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Isolated Zr Surface Sites on Silica Promotes CO₂-to-CH₃OH Conversion in Supported Cu Catalysts

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2.1 Introduction

The selective CO₂ hydrogenation to CH₃OH has recently received an increasing attention as a way to mitigate the increase of atmospheric CO₂ by its incorporating in a carbon cycle within the concept of methanol economy.^[1-5] Among various metals, copper-based catalysts display relatively good activity and selectivity for this reaction.^[3, 6-13] In particular, copper promoted by zinc oxide/alumina (Cu/ZnO/Al₂O₃)^[14-21] or supported on zirconia (Cu/ZrO₂)^[22-36] have shown promising activity and selectivity. The selectivity toward CH₃OH has been ascribed to a synergistic effect between the promoter/support and copper. By comparison, copper supported on silica (Cu/SiO₂), a rather inert support, shows significantly lower activity and selectivity towards CH₃OH, favoring CO formation.^[22-24, 32-33]

In the case of Cu/ZnO/Al₂O₃, the specific catalyst performance has been mostly attributed to the formation of a CuZn alloy, due to strong metal support interaction (SMSI).^[37-43] In contrast, the origin of the high activity/selectivity for CH₃OH with Cu/ZrO₂ is still under debate. It has been ascribed to the interface between copper and zirconia due to assisted hydrogenation of surface intermediates into CH₃OH.^[24] However, the nature of the Zr site participating in CH₃OH formation, Zr(III) vs. Zr(IV),^[24, 44] is still a matter of discussion. Oxygen vacancies that may form on ZrO₂^[44-46] and the electronic charge transfer between copper particles and the support^[47] have alternatively been proposed as key properties of the support, which could explain the improved performances. One critical issue in understanding the role of the ZrO₂ support at a molecular level is the difficulty to probe specifically the reactivity of zirconium surface sites in the presence of bulk and therefore to distinguish the local properties of zirconium atoms on the surface with collective properties of bulk oxide related to the band gap and its ability to give and loose electrons under reducing conditions.^[48]

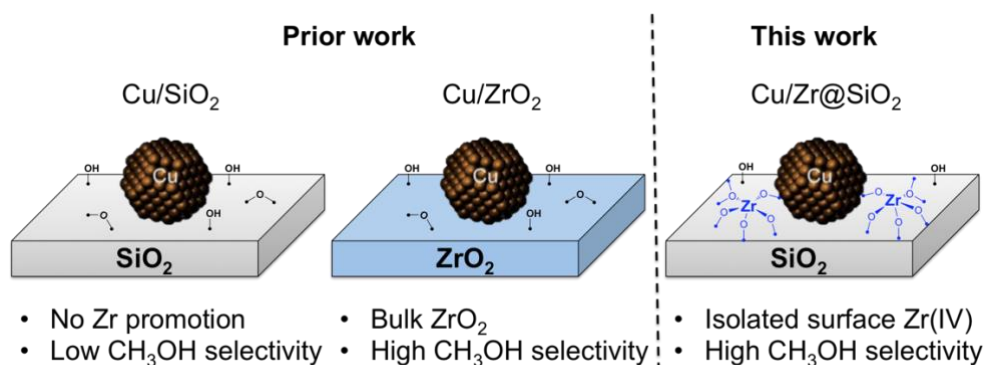


Figure 1. Experimental approach to understand the role of ZrO_2 supports in CO_2 hydrogenation.

We thus rationalized that a tailored support with isolated surface zirconium sites on top of a silica matrix could specifically address the role of surface zirconium sites at the interface with supported copper nanoparticles in the selective CH_3OH synthesis (Figure 1). Such material can be designed and prepared using surface organometallic chemistry (SOMC).^[49-51] Indeed, this approach offers a possibility to mimic zirconium sites at the surface of ZrO_2 while excluding properties of the bulk through site isolation at the surface of a silica support. We were able to specifically probe the promotional effect of zirconium surface sites through catalysis and their structure by spectroscopic techniques, thus providing a molecular level understanding of the role of Zr surface sites (Figure 1).

Herein, we report the development of a catalyst based on copper nanoparticles dispersed on isolated Zr(VI) surface sites on silica and show that the presence of isolated Zr(IV) sites increases the CH_3OH activity and selectivity. In situ XAS spectroscopy combined with solid-state NMR shows that Zr(IV) Lewis acidic sites in the vicinity of the copper nanoparticles are essential for the high CH_3OH selectivity, probably because of the easier hydrogenation of reaction intermediates at the interface of copper and Zr(IV) sites.

2 Results and Discussion

2.1 Catalyst Synthesis and Characterization

Grafting of $[\text{Zr}(\text{OSi}(\text{OtBu})_3)_4]$ ^[53] on silica, partially dehydroxylated at 700 °C (SiO_2 -700), followed by a treatment of the resulting solid at 500 °C under high vacuum (10⁻⁵ mbar) generate zirconium sites free of all organic ligands ($\text{Zr}_{0.9}\text{@SiO}_2$) according to IR spectroscopy

(Scheme 1 and Figure A.1a). The appearance of a vibration band at 3790 cm^{-1} indicates the formation of Zr-OH groups.[58] Analysis of the supernatant after grafting shows that ca. 0.3 equiv. $[\text{Zr}(\text{OSi}(\text{O}i\text{Bu})_3)_4]$ reacted with surface silanol groups (1.0 Si-OH/ nm^2) on $\text{SiO}_2\text{-700}$ thus providing a material with 0.3 Zr/ nm^2 . In addition, the final thermal treatment also generates ca. 1.2 M-OH/ nm^2 (M=Si or Zr) according to titration with $[\text{Mg}(\text{CH}_2\text{Ph})_2(\text{Et}_2\text{O})_2]$.

$\text{Zr}_{0.9}@\text{SiO}_2$ readily reacts with $[\text{Cu}(\text{OtBu})]_4$ via grafting on the surface hydroxyls, and the resulting material yields supported copper nanoparticles upon further treatment under H_2 at 500 $^\circ\text{C}$, Cu/ $\text{Zr}_{0.9}@\text{SiO}_2$ (Figure 2a). The corresponding supported copper nanoparticles are also prepared by the same approach (grafting followed by reduction under H_2) on $\text{SiO}_2\text{-500}$ and $\text{ZrO}_2\text{-500}$ (partially dehydroxylated at 500 $^\circ\text{C}$), respectively (Figure A.1b). Copper loadings are 4.19 wt% for $\text{Zr}_{0.9}@\text{SiO}_2$ and SiO_2 , and 2.33 wt% for ZrO_2 as determined by ICP-OES. No crystalline ZrO_2 or Cu phases are observed for $\text{Zr}_{0.9}@\text{SiO}_2$ by powder-XRD (Figure A.2). For Cu/ ZrO_2 , the powder XRD pattern shows the presence of mainly tetragonal and minor monoclinic phases (Figure A.3). The specific surface area determined by N_2 adsorption and BET analysis is 196 and 206 g m^{-2} for Cu/ SiO_2 and Cu/ $\text{Zr}_{0.9}@\text{SiO}_2$, respectively (Table 1), while it is 115 g m^{-2} for Cu/ ZrO_2 (Table 1 entry 2). On Cu/ SiO_2 and Cu/ $\text{Zr}_{0.9}@\text{SiO}_2$ small and narrowly distributed nanoparticles with particles sizes of around 3 nm are observed by transmission electron microscopy (Figure 2b and Figure A.4). However, the poor contrast between Cu and ZrO_2 prevented TEM analysis for Cu/ ZrO_2 . The amount of surface exposed copper atoms determined by N_2O titration are 50 $\mu\text{mol g}^{-1}$ for the silica based samples and 33 $\mu\text{mol g}^{-1}$ for Cu/ ZrO_2 . Overall, all the prepared silica-based samples show comparable physicochemical properties in terms of specific surface area, dispersion and particle size distribution.

To elucidate the nature of the zirconium sites, zirconium K-edge XAS are measured on the Cu/ $\text{Zr}_{0.9}@\text{SiO}_2$ and $\text{Zr}_{0.9}@\text{SiO}_2$ samples. The zirconium K-edge X-ray absorption near edge structure (XANES) spectrum of $\text{Zr}_{0.9}@\text{SiO}_2$ shows a pre-edge feature assigned to $1s \rightarrow 4d$ transitions indicative of a non-centrosymmetric environment around zirconium.[59-62] Two inflexion points at the same positions as for the molecular precursor (18002.0 and 18013.2 eV) characteristic of Zr(IV) are observed (Table A.1).[59-60]

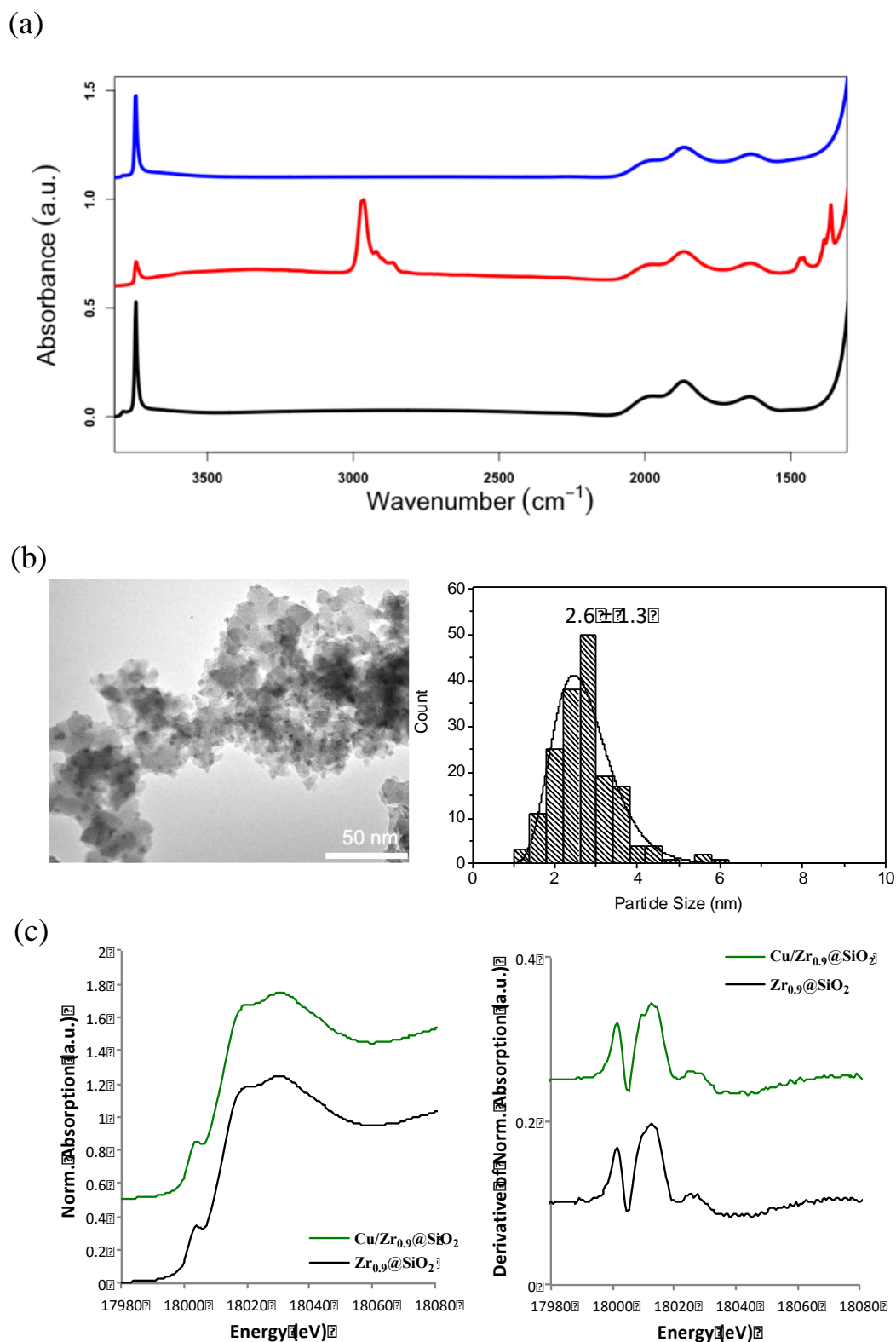


Figure 2. Characterization of Cu/Zr_{0.9}@SiO₂ sample with (a) the IR spectrum of Zr_{0.9}@SiO₂ before (black), after (red) grafting, and after reduction with H₂ (blue), (b) particle size distribution and (c) Zr K-edge XANES spectra of Zr_{0.9}@SiO₂ and Cu/Zr_{0.9}@SiO₂. left: normalized absorption, right: first derivative.

Scheme 1. Schematic procedure for grafting of $[\text{Zr}(\text{OSi}(\text{OtBu})_3)_4]$ on $\text{SiO}_2\text{-700}$ followed by thermal treatment at $500\text{ }^\circ\text{C}$, grafting and reduction of $[\text{Cu}(\text{OtBu})_4]$.

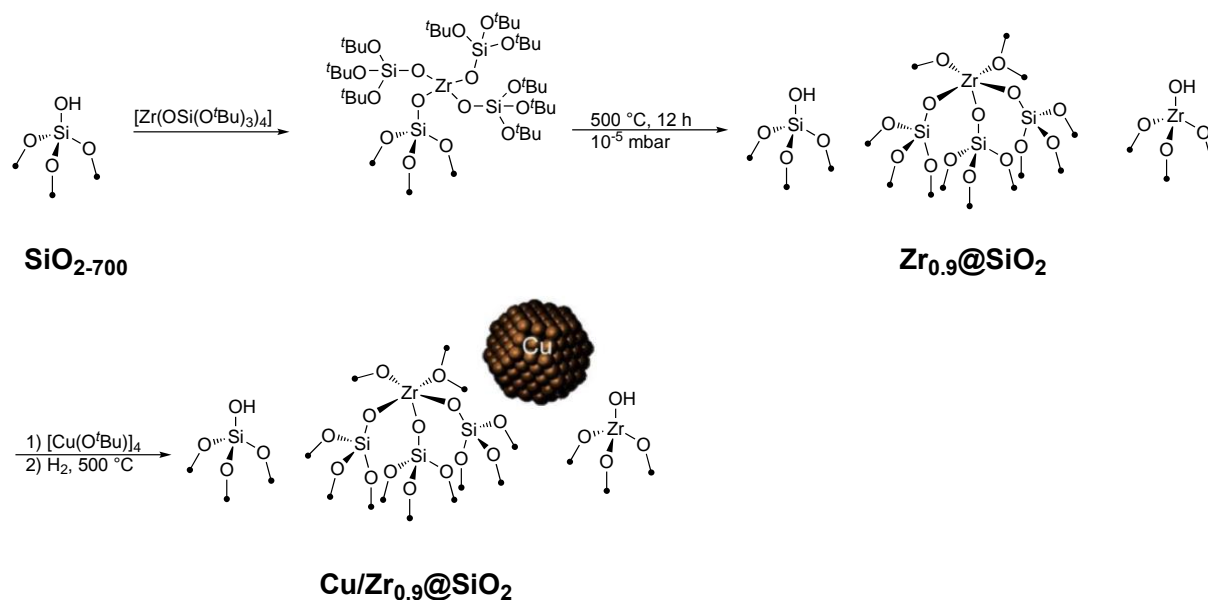


Table 1. Physicochemical properties of copper based catalysts.

Entry	Catalyst	Zr loading ^{a)} [wt%]	Cu loading ^{a)} [wt%]	Specific surface area ^{b)} [m ² g ⁻¹]	Surface copper ^{c)} [μmol g ⁻¹]	Cu particle size distribution ^{d)} [nm]
1	Cu/SiO ₂	N/A	4.19	197	50/34	2.9 ± 1.3
2	Cu/ZrO ₂	N/A	2.33	115	33/17	N/A
3	Cu/Zr _{0.9} @SiO ₂	0.96	4.19	206	50/50	2.6 ± 1.3

a) Determined by ICP-OES; b) determined from N₂ physisorption applying the BET theory; c) determined from N₂O chemisorption on fresh/spent catalyst; d) determined by TEM of fresh catalyst.

After grafting of the copper complex and reduction under hydrogen at 500 °C, no change is observed in the zirconium K-edge XANES indicating that the treatment under H₂ at high temperature does not affect neither the oxidation state nor the local environment around the zirconium atoms (Figure 2c).

The shape of the edge is also clearly different from what is observed on bulk zirconia (Figure A.5), indicative of different environments of zirconium in the two samples. The particular shape of the XANES observed for Zr_{0.9}@SiO₂ and Cu/Zr_{0.9}@SiO₂ is assigned to isolated zirconium structures in a non-centrosymmetric environment, but dimeric zirconium species cannot be excluded.^[59-60] The EXAFS spectra of Zr_{0.9}@SiO₂ and Cu/Zr_{0.9}@SiO₂ are identical and can be adequately fitted (Figure A.6) with a structure close to the one of its molecular precursor, with 5 oxygen neighbors on 2 different shells (around 1.95 and 2.3 Å) likely originating from the Zr-O-Si bridges inherited from the parent molecular precursor. The data is consistent with the proposed structure given in Scheme 1. Thus, a non-centrosymmetric environment with reduced number of oxygen neighbors is observed in line with the appearance of the pre-edge feature in the XANES spectrum. The feature around 3 Å required the addition of Zr-Si and Zr-O-Si scattering paths, similar to what is observed on the molecular precursor [Zr(OSi(O*i*Bu)₃)₄] (Figure A.6). Note that the spectrum could not be properly fitted by including Zr-Zr paths in addition or in substitution of the Zr-Si/Zr-O-Si paths. Thus, XANES and EXAFS confirm that zirconium has a structure inherited from the parent molecular complex and that it remains highly dispersed, predominantly isolated, at the surface of silica, even after the thermal treatment and the reduction step, both carried out at 500 °C.

Pyridine adsorption is also performed to assess the surface acidic properties of the support: after adsorption at room temperature and evacuation at 10⁻⁵ mbar for 15 min at 150 °C, the solid-state ¹⁵N NMR spectrum (Figure A.7) shows two signals at 244 and 276 ppm. The signal at 244 ppm is assigned to Lewis acidic sites and the signal at 276 ppm can be assigned to either weakly Lewis acidic sites or hydrogen bonded pyridine.^[63] This further confirms the introduction of surface Zr (IV) Lewis acidic sites at the surface of silica.

2.2 Catalytic Performances

All Cu catalysts are then evaluated in CO₂ hydrogenation at 25 bar and 230 °C. The zirconium-containing samples exhibit an increased intrinsic CH₃OH formation rate normalized by the mass of copper compared to the Cu/SiO₂ reference catalyst, while the intrinsic CO

formation rate is rather similar for all samples (Figure 3a). The CH₃OH selectivity, extrapolated to low conversion, is much higher for Cu/ZrO₂ and Cu/Zr_{0.9}@SiO₂ (69 and 77 %, respectively) than for Cu/SiO₂ (48 %), Figure 3a. The Zr_{0.9}@SiO₂ support itself shows no activity in CO₂ hydrogenation.

The evolution of the formation rates with respect to the contact time shows that CH₃OH formation rate is indeed initially high for the zirconium-containing catalysts, but it drops down to a similar value as what is found for Cu/SiO₂ at longer contact time i.e. higher conversion (Figure 3b and Figure A.8-A.10). This likely indicates inhibition from the hydrogenation products (CH₃OH or H₂O) that block the Lewis acidic zirconium-sites. In contrast, the formation rate for CO is constant and similar for Cu/SiO₂ and Cu/Zr_{0.9}@SiO₂ (Figure 3c) implying that the sites for CO formation is not easily inhibited and that CO is formed on different sites than CH₃OH. Reducing the zirconium loading in Cu/Zr_{0.4}@SiO₂ decreases the CH₃OH formation rate, while the CO formation rate remains similar, further illustrating the importance of Zr surface density on CH₃OH formation (Table A.2 and Figure A.11). In addition, the catalytic performance of monoclinic ZrO₂ has a similar selectivity pattern and activity per gram of Cu as the mainly tetragonal ZrO₂ support (Table A.2 and Figure A.12-A.15), showing that there are no significant qualitative differences between the crystalline phases. Lastly, after 48 hours of reaction, the activity and selectivity remain similar, demonstrating the stability of the catalyst. In fact, no significant sintering of the copper nanoparticle is observed (no significant changes in particle size and distribution - Figure A.16-A.18). Cu/ZrO₂ and Cu/Zr_{0.9}@SiO₂ have very similar activity and selectivity patterns, with an increased formation rate for CH₃OH compared to Cu/SiO₂. This already points to the existence of similar active sites and mechanisms in both systems for the formation of CH₃OH.

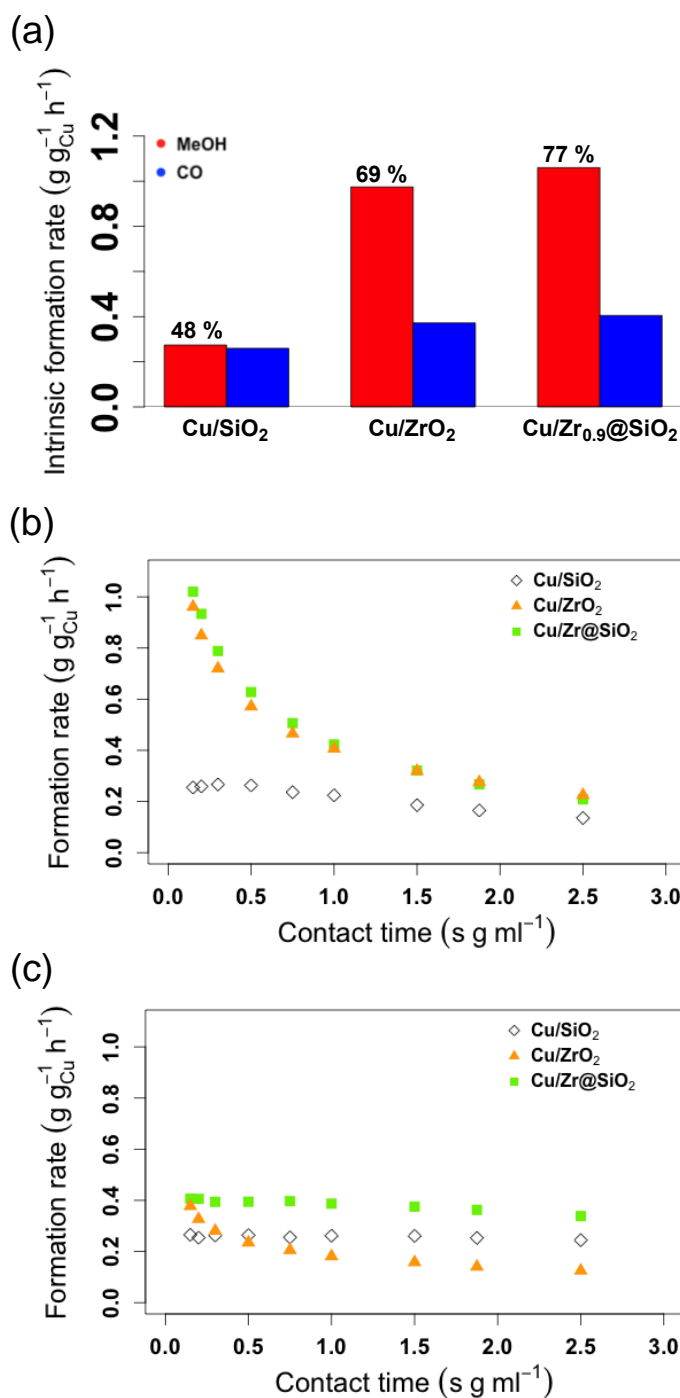


Figure 3. (a) Intrinsic formation rates of CH₃OH (red) and CO (blue) for Cu/SiO₂, Cu/ZrO₂, and Cu/Zr_{0.9}@SiO₂ by extrapolation to 0% conversion with the corresponding selectivity for CH₃OH. Formation rates for CH₃OH (b) and CO (c) with respect to the contact time for Cu/SiO₂, Cu/ZrO₂, and Cu/Zr_{0.9}@SiO₂. By comparison, the formation rate of CO on Cu/ZrO₂ decreases with contact time, implying that the formation of CO might also involve Lewis acidic Zr-sites.

2.3. In-situ XAS

To investigate the nature of both the Cu and the Zr-species under CO₂ hydrogenation conditions, in situ XAS experiments at the Cu and Zr K-edge are performed for Cu/Zr_{0.9}@SiO₂. First, spectra are recorded after exposure to air, then after heating at 300 °C under a flow of N₂, during reduction with H₂ (300 °C and 1 bar) and finally under CO₂ hydrogenation conditions (CO₂:H₂ in a 1:3 ratio, 230°C and 5 bars) (see temperature and gas flow scheme in Figure A.19). According to the Cu K-edge XANES spectra, copper is present as CuO upon exposure to air and reduces to Cu₀ under H₂ at 300 °C. No change of oxidation state of Cu is observed under CO₂ hydrogenation conditions at 230 °C and 5 bars (Figure A.20). The Zr K-edge spectra show no change in the position of the inflexion points (at 18002.0 and 18013.2 eV), indicating that the oxidation state of zirconium remains the same, i.e. Zr(IV), all along the in situ experiment. However, changes in the shape of the XANES spectra are observed. The XANES spectra after exposure to air show the absence of the pre-edge feature present in the ex situ sample recorded under inert atmosphere (Figure 5a, black line). This is consistent with a more centrosymmetric coordination environment around zirconium i.e. increase in coordination number, tentatively assigned to the adsorption of water from air moisture. The pre-edge feature reappears after heating under N₂, very likely due to desorption of water regenerating Zr in a five-coordinated environment (Figure 4a - blue line, and the EXAFS spectrum in Figure A.21). Similar evolution is observed upon heating (300 °C) under a flow of compressed air, thus the change in EXAFS spectrum is solely related to the desorption of water and cannot be assigned to the formation of oxygen vacancies (Figure A.22). This data overall indicates that the changes observed upon exposure to air are reversible upon heating. No change of the zirconium K-edge spectra is observed during reduction with H₂, neither in the XANES nor in EXAFS parts (Figure 4a, - green line and Figure A.21), confirming that neither reduction of Zr(IV) nor change in the zirconium-environment (e.g. formation of oxygen vacancies) takes place under these conditions. Under the reaction conditions (Figure 2.4a - red line), the pre-edge shows a slight decrease in intensity while the shape of the white line also changes.

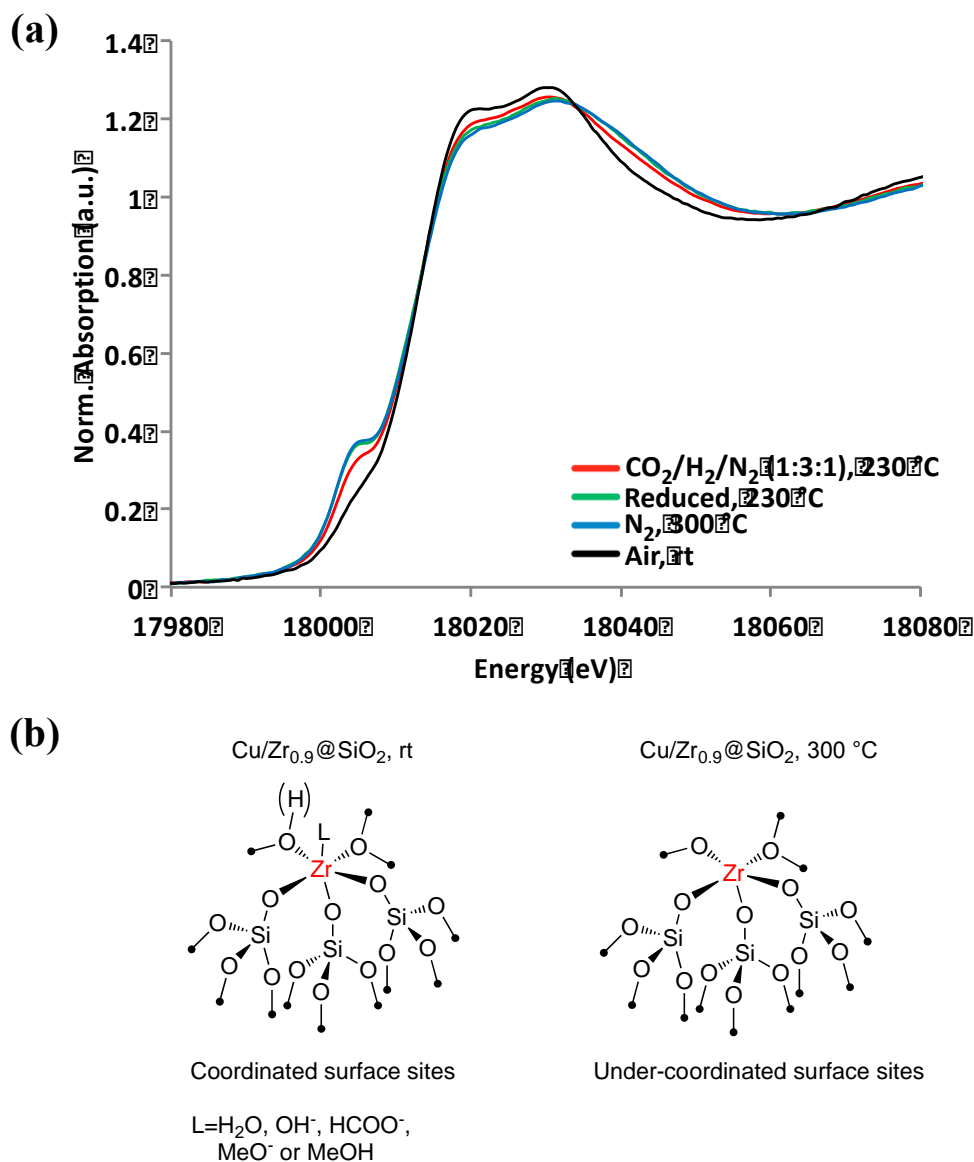


Figure 4. (a) Zirconium K-edge XANES spectra recorded at different stages under *in-situ* CO_2 hydrogenation of $\text{Cu/Zr}_{0.9}\text{@SiO}_2$. (b) Representation of the zirconium site used for XANES fitting with $\text{Cu/Zr}_{0.9}\text{@SiO}_2$ at room temperature or at 300 °C to represent surface sites with and without substrates coordinated, respectively.

This change is unlikely associated to the change in oxidation state as the inflexion points remain at similar positions. In fact, the spectrum under reaction condition is a linear combination of the spectra before and after the thermal treatment, i.e. in a centro- and non-centrosymmetric environment, respectively (Figure 4b). This could be associated with adsorption of reaction intermediates/products during CO_2 hydrogenation (methoxy, methanol, water, formate, carbonates...)[24] that completes the coordination sphere of zirconium, gaining

back a centrosymmetric environment. Overall, about 33 % of the zirconium sites have an increased coordination number under steady-state reaction conditions for this specific sample (Figure A.23 and Table A.3).

2.4. Solid State NMR

To further characterize the adsorbed species on the zirconium-sites observed during *in-situ* XAS measurements, ^1H , ^{13}C -CPMAS and ^1H - ^{13}C HETCOR spectra are recorded. The $\text{Cu}/\text{Zr}_{0.9}@\text{SiO}_2$ is exposed to 5 bars of $^1\text{H}_2$: ^{13}C O_2 (3:1) for 12 hours at 230 °C followed by evacuating the gas phase at room temperature. Analysis of the gas phase by solution NMR in C_6D_6 confirmed the formation of CH_3OH from CO_2 and H_2 (Figure A.24-A.25), and the resulting solid-state ^{13}C NMR spectrum shows two signals at 49 ppm and 170 ppm indicating two distinct carbon species (Figure A.26), assigned to methoxy and formate species coordinated to the support, respectively, as confirmed by ^1H - ^{13}C HETCOR experiments (Figure 5).^[58] Similar species have been previously observed on Cu/ZrO_2 , while no signals are observed in the absence of copper or zirconium for $\text{Zr}_{0.9}@\text{SiO}_2$ or Cu/SiO_2 , respectively, pointing out the synergy between the copper nanoparticles and the isolated Zr(IV) site.^[24] Thus, the observed species are very likely adsorbed on the Zr(IV) sites dispersed at the surface of the support. Similar spectroscopic features regarding reaction intermediates and selectivity patterns are observed on $\text{Cu}/\text{Zr}_{0.9}@\text{SiO}_2$ and Cu/ZrO_2 . This indicates that in both cases CO_2 hydrogenation proceeds through similar mechanisms, reaction intermediates and active sites, involving the adsorption and conversion of CO_2 and intermediates, likely at the perimeter between the copper particles and surface zirconium sites.

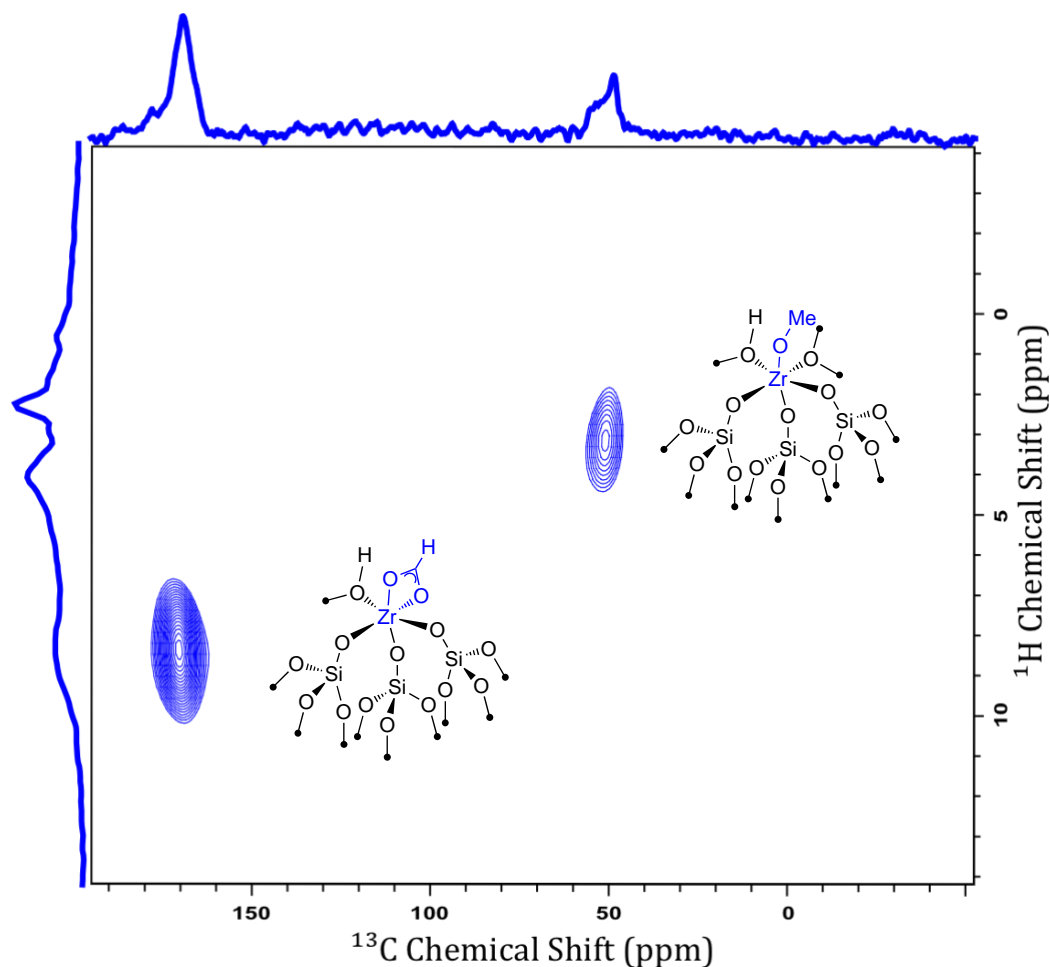


Figure 5. Ex situ ^1H - ^{13}C HETCOR spectrum of $\text{Cu}/\text{Zr}_{0.9}@/\text{SiO}_2$ after exposure to $^{13}\text{CO}_2:1\text{H}_2$ in a 3:1 ratio at 5 bars and 230 °C.

2.3 Conclusion

Using a surface organometallic chemistry approach, we have prepared copper nanoparticles supported on silica containing isolated and well-dispersed Zr(IV) species ($\text{Zr}_{0.9}@/\text{SiO}_2$). This catalyst showed improved performances in the hydrogenation of CO_2 to CH_3OH compared to non Zr-promoted Cu/SiO_2 , reaching similar selectivities as observed with Cu/ZrO_2 . It shows that the structural and bulk properties of ZrO_2 (oxygen vacancies...) are not required and that the presence of isolated Lewis acidic zirconium sites on the surface of silica, on which Cu nanoparticles are supported, is sufficient to trigger the increase of CH_3OH activity/selectivity. In fact, similar surface intermediates – formate and methoxy – are observed by solid-state NMR for $\text{Cu}/\text{Zr}_{0.9}@/\text{SiO}_2$ and Cu/ZrO_2 , while they are not observed on Cu/SiO_2 . These observations speak for similar mechanisms of CO_2 activation and active sites for the

formation of CH₃OH. In situ XAS reveals that the isolated Zr(IV) sites do not change oxidation state in Zr_{0.9}@SiO₂ under reaction conditions or pretreatment. Furthermore, the defect sites often invoked for Cu/ZrO₂ (like oxygen vacancies) cannot form on this system, thus they are likely not required to promote CO₂ hydrogenation. Thus, the role of surface Zr(IV) Lewis acid sites is likely to assist CO₂ activation and hydrogenation of reaction intermediates, consistent with our previous study. This study paves the way toward a rational design of CO₂ hydrogenation catalysts.

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