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## The Ionization Energy of Liquid Water Revisited

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#### Abstract

The ionization energy of liquid water is one of its most fundamental properties, an important benchmark for first-principles electronic-structure calculations and a crucial reference in the growing field of liquid-phase photoelectron spectroscopy. In spite of this significance, a consensus on its value appears to be missing in the literature. Therefore, we use a monochromatized high-harmonic light source to perform detailed measurements of the ionization energy of liquid water in the presence of a tunable bias voltage applied to the liquid jet. Our results suggest that this simple method is sufficient to simultaneously compensate the effects of the streaming potential and that of the vacuum-level offset between the liquid and the photoelectron spectrometer. Our measurements yield corrected values of the vertical and adiabatic ionization energies of the 1b<sub>1</sub> band of bulk liquid water of 11.67(15) eV and 10.12(15) eV, respectively. Our method is broadly applicable and is likely to result in corrections of the measured ionization energies of solvated species as well.

### Graphical TOC Entry



#### **Keywords**

XUV, H<sub>2</sub>O, Liquid Jet, Streaming Potential, Monochromator, Photoelectron Spectroscopy, HHG

The ionization energy (IE) is the most fundamental property determined by photoelectron spectroscopy. IEs of gases and solids have been documented extensively since the discovery of the photoelectric effect.<sup>1</sup> However, gaining access to this information in liquids was hampered by experimental complications such as studying equilibrated liquids in high vacuum. Liquid microjets, which allay these experimental difficulties, have become a wellestablished technique over the last 20 years within the photoelectron community.<sup>2–20</sup> It is well established that the study of molecules, salts and other soluble media within an aqueous environment is paramount to developing an understanding of these particles in their natural environment. Therefore, it is of great importance to develop the techniques surrounding liquid-jet photoelectron spectroscopy to reach a deeper understanding of the electronic structure of water and other solvents. Experimental progress on understanding the basic physical properties of liquid water also lays the groundwork for further advances in the understanding of all types of electronic dynamics in aqueous solutions.

Previous studies<sup>3,16</sup> using both synchrotron and table-top light sources, as well as theoretical work,<sup>21,22</sup> have provided insight into the IEs of the core and valence orbitals of liquid water. However, the experimentally determined energies have so far not converged, neither with one another, nor with theory. Kinematic charging of the liquid microjet,<sup>2,16,23,24</sup> which is the origin of the streaming potential ( $V_{\rm str}$ ), is one such cause of the discrepancy between results. Kurahashi et al.<sup>16</sup> observed kinetic energy shifts of the electrons escaping from the gas phase surrounding the liquid jet, which depended on the distance from the point of ionization to the jet. By tuning the concentration of salt dissolved in the aqueous solution, they were able to reduce the contribution from  $V_{\rm str}$  to the limit of neutralizing the effect.

More recent work by Tissot et al.<sup>25</sup> showed through photoemission measurements of saturated salt solutions on a gold surface that the respective Fermi levels were equilibrated. In a follow-up study performed with liquid microjets, Olivieri et al.<sup>26</sup> observed consistent effects. They concluded that the different work functions of the aqueous solution and the photoelectron analyzer resulted in an energetic offset between the respective vacuum levels which depended on the composition of the spectrometer and that of the studied solution, hence providing one possible reason for discrepancies between ionization energies reported by different research groups.

In this work, we propose a straightforward experimental technique for simultaneously compensating for the effects of the streaming potential and vacuum-level offset. The application of this technique leads us to propose a corrected value for the ionization energy of liquid water.



Figure 1: Schematic depicting the effects of the vacuum level offset and kinematic charging of the liquid microjet on the binding energies of liquid water. The dashed line indicates the vacuum level of the photoelectron spectrometer.

Figure 1 depicts a schematic representation of both aforementioned effects relating to a shift in photoelectron kinetic energies (PKEs) of the valence orbitals (or bands) of  $H_2O_{(1)}$ . On the left, assuming  $V_{str} = 0$  V, we illustrate the effect of the vacuum level offset, which is defined by  $E_{vac}^{liq} - E_{vac}^{spec} = \Delta E_{vac}$ . Due to the metallic composition of the spectrometer, its work function is usually lower than that of water, hence the vacuum level of the solution lies above that of the spectrometer<sup>25</sup>. The central part depicts the additional shift which results from the kinematic charging of the jet. Depending on the nature and concentration of salt in the aqueous solution, as well as other experimental parameters,  $V_{str}$  can be either positive or negative. Here, for clarity, we only show the effect of a negative potential. The key idea in our work is that the application of an external bias potential,  $V_{bias}$ , can compensate

for both effects simultaneously, effectively equilibrating the vacuum levels of the liquid and spectrometer and compensating the streaming potential at the same time, as is shown in the right-hand part of Fig. 1. It is under this condition that we measure the ionization energy.

Tunable XUV radiation used in this study is provided by a time-preserving monochromator described in a previous publication.<sup>27</sup> High-harmonic generation is driven by laser pulses of 1 mJ energy, 28 fs pulse duration, centered at 800 nm with a repetition rate of 5 kHz. The pulses are focused into a semi-infinite gas cell filled with 20 mbar argon. The generated high harmonics are then recollimated by a toroidal mirror, energy dispersed by a plane grating in a conical-diffraction geometry, and refocused with a second toroidal mirror onto a 200  $\mu m$  slit. The slit is then imaged with a third toroidal mirror into the interaction region of a 1-m-long magnetic-bottle photoelectron spectrometer.<sup>28</sup> The spectrometer resolution is 0.14-0.34 eV over the investigated kinetic energy range.<sup>29</sup> A double-nozzle set-up allows for the calibration of photon energies, over a typical range of 15-40 eV, in gaseous argon and the subsequent measurement of liquid water, using a quartz 25  $\mu$ m inner-diameter liquid jet nozzle. The calibration of the photoelectron spectrometer with argon is repeated every time a new liquid-phase measurement is performed. The nozzle holder and mounting system are both made of PEEK and graphite coated to ensure electrical conductivity. The nozzle is capped by Cu tape, held together by Sn solder, to prevent the insulating quartz from charging up due to stray electrons. All exposed external components are set to ground (see Fig 2). All measurements reported in this publication were performed on a 50 mM aqueous solution of NaCl in high-purity water (MilliQ) with a measured electrical resistivity of 18.2  $M\Omega \cdot cm$ , unless stated otherwise. All data were recorded with a flow rate of 0.35 mL/min, except for the additional data points shown in Fig. 4b. The total electron count rates were kept below 20 counts per laser shot. We have verified that the observed liquid- and gas-phase spectra do not change for count rates ranging from 1 to 50 counts per shot. The XUV focus within these measurements was approximately 150  $\mu$ m, for the initial liquid measurements and approximately 180  $\mu$ m for the gas phase displacement measurements discussed in Fig. 4.



Figure 2: Photograph of liquid-jet nozzle holder alongside a schematic representation. It should be noted that the  $V_{\text{bias}}$  is applied to the solution outside the chamber.

The determination of the ionization energy of liquid water, whilst conceptually simple, has a few inherent difficulties associated with it. One aspect is the contribution of the gasphase spectrum superimposed on the liquid-phase spectrum. The use of liquid microjets allows one to reach high vacuum, but one cannot suppress the evaporation of water from the surface of the jet. Due to the jet's exposed surface typically being smaller than the focus of the ionizing beam, ionization of gas-phase water and its contribution to the measured photoelectron spectrum cannot be prevented. The gas phase IEs are, however, extremely well known<sup>3,30,31</sup> and therefore serve as an independent benchmark to validate our results.

Figure 3a shows a gas-phase water photoelectron spectrum measured at 23.17(7) eV photon energy. This spectrum has been recorded by moving the liquid jet slightly out of the focus. Figure 3b shows the total photoelectron spectrum recorded when the liquid jet is located in the focus of the XUV beam. This spectrum is decomposed into the contributions of gas- and liquid-phase water on the basis of a principal-component fit. The method and fit parameters will be described in detail elsewhere.

Figure 3d shows the photoelectron kinetic energy (PKE) of the measured liquid-phase spectrum as a function of an applied bias potential. What we observe is that the peaks



Figure 3: Panel a) Principal-component analysis of gaseous H<sub>2</sub>O spectrum. Panel b) Principal-component analysis of a gas + liquid-phase H<sub>2</sub>O spectrum. The grey spectra show the experimental data taken at  $h\nu = 23.17(7)$  eV, the black curve indicates the fit and the yellow and blue shaded regions indicate the gas- and liquid-phase contributions, respectively. The red curve indicates the fitted background dominated by secondary electrons. Panel c) Determination of the adiabatic ionization energy of the 1b<sub>1</sub>. The linear fit is shown by the blue dashed line. Panel d) The gas + liquid photoelectron spectrum as a function of the applied bias potential. Panel e) The photoelectron kinetic energy distribution of the gas phase 1b<sub>1</sub> as a function of the applied bias potential.

corresponding to the  $1b_{1(g)}$  and  $1b_{1(l)}$  orbitals have a different response to the applied voltage.

Figure 3e shows the PKE distribution of the  $1b_{1(g)}$  as a function of the applied bias potential. The scanning of the applied bias potential reveals a narrowing and intensifying of the peak in the range of  $V_{\text{bias}} \approx 0.6$ -1.0 V. This can be readily explained as a consequence of the potential energy of a photoelectron generated in the vicinity of the liquid jet. This potential energy can be expressed in terms of the electrostatic potential created by the cylindrical jet, which decays logarithmically as a function of distance from the jet. Therefore any electron born in the vicinity of the jet through ionization of the surrounding gas phase experiences a different electric-field strength depending on its distance from the jet, leading to a broad kinetic-energy distribution upon reaching the detector. By applying a bias potential and scanning a range of voltages, one can identify a bias potential such that  $-eV_{\text{bias}} =$  $\Delta E_{\text{vac}} + \Delta E_{V_{\text{str}}}$ , where the symbols have been defined in Fig. 1. This condition results in a PKE distribution of the electrons originating from the gas phase that no longer depends on their birth position relative to the jet.

The actual determination of  $V_{\text{bias}}$  in each data set contributing to our results was done as follows. Fitting gaussians to the PKE distribution of the  $1b_{1(g)}$  and extracting the fullwidth at half maximum proved unreliable due to the spectral overlap between the gas-phase and liquid-phase signals. Therefore, to determine the narrowest PKE distribution from the  $1b_{1(g)}$ , we extract the gradient of the rising edge, because the narrowest and most intense distribution will have the steepest gradient. Our measurements show the narrowest gasphase distribution at a bias potential of typically 0.6-0.8 V, which is much larger than the streaming potential offset alone at these concentrations according to Ref.<sup>16</sup> Determination of the  $1b_{1(g)}$  ionization energy at the precise bias potential determined from each of our data sets reveals a reproducible peak position of 12.65(9) eV which agrees very well with the literature value.<sup>30,31</sup>

We note that simply subtracting a gas-phase spectrum from the acquired gas + liquid spectrum may not yield the correct liquid-phase photoelectron spectrum because the presence



Figure 4: a) Measured ionization energy of  $1b_{1(g)}$  as a function of distance of the jet from the XUV focus position. The red curve indicates data points without a bias potential. The blue curve indicates data points with a bias of +0.60 V applied. The inset outlines the geometry of the interaction chamber for this measurement. b) Flow-rate dependence of the applied bias potential required to minimize the width of the  $1b_{1(g)}$  peak. The red curve indicates the linear fit, including its extrapolation to zero flow rate.

of any potential difference, whether experimentally applied or originating from the inherent streaming potential or vacuum-level offset, induces a different kinetic energy response from the gas- and liquid-phase components.

In order to confirm our application of a bias potential as a valid method for the determination of ionization energies, we performed two additional measurements inspired by the work of Kurahashi et al.,<sup>16</sup> the results of which are shown in Fig. 4. By scanning the distance of the jet from the XUV focus position, one can observe the effect of the presence of the liquid jet on the kinetic energy of the gas-phase electrons (see Fig. 4a). The red curve indicates data taken without a bias potential applied; the blue curve shows data with a bias of +0.60 V applied. The chosen step size of 200  $\mu$ m, being larger than the XUV spot size, ensured that we did not observe any liquid-phase contribution in these measurements. Within the limits of our measurement, the peak position for the compensated potential case is essentially flat, indicating that the potential landscape experienced by the photoelectrons within the interaction chamber is equivalent at all points in space. This also indicates that even at a distance of 0.5 mm from the jet, the residual electrostatic potential originating from the running liquid jet cannot be ignored. However, as can be seen, at larger displacements one reaches the asymptotic limit of this residual electrostatic potential indicating that our calibration procedure of the photon-energy and time-of-flight parameters using argon as a reference, and performed at  $\sim$  16mm from the liquid jet, is not affected by the presence of the liquid microjet.

It is known that the kinematic charging of the liquid jet and hence the observed streaming potential is not only salt-concentration dependent,<sup>16</sup> but also dependent on the flow rate of the jet.<sup>32</sup> In Fig. 4b, we show the values of  $V_{\text{bias}}$  determined at different flow rates. As the streaming potential is linearly proportional to the flow rate in first approximation,<sup>23</sup> we use a linear extrapolation of the data to the point of zero flow. The fact that the linear extrapolation of  $V_{\text{bias}}$  to zero flow rate yields a finite value indicates the dominant contribution of the vacuum-level offset to the required bias potential. However, one cannot practically realize the zero-flow-rate situation with a liquid microjet. Therefore, our best estimate for the vacuum-level offset under the experimental conditions of the data shown in Fig. 4b is 0.65(5) eV. We note that the exact values of  $V_{\text{bias}}$  change from day to day, which we attribute to small changes of the vacuum-level offset and streaming potential.

Averaging over 83 gas phase measurements, we obtain a gas phase IE of 12.65(9) eV when the compensation bias is identified and applied. This IE includes measurements conducted using harmonic orders ranging from 15 to 21, corresponding to photon energies of 21.17(7)-32.45(9) eV. This value for the IE is in excellent agreement with the literature data<sup>30,33</sup>. It is important to emphasize that the ionization energy of  $1b_{1(g)}$  has not been used to calibrate the PKE axis, but has been determined independently. The agreement with the established literature value validates the principle of our method.

As an additional measure of the robustness of the methodology described herein, experiments were also performed on different salt solutions at concentrations of 10, 30, 100 and 500 mM NaCl in aqueous solution. The same procedure as described above was followed and a qualitatively similar behavior was observed with respect to both the displacement of the jet form the focus measurement described in Fig. 4 as well as the band-narrowing analysis of the  $1b_{1(g)}$ . The measurements on the four solutions yielded a gas-phase IE at 12.65(6) eV, when compensated by the individually determined bias potentials.

Using the gas phase as an indication that extraneous sources of kinetic energy shifts have been compensated for, we observe a vertical ionization energy for the  $1b_{1(1)}$ , defined as the maximum of the corresponding liquid-phase photoelectron bands of 11.67(15) eV. This ionization energy is higher than previously reported vertical IEs of the  $1b_{1(1)}$  (11.16(4) $eV^3$  and  $11.31(4) eV^{16}$ ). Our determined liquid-phase IE represents data averaged of 63 measurements, accumulated using the same photon energies as the gas-phase measurements (21.17(7)-32.45(9) eV). Based on these results, we also determine a  $1b_{1(1)}$  and  $1b_{1(g)}$  difference of 0.99(9) eV which might be a useful measure for future experiments when absolute IEs are not easily accessible. Linearly extrapolating from the inflection point of the  $1b_{1(1)}$  peak to the baseline (see Fig. 3c), we determine an adiabiatic IE of 10.12(15) eV. This value is higher than the 9.9 eV of Winter et al.,<sup>3</sup> but agrees within the error bar with the 10.06 eV value of Delahay et al.<sup>34</sup> although the latter was determined with a different method and might not be directly comparable.

We note that our result agrees well with the most recent theoretical work, which predicts an adiabatic ionization energy of 10.25 eV ("quantum bulk" value) by combining path-integral molecular dynamics with ab-initio potentials and many-body perturbation theory.<sup>22</sup> We note however that our value of the adiabatic IE is susceptible to the exact shape of the measured photoelectron spectrum, which makes it slightly less reliable than our vertical IE as a consequence of the extrapolation procedure illustrated in Fig. 3c.

Earlier work<sup>35</sup> reported both adiabatic and vertical ionization energies using first-principles molecular dynamics and density-functional theory. The highest levels of theory based on the so-called range-separated hybrid (RSH) and self-consistent hydbrid (sc-hybrid) functionals predicted values of 10.66 eV or 11.15 eV for the vertical and 9.57 eV or 10.08 eV for the adiabatic ionization energy. These values for the adiabatic ioniyation energies are consistently lower than the corresponding latest theoretical results,<sup>22</sup> suggesting that further improvements of theory might also converge to a higher vertical ionization energy.

In conclusion, we have demonstrated a straightforward experimental technique that allows for the compensation of adverse electric fields and their effects on binding energies measured by liquid-phase photoelectron spectroscopy. By using the width of the gas-phase photoelectron peak as an *in-situ* sensor of the inhomogeneity of the electrostatic-potential distribution, we identified a reliable observable for the determination of the correct compensation voltage to be applied to the liquid jet. Under these conditions, we determine values of 11.67(15) eV and 10.12(15) eV for the vertical and adiabatic ionization energies of liquid water, respectively. Under the same experimental conditions, we simultaneously obtain a vertical IE of 12.65(9) eV for the  $1b_{1(g)}$ , which we consider to validate our conclusions.

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