; 100%, 2 × 20 min), followed by critical point drying of the samples with liquid CO<sub>2</sub> (Baltec CPD 030). Critical point drying resulted in detachment of the PBAT films from the wafer. To reattach the films to the wafers for further analyses, we used a double-sided adhesive, electrically conducting carbon tape (Ted Pella, product no. 16084-1). Directly after mounting the films onto the wafers with carbon tape, thin films of platinum (thickness, 10 nm) were deposited on the samples using a sputter coater (Baltec SCD 500). SEM was conducted on a Zeiss Supra 50 VP. Imaging was performed with a secondary electron detector at a working distance of 4.0 mm and an electron high tension of 5.0 kV. These films were also used for NanoSIMS analysis (see below).

PBAT films from the second set, for which wafers were pretreated with Vectabond before solvent casting of PBAT (see above), also detached from the wafers. We decided to reject further analysis of these films (that is, SEM and NanoSIMS).

### PBAT film imaging by NanoSIMS

NanoSIMS measurements were performed on a NanoSIMS NS50L (Cameca) at the Large-Instrument Facility for Advanced Isotope Research (University of Vienna). Before data acquisition, analysis areas were presputtered by scanning of a high-intensity, slightly defocused Cs<sup>+</sup> ion beam (beam current, 400 pA; spot size, approximately 2 μm). To avoid crater edge effects, scanning during presputtering was conducted over square-sized areas with an edge length exceeding the frame size of the subsequently recorded images by at least 15 μm. Every data set acquired on the soil-incubated polymer films contains image data recorded from (at least) two distinct depth levels, accessed by sequential presputtering with Cs<sup>+</sup> ion fluences of  $5.0 \times 10^{16}$  and  $2.0 \times 10^{17}$  ions/cm<sup>2</sup>, respectively. Application of the lower ion dose density enabled sampling of all cells within the analysis areas, irrespective of their size and/or morphology, whereas the extended presputtering allowed us to gain insight into cellular features contained within the lumen of bulky cells such as fungal hyphae (see, for example, Fig. 4).

Imaging was conducted by sequential scanning of a finely focused Cs<sup>+</sup> primary ion beam (2-pA beam current) over areas ranging from  $45 \times 45 \mu\text{m}^2$  to  $70 \times 70 \mu\text{m}^2$  at a physical resolution of approximately 70 nm (that is, probe size) and an image resolution of  $512 \times 512$  pixels. If not stated otherwise, images were acquired as multilayer stacks with a per-pixel dwell time of 1.5 ms per cycle. <sup>12</sup>C<sup>-</sup>, <sup>13</sup>C<sup>-</sup>, <sup>12</sup>C<sup>12</sup>C<sup>-</sup>, <sup>12</sup>C<sup>13</sup>C<sup>-</sup>, <sup>12</sup>C<sup>14</sup>N<sup>-</sup>, <sup>31</sup>P<sup>-</sup>, and <sup>32</sup>S<sup>-</sup> secondary ions as well as secondary electrons were simultaneously detected, and the mass spectrometer was tuned for achieving a mass resolving power of >9,000 (according to Cameca's definition) for detection of C<sub>2</sub><sup>-</sup> and CN<sup>-</sup> secondary ions. Image data were analyzed with the ImageJ plugin OpenMIMS, developed by the Center for NanoImaging (27). Secondary ion signal intensities were corrected for detector dead time (44 ns) and quasi-simultaneous arrival (QSA) of secondary ions. Both corrections were performed on a per-pixel basis. QSA sensitivity factors ("beta values") were obtained from measurements on dried yeast cells, yielding 1.1, 1.06, and 1.05 for C<sup>-</sup>, C<sub>2</sub><sup>-</sup>, and CN<sup>-</sup> secondary ions, respectively. Before stack accumulation, images were corrected for positional variations originating from primary ion beam and/or sample stage drift. ROIs were manually defined on the basis of <sup>12</sup>C<sup>14</sup>N<sup>-</sup> secondary ion signal intensity distribution images and cross-checked by the topographical/morphological appearance indicated in the simultaneously recorded secondary electron images (see fig. S10). While each cell from unicellular

organisms was assigned to an individual ROI, image regions within the polyester surfaces and hyphae were segmented into multiple ROIs. Throughout the article, the carbon isotope composition is displayed as the  $^{13}\text{C}/(^{12}\text{C} + ^{13}\text{C})$  isotope fraction, given in at‰, calculated from the  $\text{C}^-$  and  $\text{C}_2^-$  secondary ion signal intensities via  $^{13}\text{C}^-/(^{12}\text{C}^- + ^{13}\text{C}^-)$  and  $^{13}\text{C}^{12}\text{C}^-/(2 \cdot ^{12}\text{C}^{12}\text{C}^- + ^{13}\text{C}^{12}\text{C}^-)$ , respectively. Owing to superior counting statistics, all carbon isotope composition data shown in the article were inferred from  $\text{C}_2^-$  signal intensities. We note that we did not observe any significant differences between  $^{13}\text{C}$  content values inferred from  $\text{C}_2^-$  signal intensities versus  $\text{C}^-$  signal intensities. For the line scan analyses displayed in Fig. 4,  $\text{C}_2^-$  normalized  $\text{C}^{14}\text{N}^-$  signal intensities were used as an indicator of the relative nitrogen content {calculated via  $[\text{C}^{14}\text{N}^- (1 + ^{13}\text{C}/^{12}\text{C})]/[\text{C}^{12}\text{C}^{13}\text{C}^- + \text{C}_2^- (1 + (^{13}\text{C}/^{12}\text{C})^2)]$ }, whereby the term  $^{13}\text{C}/^{12}\text{C}$  refers to the  $^{13}\text{C}$ -to- $^{12}\text{C}$  isotope ratio, calculated from the  $\text{C}_2^-$  signal intensities via  $^{13}\text{C}^{12}\text{C}^-/(2 \cdot ^{12}\text{C}^{12}\text{C}^-)$ . This quantity formally refers to the relative nitrogen-to-carbon elemental ratio and was used in favor of the relative nitrogen concentration, which is inferable from  $\text{C}^-$  normalized  $\text{C}^{14}\text{N}^-$  signal intensities, to minimize artifacts arising from the considerable topography within the areas of the fungal hyphae (28).

## SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/4/7/eaas9024/DC1>

Supplementary Materials and Methods

Fig. S1. Mineralization of PBAT films.

Fig. S2. NMR analysis of enzymatic hydrolysis products of PBAT films I.

Fig. S3. NMR spectra of terephthalate, adipate, and butanediol.

Fig. S4. NMR analysis of enzymatic hydrolysis products of PBAT films II.

Fig. S5. NMR analysis of enzymatic hydrolysis products of PBAT films III.

Fig. S6. NMR analysis of enzymatic hydrolysis products of PBAT films IV.

Fig. S7. Mineralization of terephthalate, adipate, and butanediol.

Fig. S8. NanoSIMS analysis of PBAT films after soil incubation I.

Fig. S9. Control experiment for NanoSIMS analysis I.

Fig. S10. Definition of ROIs.

Fig. S11. Control experiment for NanoSIMS analysis II.

Fig. S12. NanoSIMS analysis of PBAT films after soil incubation II.

Table S1. Soil characterization.

Table S2. Characterization of PBAT variants.

Supplementary Appendix. Calculations of the carryover during NanoSIMS measurements.

References (29–33)

## REFERENCES AND NOTES

- H. Y. Sintim, M. Flury, Is biodegradable plastic mulch the solution to agriculture's plastic problem? *Environ. Sci. Technol.* **51**, 1068–1069 (2017).
- S. Kasirajan, M. Ngouajio, Polyethylene and biodegradable mulches for agricultural applications: A review. *Agron. Sustain. Dev.* **32**, 501–529 (2012).
- M. C. Rillig, Microplastic in terrestrial ecosystems and the soil? *Environ. Sci. Technol.* **46**, 6453–6454 (2012).
- E. K. Liu, W. Q. He, C. R. Yan, 'White revolution' to 'white pollution'—Agricultural plastic film mulch in China. *Environ. Res. Lett.* **9**, 091001 (2014).
- D. Briassoulis, C. Dejean, Critical review of norms and standards for biodegradable agricultural plastics part I. biodegradation in soil. *J. Polym. Environ.* **18**, 384–400 (2010).
- R. A. Gross, B. Kalra, Biodegradable polymers for the environment. *Science* **297**, 803–807 (2002).
- A. Künkel, J. Becker, L. Börger, J. Hamprecht, S. Koltzenburg, R. Loos, M. B. Schick, K. Schlegel, C. Sinkel, G. Skupin, M. Yamamoto, Polymers, biodegradable, in *Ullmann's Encyclopedia of Industrial Chemistry* (Wiley-VCH Verlag GmbH & Co. KGaA, 2016).
- A.-C. Albertsson, M. Hakkarainen, Designed to degrade. *Science* **358**, 872–873 (2017).
- R.-J. Mueller, Biological degradation of synthetic polyesters—Enzymes as potential catalysts for polyester recycling. *Process Biochem.* **41**, 2124–2128 (2006).
- P. Rychter, M. Kawalec, M. Sobota, P. Kurcok, M. Kowalczyk, Study of aliphatic-aromatic copolyester degradation in sandy soil and its ecotoxicological impact. *Biomacromolecules* **11**, 839–847 (2010).

- T. Kijchavengkul, R. Auras, M. Rubino, E. Alvarado, J. R. C. Montero, J. M. Rosales, Atmospheric and soil degradation of aliphatic–aromatic polyester films. *Polym. Degrad. Stab.* **95**, 99–107 (2010).
- Y.-X. Weng, Y.-J. Jin, Q.-Y. Meng, L. Wang, M. Zhang, Y.-Z. Wang, Biodegradation behavior of poly(butylene adipate-co-terephthalate) (PBAT), poly(lactic acid) (PLA), and their blend under soil conditions. *Polym. Test.* **32**, 918–926 (2013).
- M. Bai, M. Köstler, J. Kunstmann, B. Wilske, A. Gättinger, H. G. Frede, L. Breuer, Biodegradability screening of soil amendments through coupling of wavelength-scanned cavity ring-down spectroscopy to multiple dynamic chambers. *Rapid Commun. Mass Spectrom.* **25**, 3683–3689 (2011).
- A. M. Herrmann, K. Ritz, N. Nunan, P. L. Clode, J. Pett-Ridge, M. R. Kilburn, D. V. Murphy, A. G. O'Donnell, E. A. Stockdale, Nano-scale secondary ion mass spectrometry—A new analytical tool in biogeochemistry and soil ecology: A review article. *Soil Biol. Biochem.* **39**, 1835–1850 (2007).
- S. Yoshida, K. Hiraga, T. Takehana, I. Taniguchi, H. Yamaji, Y. Maeda, K. Toyohara, K. Miyamoto, Y. Kimura, K. Oda, A bacterium that degrades and assimilates poly(ethylene terephthalate). *Science* **351**, 1196–1199 (2016).
- P. Bombelli, C. J. Howe, F. Bertocchini, Polyethylene bio-degradation by caterpillars of the wax moth *Galleria mellonella*. *Curr. Biol.* **27**, R292–R293 (2017).
- C. Weber, S. Pusch, T. Opatz, Polyethylene bio-degradation by caterpillars? *Curr. Biol.* **27**, R744–R745 (2017).
- M. T. Zumstein, D. Rechsteiner, N. Roduner, V. Perz, D. Ribitsch, G. M. Guebitz, H.-P. E. Kohler, K. McNeill, M. Sander, Enzymatic hydrolysis of polyester thin films at the nanoscale: Effects of polyester structure and enzyme active-site accessibility. *Environ. Sci. Technol.* **51**, 7476–7485 (2017).
- E. Marten, R.-J. Müller, W.-D. Deckwer, Studies on the enzymatic hydrolysis of polyesters. II. aliphatic–aromatic copolyesters. *Polym. Degrad. Stab.* **88**, 371–381 (2005).
- V. Perz, K. Bleymaier, C. Sinkel, U. Kueper, M. Bonnekessel, D. Ribitsch, G. M. Guebitz, Substrate specificities of cutinases on aliphatic–aromatic polyesters and on their model substrates. *New Biotechnol.* **33**, 295–305 (2016).
- M. T. Zumstein, H.-P. E. Kohler, K. McNeill, M. Sander, High-throughput analysis of enzymatic hydrolysis of biodegradable polyesters by monitoring cohydrolysis of a polyester-embedded fluorogenic probe. *Environ. Sci. Technol.* **51**, 4358–4367 (2017).
- E. Bååth, The use of neutral lipid fatty acids to indicate the physiological conditions of soil fungi. *Microb. Ecol.* **45**, 373–383 (2003).
- Z. Gan, K. Kuwabara, M. Yamamoto, H. Abe, Y. Doi, Solid-state structures and thermal properties of aliphatic–aromatic poly(butylene adipate-co-butylene terephthalate) copolyesters. *Polym. Degrad. Stab.* **83**, 289–300 (2004).
- A. Lindström, A.-C. Albertsson, M. Hakkarainen, Quantitative determination of degradation products an effective means to study early stages of degradation in linear and branched poly(butylene adipate) and poly(butylene succinate). *Polym. Degrad. Stab.* **83**, 487–493 (2004).
- P. L. Staddon, Carbon isotopes in functional soil ecology. *Trends Ecol. Evol.* **19**, 148–154 (2004).
- I. Fernandez, A. Cabaneiro, S. J. González-Prieto, Partitioning CO<sub>2</sub> effluxes from an Atlantic pine forest soil between endogenous soil organic matter and recently incorporated <sup>13</sup>C-enriched plant material. *Environ. Sci. Technol.* **40**, 2552–2558 (2006).
- P. Gormanns, S. Reckow, J. C. Poczatek, C. W. Turck, C. Lechene, Segmentation of multi-isotope imaging mass spectrometry data for semi-automatic detection of regions of interest. *PLOS ONE* **7**, e30576 (2012).
- A. Thomen, F. Robert, L. Remusat, Determination of the nitrogen abundance in organic materials by NanoSIMS quantitative imaging. *J. Anal. At. Spectrom.* **29**, 512–519 (2014).
- R. Baumgartner, *Transformations of Novel and Unconventional Organic Compounds in Engineered and Natural Systems: Fluoroaromatics and Biodegradable Polyesters* (ETH Zurich, 2015).
- F. Ugolini, R. Henneberger, H. Bürgmann, J. Zeyer, M. H. Schroth, In-situ sonication for enhanced recovery of aquifer microbial communities. *Groundwater* **52**, 737–747 (2014).
- D. Banfi, L. Patiny, [www.nmrdb.org](http://www.nmrdb.org): Resurrecting and processing NMR spectra on-line. *CHIMIA* **62**, 280–281 (2008).
- J. Aires-de-Sousa, M. C. Hemmer, J. Gasteiger, Prediction of 1H NMR chemical shifts using neural networks. *Anal. Chem.* **74**, 80–90 (2002).
- M. J. I. Briones, P. Ineson, D. Sleep, Use of δ<sup>13</sup>C to determine food selection in collembolan species. *Soil Biol. Biochem.* **31**, 937–940 (1999).

**Acknowledgments:** We thank S. Probst, M. Jaggi, and F. Strasser for their help with growing *E. coli*, performing IRMS measurements, and NanoSIMS control sample preparation and data analysis, respectively. **Funding:** M.T.Z., T.F.N., R.B., H.-P.E.K., K.M., and M.S. thank the Joint Research Network on Advanced Materials and Systems of BASF SE and ETH Zürich for scientific and financial support. M.W. and A.S. were supported by the European Research

Council Advanced Grant project NITRICARE 294343. D.W. was supported by the European Research Council Starting Grant project DormantMicrobes 636928. SEM imaging was performed at the Center for Microscopy, University of Zurich. **Author contributions:** M.T.Z., A.S., D.W., H.-P.E.K., K.M., and M.S. designed the study. M.T.Z., A.S., T.F.N., and R.B. performed experiments. All authors contributed to the writing of the manuscript. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate our conclusions are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the authors.

Submitted 3 January 2018  
Accepted 18 June 2018  
Published 25 July 2018  
10.1126/sciadv.aas9024

**Citation:** M. T. Zumstein, A. Schintlmeister, T. F. Nelson, R. Baumgartner, D. Wobken, M. Wagner, H.-P. E. Kohler, K. McNeill, M. Sander, Biodegradation of synthetic polymers in soils: Tracking carbon into CO<sub>2</sub> and microbial biomass. *Sci. Adv.* **4**, eaas9024 (2018).



## Biodegradation of synthetic polymers in soils: Tracking carbon into CO<sub>2</sub> and microbial biomass

Michael Thomas Zumstein, Arno Schintlmeister, Taylor Frederick Nelson, Rebekka Baumgartner, Dagmar Wuebken, Michael Wagner, Hans-Peter E. Kohler, Kristopher McNeill and Michael Sander

*Sci Adv* 4 (7), eaas9024.  
DOI: 10.1126/sciadv.aas9024

### ARTICLE TOOLS

<http://advances.sciencemag.org/content/4/7/eaas9024>

### SUPPLEMENTARY MATERIALS

<http://advances.sciencemag.org/content/suppl/2018/07/23/4.7.eaas9024.DC1>

### REFERENCES

This article cites 31 articles, 3 of which you can access for free  
<http://advances.sciencemag.org/content/4/7/eaas9024#BIBL>

### PERMISSIONS

<http://www.sciencemag.org/help/reprints-and-permissions>

Use of this article is subject to the [Terms of Service](#)

---

*Science Advances* (ISSN 2375-2548) is published by the American Association for the Advancement of Science, 1200 New York Avenue NW, Washington, DC 20005. 2017 © The Authors, some rights reserved; exclusive licensee American Association for the Advancement of Science. No claim to original U.S. Government Works. The title *Science Advances* is a registered trademark of AAAS.